

1 **Crust and uppermost mantle beneath the North China Craton, northeastern China, and**  
2 **the Sea of Japan from ambient noise tomography**

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10 **Abstract:**

11 A 3-D shear velocity model of the crust and uppermost mantle to a depth of 100 km is presented  
12 beneath the North China Craton (NCC), northeastern China, the Korean Peninsula, and the Sea  
13 of Japan. Ambient noise Rayleigh wave tomography is applied to data from more than 300  
14 broad-band seismic stations from Chinese provincial networks (CEArray), the Japanese F-Net,  
15 and the IRIS Global Seismic Network, to produce more than 30,000 high quality inter-station  
16 measurements across the region. Continuous data from 2007 to 2009 are used to produce group  
17 and phase velocity maps from 8 sec to 45 sec period. The model is motivated to constrain the  
18 distributed intra-plate volcanism, crustal extension, cratonic rejuvenation, and lithospheric  
19 thinning that are hypothesized for the study region. Numerous robust features are observed that  
20 impose new constraints on the geometry of these processes, but discussion concentrates only on  
21 four. (1) The North-South Gravity Lineament follows the ~40 km contour in crustal thickness,  
22 and crustal thickness is anti-correlated with water depth beneath the Sea of Japan, consistent with  
23 crustal isostasy for a crust with laterally variable composition. (2) The lithosphere is thin (~70  
24 km) beneath the Songliao-Bohai Graben, but seismically fast. (3) Even thinner more attenuated  
25 lithosphere bounds three sides of the eastern NCC (in a horseshoe shape), identifying a region of  
26 particularly intense tectonothermal modification where lithospheric rejuvenation may have  
27 reached nearly to the base of the crust. (4) Low velocity anomalies reach upward (in a Y-shape)  
28 in the mantle beneath the eastern and western borders of the Sea of Japan, extending well into  
29 continental East Asia in the west, and are separated by a ~60 km thick lithosphere beneath the  
30 central Sea of Japan. This anomaly may reflect relatively shallow slab dehydration in the east  
31 and in the west deeper dehydration and convective circulation in the mantle wedge overlying the  
32 stagnant slab.

33 **Keywords** Ambient noise, surface waves, Sea of Japan, North China Craton, Kyushu microseism

34

## 35 **1. Introduction**

36 The goal of this study and companion papers by *Yang et al. [2010, 2011]*, *Zheng et al. [2010b]*,  
37 and *Zhou et al. [2011]* is to advance toward an integrated, highly resolved shear velocity ( $V_s$ )  
38 model of the crust and uppermost mantle beneath China. *Zhou et al. [2011]* focus on Southeast  
39 China and *Yang et al [2010, 2011]* and *Zheng et al. [2010b]* on western China and Tibet. The  
40 complementary focus of the current paper is the Sino-Korean Craton, northeastern China, the  
41 Korean Peninsula, and the Sea of Japan. More than 320 seismic stations from Chinese provincial  
42 networks in northeastern China and surrounding areas, Japanese F-Net stations [*Okada et al.*,  
43 2004], and IRIS GSN stations are the basis for this study (Fig. 1). The resulting station and path  
44 coverage that emerges is unprecedented in this region. In order to place strong constraints on  
45 crustal structure, ambient noise tomography is employed. As described below, ambient noise  
46 tomography has already been employed in other regions of China (e.g., Tibet), at larger scales (e.g.,  
47 across all of China) at a lower resolution, or in a part of the study region (e.g., North China  
48 Platform, Korean peninsula), but a resulting integrated, high-resolution model of the crust and  
49 uppermost mantle of the entire region of study has never before been constructed. Such a model is  
50 desired to illuminate a set of interconnected tectonic problems that make the Sino-Korean Craton,  
51 northeastern China, and the Sea of Japan a particularly fertile area for seismic tomography.

52 Northeastern China is composed of a mosaic of tectonic blocks and lineated orogenic belts (Fig.  
53 2) that have been arranged and modified by a long, complex, and in some cases enigmatic history  
54 of subduction, accretion, and collision dating back to the Archean [e.g., *Sengor and Natal'in, 1996*;  
55 *Yin and Nie, 1996*; *Yin, 2010*]. In the south, the wedge-shaped Sino-Korean Craton (SKC),  
56 delineated by red lines in Figure 2, is separated from the Yangtze Craton to the south by the  
57 Qinling-Dabie-Sulu orogenic belt [*Yin and Nie, 1993*]. The SKC itself consists of the Ordos Block,  
58 the North China Platform, Bohai Bay, the Korean Peninsula, as well as marginal and intruding  
59 mountain ranges. The Chinese part of the SKC is usually referred to as the North China Craton  
60 (NCC) and is divided here into western, central, and eastern parts delineated by red lines. North of

61 the SKC, the Xing'an-East Mongolia block is separated from the Songliao-Bohai graben by the  
62 North-South Gravity Lineament (NSGL). The NSGL extends southward into the SKC near the  
63 boundary between the central and eastern parts of the North China Craton. The Songliao-Bohai  
64 graben, stretching from the Songliao Basin to the Bohai Gulf, is flanked to the east by the  
65 Tancheng-Lujiang (Tanlu) Fault that extends into the SKC and forms the eastern boundary of the  
66 North China Craton. The Tanlu Fault also defines the western border of the Northeast Asia  
67 Foldbelt [e.g., *Sengor and Natal'in*, 1996; *Yin and Nie*, 1996].

68 Much of northeastern China has undergone extensive tectonism during the late Mesozoic and  
69 Cenozoic eras [e.g., *Yin*, 2010]. Northeastern China, bounded by the SKC to the south and the Sea  
70 of Japan backarc basin to the east, is part of eastern China's Cenozoic volcanic zone [e.g., *Ren et*  
71 *al.*, 2002]. Episodic volcanism has been particularly prominent along three volcanic mountain  
72 chains (Great Xing'an Range (GXAR), Lesser Xing'an Range (LXAR), Changbai Mountains  
73 (CBM). Rifting and extension are believed to have begun in the late Mesozoic [*Tian et al.*, 1992]  
74 and have led to the development of the Songliao Basin, although the Songliao basement is traced  
75 back to the Archean [*Rogers and Santosh*, 2006]. It has also been hypothesized that there is a  
76 physical linkage between the sequential openings of the Songliao graben [e.g., *Liu et al.*, 2001] and  
77 the Sea of Japan [*Tatsumi et al.*, 1989; *Jolivet et al.*, 1994]. The SKC formed largely in the Archean,  
78 but it is a paradigm of an Archean craton that has lost its lithospheric keel. Petrological and  
79 geochemical evidence [e.g., *Menzies et al.*, 1993; *Griffin et al.*, 1998] suggests that typical cratonic  
80 lithosphere existed beneath the entire SKC until at least the Ordovician, after which the SKC was  
81 reactivated and lithospheric thinning occurred at the minimum beneath the eastern part of the  
82 NCC.

83 The physical mechanisms that have produced the highly distributed volcanism, crustal  
84 extension, cratonic rejuvenation and lithospheric thinning that have occurred across parts of  
85 northeastern China remain poorly understood [e.g., *Deng et al.*, 2007; *Chen*, 2010]. These issues

86 can be illuminated with seismic images of velocity heterogeneities, internal discontinuities, and  
87 crustal and mantle anisotropy, yet the vast majority of the studies to date have been geochemical or  
88 petrological in nature. Increasingly, seismological studies are adding new information due in part  
89 to the rapid expansion of seismic instrumentation in China over the past few years [e.g., *Zheng et*  
90 *al.*, 2010a]. These studies include body wave tomography of the mantle [e.g., *Lebedev and Nolet,*  
91 *2003; Huang and Zhao, 2006; Zhao, 2009; Tian et al., 2009; Xu and Zhao, 2009; Li and van der*  
92 *Hilst, 2010; Santosh et al., 2010*] and of the crust [e.g., *Sun and Toksoz, 2006*]. Pn tomography [*Li*  
93 *et al.*, 2011], receiver functions analyses [*Zheng et al., 2006; Chen et al., 2009*], and shear wave  
94 splitting studies have also been performed [*Zhao et al., 2008; Bai et al., 2010; Li and Niu, 2010*].  
95 Recent body wave results have focused mainly on the eastern part of the NCC, including efforts to  
96 image its thin intact lithosphere as well as the potential remnants of the delaminated lithosphere  
97 near 400 km depth [e.g., *Chen et al., 2009; Xu and Zhao, 2009*].

98 At least parts of northeast China have been imaged by larger scale teleseismic surface wave  
99 dispersion studies [e.g., *Ritzwoller and Levshin, 1998; Ritzwoller et al., 1998; Villaseñor et al.,*  
100 *2007; Yanovskaya and Kozhevnikov, 2003; Huang et al., 2003, 2004; Priestley et al., 2006*].  
101 Recent regional scale teleseismic surface wave studies have also been conducted within North  
102 China [e.g., *Tang and Chen, 2008; Huang et al., 2009; Zhou et al., 2009; He et al., 2009; Pan et al.,*  
103 *2011*], in adjacent regions [e.g., *Yao et al., 2006, 2008*], and in the Sea of Japan [e.g., *Bourova et al.,*  
104 *2010; Yoshizawa et al, 2010*]. Some of these studies are discussed further in the context of our 3D  
105 model in section 6.

106 Within the last few years a new method of surface wave tomography has emerged based on  
107 using ambient seismic noise to extract surface wave empirical Green's functions (EGFs) and to  
108 infer Rayleigh [e.g., *Sabra et al., 2005; Shapiro et al., 2005*] and Love wave [e.g., *Lin et al., 2008*]  
109 group and phase speeds in continental areas. Compared with traditional earthquake tomography  
110 methods, ambient noise tomography is relatively free of artifacts related to the distribution of

111 earthquakes as well as errors in earthquake locations and source mechanisms. The dominant  
112 frequency band for ambient noise tomography lies between about 8 and 40 sec period. Rayleigh  
113 waves in this band are sensitive to crustal and uppermost mantle structures. Ambient noise  
114 tomography has produced phase and group velocity maps in various regions around the world [e.g.,  
115 *Moschetti et al.*, 2007; *Yang et al.*, 2007; *Bensen et al.*, 2008] and also is the basis for 3-D crustal  
116 and uppermost mantle models of isotropic shear velocity structure [e.g., *Yang et al.*, 2008a, 2008b;  
117 *Bensen et al.*, 2009; *Moschetti et al.*, 2010b], radial anisotropy [e.g., *Moschetti et al.*, 2010a], and  
118 azimuthal anisotropy [e.g., *Lin et al.*, 2011]. Ambient noise in east Asia has been shown to be  
119 sufficiently well distributed in azimuthal content to be used for surface wave dispersion  
120 measurements [e.g., *Yang and Ritzwoller*, 2008] and studies based on ambient noise have been  
121 conducted at large scales across all of China [*Zheng et al.*, 2008; *Sun et al.*, 2010], in regions  
122 adjacent to northeastern China [e.g., *Yao et al.*, 2006, 2008; *Guo et al.*, 2009; *Li et al.*, 2009; *Huang*  
123 *et al.*, 2010; *Yang et al.*, 2010; *Zheng et al.*, 2010b; *Zhou et al.*, 2011], within the North China  
124 Craton [*Fang et al.*, 2010], on the Korean Peninsula [*Kang and Shin*, 2006; *Cho et al.*, 2007], and  
125 in Japan [e.g., *Nishida et al.*, 2008].

126 Ambient noise tomography in northeastern China, the Korean Peninsula, the Sea of Japan,  
127 however, is faced with an uncommon technical challenge – the existence of a persistent localized  
128 microseismic source on Kyushu Island [*Zeng and Ni*, 2010] in the period band between about 8  
129 and 14 sec, which has been explained to be caused by long period volcanic tremors beneath Aso  
130 Volcano in the center of Kyushu [*Kawakatsu et al.*, 2011; *Zeng and Ni*, 2011]. This signal causes a  
131 significant disturbance that is observable on cross-correlations of ambient noise, which, if left  
132 uncorrected, would bias measurements of group and phase velocity in this period band of  
133 considerable sensitivity to crustal structure. A principal focus of this paper, therefore, is to identify  
134 this disturbance and minimize its effects on the estimated Rayleigh wave group and phase velocity  
135 dispersion maps.

136 The present paper is based on Rayleigh wave group and phase velocity maps from 8 to 45 sec  
137 period across the Sino-Korea Craton, northeastern China, Korea, and the Sea of Japan. Based on  
138 these maps, a 3-D model of the crust and uppermost mantle is constructed by Monte-Carlo  
139 inversion along with associated uncertainties. The resulting information complements existing and  
140 emerging teleseismic body wave and surface studies by presenting new and much stronger  
141 constraints on the structure of the crust and uppermost mantle. The data processing and quality  
142 control procedures are described in section 2 and methods used to de-sensitize the data to  
143 degradation caused by the persistent, localized Kyushu microseism are presented in section 3. The  
144 Rayleigh wave group and phase velocity maps are described in section 4. Section 5 presents a brief  
145 discussion of the Monte-Carlo inversion method. Section 6 describes the features of the resulting  
146 model. In addition, there is a discussion of several key findings including the relation between sea  
147 floor depth and crustal thickness beneath the Sea of Japan, the horseshoe-shape (in map view) of  
148 the thinnest lithosphere that bounds the NCC, and the Y-shaped asthenosphere (on vertical profiles)  
149 observed beneath the Sea of Japan and the Northeast Asian Foldbelt.

## 150 **2. Data Processing and Quality Control**

151 The data used in this study are continuous seismic waveforms recorded at broadband stations  
152 that existed in and around northeast China and the Sea of Japan from August 2007 to July 2009.  
153 Networks providing data include: (1) Chinese Provincial Networks in northeast China consisting  
154 of 232 broadband seismic stations (referred to here as CEArray), (2) F-Net in Japan comprising  
155 69 long period seismic stations, and (3) the IRIS GSN broadband network in northeast Asia  
156 consisting of 22 stations. In total, two years of continuous waveform data have been acquired  
157 that were recorded at the 323 stations denoted by solid triangles and squares in **Figure 1**. Only  
158 vertical component data are processed, meaning only Rayleigh waves are studied.

159 The data processing procedures follow those of *Bensen et al.* [2007] and *Lin et al.* [2008].  
160 After removing the instrument responses, all records are bandpass filtered between 5 and 150 sec

161 period. We apply both temporal normalization and spectral whitening. Temporal normalization is  
162 applied in an 80 second moving window. Cross-correlations are performed daily between all  
163 pairs of stations and then are stacked over the two-year time window. **Figure 3a** presents example  
164 cross-correlation record sections among Chinese stations, among F-Net stations, and inter-station  
165 pairs between F-Net stations and Chinese stations.

166 Data quality control is discussed here and in section 3 and consists of five principal steps,  
167 denoted A-E. Most of these steps are based on procedures summarized by *Bensen et al.* [2007]  
168 and *Lin et al.* [2008], but because of the mixture of instrument types used in this study and the  
169 existence of the Kyushu microseism we add extra steps to ensure the reliability of the resulting  
170 dispersion measurements. Step A. A dispersion measurement is retained for a cross-correlation at  
171 a given period only if signal-to-noise ratio (SNR)  $> 15$  at that period, where SNR is defined by  
172 *Bensen et al.* [2007]. Step B. We remove the effects of the Kyushu microseism, which we discuss  
173 further in section 3. Step C. We retain an observation at a given period only if both the group and  
174 phase velocities are measured by the automated frequency-time analysis method [*Bensen et al.*,  
175 2007]. Group and phase velocity are separate measurements and are not constrained to agree  
176 even though they are related theoretically [e.g., *Levshin et al.*, 1999]. Step D. We identify and  
177 discard stations with bad instrument responses. Step E. This step is broken into two parts. First,  
178 we only accept dispersion measurements with path lengths  $\geq 3$  wavelengths. Second, a  
179 measurement is retained only if the misfit determined from the final dispersion map is less than  
180 12 sec for group travel time and less than 5 sec for phase travel time, which is somewhat more  
181 than twice the standard deviation of the final misfit. Group and phase velocity dispersion  
182 measurements of Rayleigh waves are obtained on the symmetric component of inter-station  
183 cross-correlations except for paths identified as affected by the Kyushu microseism, as discussed  
184 further in section 3.

185 Because the seismic instruments used in this study differ in origin between China, Japan, and

186 the US, and instruments can vary within CEArray between provinces in China, it is important to  
187 identify errors and inconsistencies in response files. For the IRIS network data, F-Net data and  
188 most of the Chinese stations, full response (RESP) files including both analog and digital filter  
189 stages are available. For a small number of Chinese stations we possess only pole-zero response  
190 files missing the digital filtering stages. We find that for the CEArray instruments, the analog  
191 pole-zero responses sometimes differ from the full responses computed from the RESP files for  
192 stations that have both types of response files. Therefore, we discard the Chinese stations for  
193 which we have not been able to acquire RESP files. This affected 36 stations, none of which are  
194 included in the 232 Chinese stations shown in [Figure 1](#). Using these response files, all data are  
195 converted to velocity prior to cross-correlation.

196 Two other procedures are applied to find other instrument response errors. First, we identify  
197 polarity errors ( $\pi$  phase shift) that may represent a units error in the instrument by comparing  
198 P-wave first motions observed across the array following deep, distant teleseisms. We also look  
199 for half-period misfits based on the final tomography maps at each period. These procedures  
200 identified seven stations with polarity errors that are discarded. Second, we compare the phase  
201 and group times measured on the positive and negative lags of all cross-correlations, which  
202 identifies timing errors as long as both lags have a high SNR [*Lin et al.*, 2007]. These procedures  
203 identified and discarded three Chinese stations.

204 The  $2\pi$  phase ambiguity inherent in phase velocity measurements is resolved iteratively, first  
205 based on phase velocities predicted by the 3D model of *Shapiro et al.* [2002] and then later on  
206 with increasingly refined phase velocity maps that are determined in this study.

207 With more than 300 stations, in principal about 50,000 inter-station cross-correlations could  
208 be obtained. Quality control procedures reduce this number appreciably as [Table 1](#) illustrates.  
209 After applying the selection criteria, we obtain between ~15,000 to 30,000 group and phase  
210 velocity measurements for tomography at periods ranging from 8 to 45 sec, numbers suitable to



211 produce relatively high-resolution group and phase maps across most of the Sino-Korean Craton,  
212 northeastern China, and the Sea of Japan.

### 213 **3. The Effect of the Localized Persistent Kyushu Microseism**

214 In northeast China, a strong disturbance appears on the cross-correlation waveforms. The  
215 record sections shown in **Figures 3b and 3c** show this disturbance, which appears as precursory  
216 signals (identified by white dots) in addition to the expected surface wave part of the empirical  
217 Green's function. Another example is presented in **Figure 4a**, where the disturbance appears at  
218 positive correlation lag between 100 and 150 sec, whereas the desired Rayleigh wave signal  
219 arrives much later and is seen clearly only at negative correlation lag. The arrival of these  
220 disturbances near to the Rayleigh wave wavepackets interferes with the ability to measure  
221 Rayleigh wave speeds accurately. In fact, the effect tends to bias Rayleigh waves fast,  
222 particularly for Rayleigh wave group velocities.

223 These precursory signals are due to the persistent, localized Kyushu microseism that has been  
224 identified and located by *Zeng and Ni* [2010] on the island of Kyushu, Japan, within our study  
225 region. *Kawakatsu et al.* [2011] explain the signal as having originated from long period volcanic  
226 tremor beneath Aso Volcano on Kyushu Island. The period band of this microseism is  
227 dominantly between 8 and 14 sec, and it is somewhat reminiscent of the longer period persistent  
228 26 sec microseism located in the Gulf of Guinea [e.g., *Shapiro et al.*, 2006]. We are interested in  
229 minimizing its interference with surface wave dispersion measurements across the study region.  
230 To do so, we have re-located it, confirming the location of *Zeng and Ni* [2010], and have  
231 developed a data processing procedure that allows reliable dispersion curves to be obtained  
232 across the Sino-Korean Craton, northeastern China, the Korean peninsula, and the Sea of Japan.  
233 The effect of the procedure, however, is to reduce the number of measurements in the region  
234 | broadly surrounding Kyushu at periods between 10 and 18 sec.

235

### 236 **3.1 Re-location of the Kyushu microseism**

237 We use the envelope functions between periods of 10 and 12 sec for the Kyushu signal  
238 observed on inter-station cross-correlations to locate the Kyushu microseism. An example  
239 envelope function is shown in the bottom panel of **Figure 4a**. Based on an initial observed group  
240 velocity map at 11 sec period, we predict the theoretical arrival time of the Kyushu signal for  
241 each inter-station pair for each hypothetical source location on a broad map of the region. We  
242 then take the observed amplitude of the envelope function at the predicted time and plot it at the  
243 hypothetical source location. An example is shown in **Figure 4b**, where the hyperbola identifies  
244 the set of possible locations for the Kyushu microseism for a particular inter-station pair. We  
245 refer to this figure as the migrated envelope function. Finally, we stack over all migrated  
246 envelope functions for cross-correlations involving the GSN stations INCN (Inchon, South  
247 Korea) and SSE (Shanghai, China), with the paths shown in **Figure 4c**. The resulting stack of  
248 migrated envelope functions is shown in **Figure 4d**, demonstrating that the re-location of the  
249 Kyushu microseism is close to the location from *Zeng and Ni* [2010] (**Fig. 4d**) on Kyushu Island.

### 250 **3.2 Eliminating the effect of the Kyushu microseism**

251 Assuming that the Kyushu microseism is a point source, for each inter-station  
252 cross-correlation we calculate the expected arrival time of the Kyushu signal as well as the  
253 theoretical arrival time of the Rayleigh wave between the two-stations. The relative arrival times  
254 of the Kyushu signal (white dots in **Fig. 3**) and the inter-station Rayleigh waves are highly  
255 variable but systematic. When the arrival times of the Kyushu signals are close to the desired  
256 surface waves it becomes difficult to separate the signals between 8 and 14 sec period on the  
257 symmetric component of the cross-correlations. However, the systematics of the relative arrival  
258 times can be exploited to separate the two waves and obtain reliable Rayleigh wave group and  
259 phase velocity measurements for most paths by focusing on a single correlation lag. **Figure 5**  
260 illustrates this point by showing how the Kyushu signal biases group and phase velocity

261 measurements when the Rayleigh wave and the Kyushu signal arrive nearly simultaneously on  
262 the negative lag component of the cross-correlation (Fig. 5a). Figure 5b shows the symmetric  
263 component of the cross-correlation. Figure 5c is the positive component of the original  
264 cross-correlation, which is free from the Kyushu disturbance. In the frequency-time diagrams  
265 [e.g., *Ritzwoller and Levshin*, 1998], large differences between positive and negative correlation  
266 lag times are observed at periods shorter than 18 sec, especially in the group velocity dispersion  
267 curves. This is illustrated by Figure 5d,e, in which the Kyushu signal disturbs the dispersion  
268 curve in Figure 5d but not Figure 5e. The Kyushu signal causes the measured group velocity to  
269 bias towards higher velocities (Fig. 5f). At periods longer than 18 sec, however, differences are  
270 quite small.

271 Therefore, to separate the desired inter-station Rayleigh wave from the Kyushu signal we  
272 must measure the Rayleigh wave dispersion on the correlation lag opposite from the arrival of  
273 the Kyushu signal in the period band of disturbance. The symmetric component, the average of  
274 the cross-correlation at positive and negative lag, cannot be used if the Kyushu signal arrives  
275 near the inter-station Rayleigh wave at either positive or negative correlation lag time.

276 In practice, we take the following steps. At periods greater than 18 sec, we ignore the Kyushu  
277 disturbance and use the symmetric component for dispersion measurement. At periods less than  
278 or equal to 18 sec, the relative arrival time of the Kyushu signal and the surface wave signal at 12  
279 sec period guides the measurement. If the expected apparent speed of the Kyushu signal is  
280 greater than 4.5 km/s and the length of the inter-station path is longer than three wavelengths,  
281 then the Kyushu signal is well enough separated from the surface wave that phase and group  
282 velocities can be measured on the symmetric component. If the expected apparent speed of the  
283 Kyushu signal is less than 4.5 km/s, then we make group and phase velocity measurements on  
284 the correlation lag opposite from the Kyushu signal.

285 As Figure 5a exemplifies, the correlation lag on the opposite side of the Kyushu signal may

286 have a smaller amplitude than the lag containing the Kyushu signal and will have a significantly  
287 lower SNR than the symmetric component. Thus, this data processing procedure tends to lower  
288 the SNR for many inter-station cross-correlations between 8 and 16 sec period. Because  
289 observations are discarded if  $\text{SNR} < 15$ , this reduces the data set appreciably, as **Table 1** shows.  
290 We are still left with sufficient high quality measurements to perform tomography across much  
291 of the study region, however.

292 If left untreated, the Kyushu signal would have vitiated our maps predominantly in South  
293 Korea, the southern Sea of Japan, and in the Kyushu region from 8 to 14 sec period. Artifacts  
294 would have been much stronger on the group velocity than the phase velocity maps. Above 16  
295 sec period, however, even if left untreated, the Kyushu signal would have had only a weak effect  
296 on the estimated maps.

## 297 **4. Rayleigh Wave Dispersion Maps**

### 298 **4.1 Construction of the dispersion maps**

299 Surface wave tomography is applied to the selected dispersion measurements to produce  
300 Rayleigh wave group and phase speed maps on a  $0.5^\circ \times 0.5^\circ$  grid using the ray-theoretic method of  
301 *Barmin et al.* [2001]. Being mostly determined over regional (non-teleseismic) inter-station  
302 distances, the dispersion measurements observed here will not be affected strongly by off-great  
303 circle effects [e.g., *Lin et al.*, 2009] except for relatively long paths undergoing a continent-ocean  
304 transition. This will primarily affect paths from Japanese F-Net to Chinese stations and the maps  
305 of the Sea of Japan may be degraded somewhat by this effect. Finite frequency effects will also  
306 be weak in the period band of study [*Lin et al.*, 2011]. The tomographic method of *Barmin et al.*  
307 is based on minimizing a penalty functional composed of a linear combination of data misfit,  
308 model smoothness, and model amplitude. The choice of the damping parameters is based on the  
309 optimization of data misfit and the recovery of coherent model features. Due to a shortage of

310 measurements, we are unable to produce reliable maps below 8 sec period and above about 45  
311 sec period. The resulting Rayleigh wave dispersion maps, therefore, are constructed between 8  
312 and 45 sec on a 2 sec period grid.

313 During tomography, resolution is also estimated via the method described by *Barmin et al.*  
314 [2001] with modifications presented by *Levshin et al.* [2005]. Resolution is defined as twice the  
315 standard deviation of a 2-D Gaussian fit to the resolution surface at each geographic node.  
316 Examples of resolution maps are plotted in **Figure 6** for the 16 sec and 35 sec period  
317 measurements. Resolution is estimated to be about 100 km across most of the region of study but  
318 degrades to 200-400 km near the boundary of our studied area where station coverage is sparse.

319 Histograms of data misfit using the dispersion maps at periods of 14 sec, 20 sec, 30 sec, and  
320 40 sec are plotted in **Figure 7** for phase and group velocity. Group travel time misfits are  
321 typically 3-4 times larger than phase travel time misfits because phase velocity measurements are  
322 more accurate [*Bensen et al.* 2007; *Lin et al.*, 2008] and group velocity sensitivity kernels have a  
323 larger amplitude. The range of standard deviations of the group travel time misfits is 3.97-4.87  
324 sec and that of phase travel time is 0.95 - 1.45 sec. Phase travel time misfits of ~1 sec between  
325 14 and 30 sec period are indicative of the quality of the data set, being similar to misfits that  
326 result from USArray data [e.g., *Lin et al.*, 2008].

## 327 **4.2 Description of the dispersion maps**

328 Group and phase velocity maps at periods of 12, 20, 30, and 40 sec are plotted in **Figures 8**  
329 and **9**. Only those areas where the spatial resolution is better than 400 km are shown. The 100 km  
330 spatial resolution contour is also plotted as a continuous white line. Because the 3-D model is  
331 discussed in considerable detail in section 6, discussion of the dispersion maps here is brief.

332 At each period, the group velocity measurements are sensitive to shallower structures than  
333 the phase velocities. Phase velocity maps, therefore, generally should be compared with

334 somewhat shorter period group velocity maps. Thus, comparison between **Figures 8 and 9**  
335 reveals that the phase velocity map at 12 sec is similar to the group velocity map at 20 sec and  
336 the phase velocity map at 20 sec is quite like the group velocity pattern at 30 sec. Because of the  
337 lower uncertainty in the phase velocity measurements, however, the phase velocity maps  
338 generally are more accurate than the maps of group velocity.

339 The dispersion maps are sensitive to quite different structures between short and long periods  
340 and between continental and oceanic regions even at the same period. The short period  
341 dispersion maps (12 sec group and phase velocity) are primarily sensitive to upper crustal  
342 velocities in continental areas, which are dominated by the presence or lack of sediments. In  
343 regions with oceanic crust, sensitivity is predominantly to uppermost mantle structure. At  
344 intermediate periods (20 sec phase velocity, 20-40 sec group velocity), the maps are mostly  
345 sensitive to mid- to lower-crustal velocities beneath continents and continent-ocean crustal  
346 thickness variations. At long periods (30-40 sec phase velocity), the maps predominantly reflect  
347 crustal thickness variations on the continent and uppermost mantle conditions beneath oceans.

348 At short periods (12 sec), the dispersion maps in **Figures 8-9** exhibit low velocity anomalies  
349 where sediments are present [*Bassin et al.*, 2000]; e.g., the Songliao Basin (SLB), Huabei Basin  
350 (HB), Bohai Bay (BH), North China Platform (NC), the Yellow Sea (ESYS), and the Tsushima  
351 Basin (TB) in the southern Sea of Japan. The younger, Cenozoic age sediments of Bohai Bay and  
352 the Huabei Basin of the North China Craton are much slower than the older, largely Mesozoic  
353 age sediments of the Songliao Basin. Higher velocities are imaged in the mountains surrounding  
354 the basins; e.g, the Great Xing'an Range (GXAR), Lesser Xing'an Range (LXAR), the Changbai  
355 Mountains (CBM), the Yinshan Mountains (YSM), and the Taihang Mountains (THM), which is  
356 consistent with the presence of crystalline rocks near the surface. Weak positive anomalies are  
357 observed in the Korean Peninsula.

358 At intermediate periods (20 sec phase velocity, 20-30 sec group velocity), relatively low

359 wave speeds are still observed for the deeper basins: Songliao, Huabei, Bohai Bay, and Yellow  
360 Sea. Group and phase velocity are very high in the Sea of Japan reflecting oceanic mantle  
361 lithospheric shear wave speeds that clearly outline the continental boundary of the oceanic crust.  
362 It should be noted that off-great-circle effects that may exist are not severe enough to distort the  
363 borders of the oceanic crust badly. A significant low velocity anomaly appears in Xing'an-East  
364 Mongolia. The anomaly does not develop along the Tancheng-Lujiang Fault, however, but rather  
365 to the west of the Songliao Basin, encompassing the Great Xing'an Range and the Yinshan  
366 Mountains north of the Ordos Block. To the east of the NSGL the crust is much thinner than to  
367 its west [e.g., *Xu*, 2007].

368 For group velocity at 40 sec and phase velocity at periods of 30-40 sec, four principal  
369 observations are worth noting. First, the high wave speed anomalies of the Sea of Japan diminish  
370 from 30 sec to 40 sec period on the phase velocity maps. This reflects relatively thin lithosphere  
371 beneath the Sea of Japan and increased sensitivity to the underlying asthenosphere by the longer  
372 period waves. Second, the Songliao, Huabei, and Bohai basins are situated within the  
373 Songliao-Bohai Graben, which is believed to have formed by back-arc extension and potential  
374 rifting [*Liu et al.*, 2001]. This graben, extending from the Songliao Basin into Bohai Bay, is  
375 associated with a continuous high velocity anomaly, which is clearly observable on the 30 and 40  
376 sec phase velocity maps. Third, on the longest period phase velocity maps, low wave speeds are  
377 found along the entire length of the Northeast Asia Foldbelt, extending into the Korean Peninsula.  
378 Such clear continuous low wave speeds are not apparent on the 40 sec group velocity map  
379 because of the shallower sensitivity of group velocities than phase velocities. Apparent  
380 discrepancies between phase and group velocities such as this one are reconciled by the 3-D  $V_{sv}$   
381 model, as discussed in section 5. Finally, a belt of low velocities is present on the longest period  
382 phase velocity maps in the far eastern Sea of Japan bordering Hokkaido and northern and central  
383 Honshu, extinguishing in southern Honshu, and then re-initiating along Kyushu and the Ryukyu  
384 Islands.

## 385 **5. Construction of the 3-D Model**

386 This study is based exclusively on Rayleigh waves, which are primarily sensitive to vertically  
387 polarized shear wave speeds ( $V_{sv}$ ) that may be slower than horizontally polarized wave speeds  
388 ( $V_{sh}$ ) in regions where anisotropic minerals are preferentially aligned in the horizontal plane.  
389 The velocity difference between  $V_{sv}$  and  $V_{sh}$  is referred to as radial anisotropy. Thus, the model  
390 we produce is a  $V_{sv}$  model. In the presence of substantial radial anisotropy,  $V_{sv}$  can be several  
391 percent lower than the effective isotropic shear wave speed,  $V_s$ . Radial anisotropy is common in  
392 both the mantle [e.g., *Ekstrom and Dziewonski, 1997; Shapiro and Ritzwoller, 2002*] and the crust  
393 [e.g., *Shapiro et al., 2004; Moschetti et al., 2010a,b*], and is geographically variable. We will  
394 generally refer to our 3D model as being a  $V_{sv}$  model, but for simplicity will also refer to it as a  
395 shear wave speed or  $V_s$  model.

396 The 3D  $V_{sv}$  model is based on the Rayleigh wave phase and group speed maps from 8 to 45  
397 sec period on a  $0.5^\circ \times 0.5^\circ$  grid across the study region. Periods at which resolution is greater than  
398 200 km are not included in the inversion. This determines the outline of the model and in oceanic  
399 regions local dispersion curves typically begin at 12 sec period. Local dispersion curves from the  
400 eight locations identified with red dots in [Figure 2](#) are shown in [Figure 10](#) with error bars. The  
401 group velocity and phase velocity curves shown in [Figure 10](#) are mostly smoothly varying and  
402 are able to be fit by a vertically simple  $V_s$  model at each point within observational error. In  
403 particular, the group and phase velocity curves are reconcilable at each point. Local misfit is  
404 presented for each point in [Figure 10](#), where “RMS misfit” means the square root of the reduced  
405 chi-square value:

$$406 \text{ RMS misfit} = \left[ \frac{1}{N} \sum_i \frac{d_i - p_i}{\sigma_i^2} \right]^{\frac{1}{2}} \quad (1)$$



407 where  $d_i$  is the observed group or phase velocity value,  $p_i$  is the value predicted from the model,  
408  $\sigma_i$  is the uncertainty for the observation, and  $N$  is the total number of phase plus group velocity  
409 values along the dispersion curves.

410 Uncertainties in the phase velocity curves can be estimated by using the eikonal tomography  
411 method [Lin *et al.*, 2009], but the method requires a more uniform array spacing than exists in the  
412 study region. Therefore, the uncertainties shown in Figure 10 are not from the data set presented  
413 here, but, as discussed in section 2, misfit statistics in the present study are similar to those that  
414 emerge in the western US based on the regularly spaced USArray Transportable Array. For this  
415 reason, we take the average uncertainties estimated by eikonal tomography using USArray in the  
416 western US, but to be conservative we double them [Lin *et al.*, 2009; Moschetti *et al.*, 2010a,  
417 2010b].

418 The 3D model is constructed via a Monte-Carlo method that is similar to the methods of  
419 Shapiro and Ritzwoller [2002] and Yang *et al.* [2008] but is more closely related to the method of  
420 Shen *et al.* [2011]. Details can be found in Shen *et al.* [2011], which we summarize briefly here.

421 The starting model for the Monte-Carlo search derives from the  $V_{sv}$  values of the global  
422 model of Shapiro and Ritzwoller [2002] with two principal modifications. First, we simplify the  
423 starting crustal model considerably: the two sedimentary layers and three crystalline crustal  
424 layers of the model of Shapiro and Ritzwoller are averaged separately to define only two constant  
425 velocity layers (not including a possible water layer). Second, crustal thickness is not allowed to  
426 be less than 20 km in the Sea of Japan, as discussed in section 6.1. Uniformly distributed  
427 perturbations in  $V_{sv}$  are considered using a single sedimentary layer with variable thickness and  
428 shear velocity, four B-splines for  $V_{sv}$  in the crystalline crust, and five B-splines in the mantle to  
429 a depth of 150 km, below which the model is a constant velocity half-space. The resulting model  
430 is vertically smooth in both the crystalline crust and mantle. Moho depth is allowed to vary in a  
431 uniform interval of  $\pm 10$  km relative to the starting model.  $V_{sv}$  is constrained to increase

432 monotonically in the crystalline crust and the depth derivative of velocity directly below Moho is  
433 constrained to be positive (i.e., velocity increases with depth right below Moho, but can decrease  
434 deeper into the mantle). Both constraints are introduced to reduce the model space, in particular  
435 the magnitude of the trade-off between Moho depth with structures at depths adjacent to Moho.  
436 The model has no radial anisotropy, thus  $V_s = V_{sh} = V_{sv}$ . Also, we assume that the crystalline  
437 crust and mantle is a Poisson solid and set  $V_p = 1.73V_s$ , in the sediments we use  $V_p = 2.0V_s$ ,  
438 and for density we use the scaling relation advocated by *Christensen and Mooney* [1995]:  $\rho =$   
439  $0.541 + 0.3601V_p$ , where  $\rho$  is in  $\text{g/cm}^3$  and  $V_p$  is in  $\text{km/s}$ . We apply a physical dispersion  
440 correction [*Kanamori and Anderson, 1977*] using the Q model from PREM [*Dziewonski &*  
441 *Anderson 1981*], and the resulting model is reduced to 1 sec period. Offshore, the water depth is  
442 re-calculated based on a  $0.2^\circ \times 0.2^\circ$  average of bathymetry at each point.

443 Models are chosen randomly guided by a Metropolis algorithm [e.g., *Mosegaard and*  
444 *Tarantola, 1995*] is accepted if the reduced  $\chi^2$  misfit to the dispersion curves is less than twice  
445 the minimum misfit,  $\chi_{\min}^2$ , at each location. Reduced  $\chi^2$  misfit is the square of RMS misfit  
446 defined in equation (1). RMS misfit averages less than 1.0 across the region of study. Much of  
447 the misfit results from group velocities at short periods.

448 As examples, the procedure yields the eight ensembles of models presented in **Figure 11**  
449 derived from the eight pairs of Rayleigh wave dispersion curves shown in **Figure 10**. The  
450 ensemble is represented by the grey shaded region, which presents two standard deviations ( $2\sigma$ )  
451 around the mean at each depth in each direction. The dispersion curves predicted by the  
452 best-fitting model are shown in **Figure 10** as the blue (group velocity) and red (phase velocity)  
453 lines. At each depth, the  $V_{sv}$  model that we plot in subsequent figures and its uncertainty are  
454 defined by the middle and quarter-width ( $1\sigma$ ) of the ensemble, respectively. The uncertainties in  
455 the model are largest where shear wave speeds trade-off effectively with boundary topography,

456 which occurs near free boundaries in the model: Moho and the base of the sedimentary layer.  
457 Thus, uncertainties typically grow near the top of the crystalline crust and both above and below  
458 Moho.

459 The eight examples of dispersion curves and the ensembles of models that fit them, presented  
460 in [Figures 10 and 11](#), demonstrate how vertically smooth models with two discontinuities can fit  
461 the data well across the study region. A closer inspection of the model profiles, however, reveals  
462 the limitations of inversion methods based exclusively on surface waves. In particular, the model  
463 is affected by the starting model around which the Monte-Carlo method samples, particularly  
464 sedimentary thickness and crustal thickness.

465 On the continent, input model-dependence is most important beneath sedimentary basins  
466 where our simple parameterization of sedimentary velocities may not faithfully represent local  
467 structure. A potential example of this is shown in [Figure 11e](#), beneath the Songliao Basin. Model  
468 velocities beneath the basin are very low ( $\sim 3.2$  km/s) in the crystalline upper crust, which causes  
469 a large vertical crustal velocity gradient that may not be realistic. Thus, errors in the input model  
470 and the simplicity of parameterization of sedimentary structure may bias  $V_s$  low in the upper  
471 crystalline crust. For this reason, we do not interpret the resulting 3D model at depths above the  
472 lower crust. Imposition of constraints from receiver functions [e.g., *Shen et al.*, 2011] would help  
473 to resolve this issue, but is beyond the scope of this work.

474 In the ocean, in contrast, crustal thickness in the starting model is more poorly known than on  
475 the continent. Surprisingly, however, the resulting model is fairly independent of the starting  
476 model. This is illustrated by [Figure 12](#), which shows the resulting ensemble of models for a point  
477 in the Sea of Japan with two different starting models in which Moho is at 8 km ([Fig. 12a](#)) or 20  
478 km ([Fig. 12b](#)), respectively. In both cases the surface wave data are fit admirably and nearly  
479 identically (e.g., [Fig. 11h](#)). With different starting Moho depths of 8 km and 20 km, estimated

480 mantle velocities at 20 km depth are nearly identical in the two ensembles. Crustal velocities and  
481 crustal thicknesses differ somewhat (average = 13 km and 15 km, respectively), but are within  
482 estimated error. Although crustal thickness may vary from 10 km [*Sato et al.*, 2004] to more than  
483 20 km [*Kurashimo et al.*, 1996] across the Sea of Japan, by setting the starting Moho depth to 20  
484 km we are able to estimate mantle velocities reliably and recover crustal velocities and  
485 thicknesses to within estimated errors. Further discussion of crustal thickness beneath the Sea of  
486 Japan is presented in section 6.2, which describes the observed anti-correlation between crustal  
487 thickness and sea floor depth and provides further support for the argument that crustal thickness  
488 is relatively well determined beneath the area.

489 Results from the individual vertical profiles are aggregated into the 3-D model: the middle  
490 of the ensemble of accepted models defines the model profile at each point and the spread at  
491 each depth yields the uncertainty. **Figure 13** shows the  $V_{sv}$  model at four depths: (a) in the lower  
492 crust 4 km above Moho, (b) 40 km, (c) 60 km, and (d) 80 km beneath the surface. Because the  
493 dispersion curves extend only up to 45 sec period, the model is not reliable beyond a depth of  
494 ~100 km. Estimated Moho depth and its uncertainty are shown in **Figure 14**. **Figure 14** also  
495 presents the estimated velocity jump across Moho and its uncertainty. Uncertainties in shear  
496 wave speed at the four depths shown in **Figure 13** are presented in **Figure 15**. Five vertical slices  
497 of the model are presented in **Figure 16** along the profiles identified in **Figure 14a**: A-A'  
498 extending northwest-southeast through the Songliao Basin and the Sea of Japan, B-B' running  
499 northeast-southwest through the Songliao Basin and the eastern North China Craton, C-C'  
500 extending roughly west-east from the Ordos Block through the North China Craton, the Bohai  
501 Gulf, Korean Peninsula, and the southern Sea of Japan, D-D' running northwest-southeast from  
502 Xing'an-East Mongolia through the Yellow Sea to the East China Sea, and E-E' extending  
503 northwest-southeast from the Ordos Block, through the eastern NCC, to the East China Sea. The  
504 model is discussed in detail in section 6.

505 Model uncertainties are presented in [Figure 14b](#) for Moho and in [Figure 15](#) corresponding to  
506 the horizontal depth slices in [Figure 13](#). Model uncertainties are largest near Moho, i.e., in the  
507 lower crust and uppermost mantle as [Figure 15a,b](#) reflect. At 40 km depth, large uncertainties  
508 follow the NSGL which marks the point at which Moho depth approximately crosses 40 km  
509 depth. Uncertainties at 40 km depth are smallest in the Sea of Japan because the crust is thinnest  
510 and this depth is most removed from Moho. Uncertainties diminish as one moves deeper beneath  
511 Moho, but grow again in the deeper parts of the model as data constraints weaken ([Fig. 15c,d](#)).  
512 Uncertainties in crustal thickness are nearly homogeneous across the study region, but diminish  
513 somewhat beneath the Sea of Japan. Conversely, uncertainties in the velocity jump across Moho  
514 are largest beneath the Sea of Japan.

## 515 **6. Discussion**

### 516 **6.1 Structural features of the 3D model**

517 As discussed in section 5, the trade-off between sedimentary thickness and shear velocities in  
518 the upper crust is strong beneath the Songliao and Huabei Basins; thus, we do not present or  
519 discuss upper crustal velocities here. Lower crustal velocities are much more robust, however,  
520 and they are shown in [Figure 13a](#), which is a profile taken 4 km above Moho. On the continent,  
521 lower crustal velocities average about 4.0 km/s, but bifurcate. Velocities are higher, averaging  
522 about 4.10 km/s, beneath the Korean peninsula, the Northeast Asian Fold Belt and the Songliao  
523 Basin but are slower elsewhere, including the North China Craton, the Xing'an-East Mongolia,  
524 and the Yellow Sea, averaging about 3.94 km/s. The high velocity lower crust beneath far eastern  
525 Asia and the Songliao Basin may represent compositional differences between these regions and  
526 the tectonically distinct North China Craton and Xing'an-East Mongolia. The slowest lower  
527 crustal velocities are observed beneath the Sea of Japan, being on average about 3.75 km/s. The  
528 lowest velocities occur in the southern part of the basin, which is mainly extended continental

529 crust [Tamaki *et al.*, 1992]. The largest uncertainties in lower crustal velocities are for the Sea of  
530 Japan, however (Fig. 15a).

531 We use the term “mantle lithosphere” here qualitatively and relatively, and it may be  
532 substituted with the term “seismic lid”. We take it to mean the high velocity zone in the  
533 uppermost mantle more or less directly below Moho. Thus, in our usage, the base of the  
534 lithosphere is the depth at which velocities begin to decrease beneath higher velocities in the  
535 overriding mantle. With this definition, on the vertical profiles in Figure 16 the base of the  
536 lithosphere occurs at the depth at which blue colors give way to green colors (~4.5 km/s) if the  
537 highest velocities are blues. If the highest velocities are greens (e.g., beneath the Sea of Japan),  
538 the base of the lithosphere occurs where the greens give way to white (~4.4 km/s). The term  
539 “asthenosphere” is defined more quantitatively, as the region of the mantle where  $V_s < 4.25$  km/s,  
540 or where red colors are found in Figure 16. With these definitions, for example, the lithosphere is  
541 70-80 km thick beneath the Songliao Basin (Fig. 16, B-B’) and is 50-60 km thick beneath the  
542 Sea of Japan (Fig. 16, A-A’). The asthenosphere exists on the vertical profiles only beneath some  
543 of the North China Craton (Fig. 16, B-B’, C-C’), the regions bordering the Sea of Japan (Fig. 16,  
544 A-A’, C-C’), and the East China Sea (Fig. 16, D-D’, E-E’). Thus, with these definitions, across  
545 most of the region the asthenosphere is not reached by 100 km depth. Across most of the region  
546 the lithosphere is underlain by a zone that is transitional between lithospheric and asthenospheric,  
547 which appears mainly as green and yellow colors on the vertical profiles.

548 In terms of deeper structures, we describe four principal features: (1) crustal thickness  
549 variations across the region, (2) the lithospheric structure of the Songliao – Bohai graben, (3) the  
550 uppermost mantle beneath the Sea of Japan and the East China Sea, and (4) the uppermost  
551 mantle beneath the North China Craton.

552 (1) Crustal thickness is presented in Figure 14a. Although internal boundaries such as Moho

553 are notoriously difficult to estimate reliably from surface waves, particularly beneath continents,  
554 crustal thickness is seen to reduce below about 40 km along the North-South Gravity Lineament  
555 (NSGL). In fact, the NSGL can be seen to mark the approximate location of the 40 km contour in  
556 crustal thickness across the region. The region west of the North-South Gravity Lineament  
557 (NSGL) is in the crust at 40 km depth, whereas this depth is in the mantle beneath the rest of the  
558 region. Thus, the lowest velocities near the western edge of the map in [Figure 13b](#) simply reflect  
559 crustal wave speeds.

560 Beneath the Sea of Japan, as [Figure 12](#) illustrates, the ability to estimate crustal thickness  
561 improves relative to the continent. A blow up of the crustal thickness is presented in [Figure 17a](#).  
562 As expected, the crust is thinnest where the sea is deepest, principally in the Japan Basin, which  
563 defines the northern and western parts of the Sea of Japan. The crust thickens, for example,  
564 beneath the Yamato Rise, the topographic rise in the central Sea of Japan. Crustal thickness  
565 beneath the Sea of Japan is discussed further in section 6.2, where the anti-correlation observed  
566 between crustal thickness and water depth is used to support the reliability of the crustal  
567 thickness estimates in oceanic regions.

568 (2) At 40 km depth east of the NSGL relatively high wave speeds ( $>4.4$  km/s) lie beneath  
569 the Songliao Basin and run to the Bohai Sea, as [Figure 13b](#) shows. The high velocities beneath  
570 the Songliao Basin form a lid that extends to 60-70 km but then terminates, being underlain by  
571 more normal continental uppermost mantle wave speeds beneath the Basin by 80 km depth. This  
572 can be seen clearly on vertical profiles A-A' and B-B' of [Figure 16](#), in which the relatively  
573 thick fast lithosphere beneath the Songliao graben is contrasted with the thinner slower  
574 lithosphere of the North China Craton and the Sea of Japan. The mantle lithosphere, however,  
575 beneath the Songliao Basin is not cratonic in nature, contrasting with the much thicker  
576 lithosphere beneath the Ordos Block (e.g., [Fig. 13d](#)). Although the Ordos Block is only partially  
577 imaged in this study, high velocities are seen to underlie it in the uppermost mantle extending at

578 least to 100 km depth (and presumably deeper) as vertical profile C-C' in Figure 16 illustrates.  
579 Although the lithosphere underlying the Songliao Basin is not as thin as beneath the North China  
580 Craton, it is still thin for a region believed to have a basement of Archean age.

581 (3) At 40 km depth, relatively high wave speeds (>4.4 km/s) also underlie the Yellow and  
582 Japan Seas. Although similar in the uppermost mantle, below 40 km the mantle structures  
583 beneath these seas lose their similarity. The uppermost mantle beneath the Yellow Sea is  
584 continental in character as profile D-D' in Figure 16 shows. Much lower wave speeds exist in the  
585 back-arc spreading region beneath the Sea of Japan, as can be seen on Figure 13c,d. Starting at  
586 about 60 km depth, low velocities bound the eastern margin of the Sea of Japan and also to a  
587 lesser extent the western margin, extending onto the continent. Low velocities also bound the  
588 eastern edge of the East China Sea, east and southeast of the Yellow Sea near the Ryuku Islands.  
589 By 80 km depth, the bifurcated low velocity zone across the Sea of Japan has largely merged into  
590 a more homogeneous low velocity asthenosphere beneath the entire sea, and the western edge of  
591 the anomaly has spread further inland to underlie the Northeast Asia Foldbelt, including the  
592 volcanic region of the Changbai Mountains. This is presented most clearly in Figure 18, which  
593 displays two low velocity contours at 80 km depth:  $V_s < 4.25$  km/s and  $4.25$  km/s  $\leq V_s < 4.35$   
594 km/s. This also can be seen clearly in profile A-A' of Figure 16, which extends across the center  
595 of the Sea of Japan, but is also visible in profile C-C' which run through the sea farther south. We  
596 refer to the low velocities bounding the Sea of Japan as a "Y-shaped" anomaly on vertical  
597 profiles, which is apparent on profile A-A'. A relatively high velocity 50-60 km thick lithosphere  
598 is observed beneath the central Sea of Japan, as profiles A-A' and C-C' illustrate. This relatively  
599 thin lithosphere should be contrasted with the thicker faster lithosphere beneath the Songliao  
600 Basin, which is clearly seen on profile A-A'. The bordering Y-shaped asthenospheric anomaly  
601 and the thin lithosphere underlying the Sea of Japan are discussed further in section 6.3.

602 The East China Sea lies near the southeastern corner of our study region, but like the Sea of



603 Japan its eastern margin near the Ryuku Islands is underlain by low velocities in the uppermost  
604 mantle. This is apparent in [Figure 18](#), [Figure 13d](#) and vertical profile D-D' in [Figure 16](#). Unlike  
605 the Sea of Japan, however, there is no “Y-shaped” anomaly beneath the East China Sea as the  
606 western part of the sea merges with fast continental lithosphere beneath the Yellow Sea with no  
607 low velocity feature in the uppermost mantle.

608 (4) The North China Craton deviates from most of the rest of continental northern and  
609 northeastern China by possessing much slower wave speeds at depths greater than about 60 km.  
610 This can be seen clearly on profile B-B' of [Figure 16](#), which shows that the mantle lithosphere  
611 beneath the North China craton is thinner and slower than beneath the Songliao Basin. Profile  
612 C-C' illustrates, however, that the lithosphere extends deeper than beneath the Sea of Japan, with  
613 underlying asthenosphere that is not as slow. However, asthenospheric wave speeds do underlie  
614 the lithosphere beneath the North China Craton below about 80 km depth. These low wave  
615 speeds in the uppermost mantle are horseshoe in shape on horizontal cross-sections across the  
616 eastern North China Craton as seen in [Figure 18](#), in which the low velocities follow the western  
617 and eastern flanks of the eastern part of the North China Craton. The middle of the eastern part of  
618 the North China Craton is not slow, however. The seismic structure of the North China Craton is  
619 discussed further in section 6.4.

## 620 **6.2 Seafloor depth and crustal thickness beneath the Sea of Japan**

621 The crustal structure beneath the Sea of Japan is highly heterogeneous [e.g., *Taira*, 2001].  
622 The Japan Basin is principally oceanic crust, while most of the Yamamoto and Tsushima basins  
623 are extended continental crust, the Korean Plateau and Yamamoto Rise are composed mainly of  
624 continental crust, and the eastern and southern boundaries of the Sea are rifted continental crust  
625 [*Tamaki et al.*, 1992]. Thus, crustal thickness varies appreciably across the basin in a way that we  
626 expect to be related to seafloor depth, assuming isostatic compensation. [Figure 17b](#) presents

627 seafloor depth (bathymetry) across the Sea of Japan plotted versus solid crustal thickness from  
628 our 3-D model. Seafloor depth and our estimate of crustal thickness (Fig. 17a) are correlated,  
629 although there is substantial scatter. Assuming that water depth,  $H_W$ , is the dependent variable  
630 and crustal thickness,  $H_C$ , is the independent variable, the least-squares linear fit, shown as the  
631 red line in Figure 17b, is  $H_W = -0.22 H_C + 6.07$ , where  $H_W$ ,  $H_C$ , and the constant term are in km.  
632 The linear fit provides a variance reduction of 57%.

633 The observed derivative  $dH_W/dH_C = -0.22$  provides information about the nature of isostatic  
634 compensation in the region. For crustal Airy isostasy, with mantle density equal to  $3.32 \text{ g/cm}^3$   
635 and a water density of  $1.05 \text{ g/cm}^3$ ,  $dH_W/dH_C$  can be computed for different crustal densities. For  
636 an average continental crustal density of  $\rho_{\text{cont}} = 2.75 \text{ g/cm}^3$ ,  $dH_W/dH_C \approx -0.25$ , and for an average  
637 oceanic crustal density of  $\rho_{\text{oc}} = 2.9 \text{ g/cm}^3$ ,  $dH_W/dH_C \approx -0.19$ . Thus, the estimated value of  
638  $dH_W/dH_C = -0.22$  is understood to be an average of the values expected if the Sea of Japan were  
639 composed homogeneously of either oceanic or continental crust. In fact, much of the scatter  
640 around the linear fit seen in Figure 17b is due to crustal heterogeneity across the sea. However,  
641 local isostasy may not be dominated by the crystalline crust alone, but may also be affected  
642 strongly by sediments and thermal buoyancy from the mantle, both of which have been ignored  
643 in the analysis here.

644 A more careful analysis that considers lateral crustal heterogeneity, thermal buoyancy from  
645 the mantle, the effects of sediments, and uncertainties in the crustal thickness estimates is beyond  
646 the scope of this paper. The results presented here demonstrate the reliability of the crustal  
647 thickness estimates across the Sea of Japan and also provide evidence that further analysis of the  
648 relation between seafloor depth and crustal thickness is warranted.

649

### 650 **6.3 Lithospheric and asthenospheric structure beneath the Sea of Japan**

651 Our 3-D model images what we refer to as a “Y-shaped” anomaly on vertical profiles with  
652 low velocity arms reaching upward beneath the eastern and western borders of the Sea of Japan  
653 extending well into continental East Asia on the west side (e.g., Fig. 16, A-A’), with an overlying  
654 ~60 km thick lithosphere beneath the Sea of Japan. The location of the lowest wave speeds at 80  
655 km depth can be seen in Figure 18. In recent years, several other tomographic studies have been  
656 performed that are complementary to the ambient noise tomography presented here, which have  
657 imaged the mantle beneath the Sea of Japan. These studies possess greater depth penetration  
658 although lower resolution than our study and provide validation and assist with interpreting our  
659 results.

660 Based on Rayleigh and Love wave phase velocities obtained from teleseismic earthquakes  
661 using the two-station method, *Yoshizawa et al.* [2010] present a 3-D model of shear wave speeds  
662 from 40 to 200 km depth. Although their resolution is best beneath Japan and fades by the central  
663 Sea of Japan, their results in regions of good data coverage are similar to ours in several respects.  
664 First, they report an average lithospheric thickness beneath the Yamato Rise and the Japan Basin  
665 of  $60 \pm 10$  km, which is similar to what we observe (e.g., Fig. 16, A-A’, C’C’). Second, they also  
666 observe low velocity anomalies at 75 km depth bordering Honshu beneath the eastern Sea of  
667 Japan and the East China Sea, west of the Ryuku Islands. Thus, they also image the eastern arm  
668 of the Y-shaped anomaly that we report here and, like our model, observe it to migrate westward  
669 with depth. Their data coverage does not extend into east Asia. However, the teleseismic body  
670 wave study of *Zhao et al.* [2007] presented a large-scale image of the upper mantle beneath east  
671 Asia, which shows the stagnation of the subducted Pacific Plate beneath the Sea of Japan and  
672 clear low velocity anomalies in the back-arc region. This study concentrates interpretation on the  
673 release of volatiles from the slab at several depths to produce the low velocity anomalies  
674 observed in the back-arc region.

675 Thus, *Yoshizawa et al.* [2010] serves to validate our model and *Zhao et al.* [2007] provides a

676 means to interpret the Y-shaped anomaly based on what they call the “Big Mantle Wedge” model  
677 of the Japanese back-arc and the devolatilization of the subducted slab. In their interpretation, the  
678 western arm of the Y-shaped anomaly beneath the western Sea of Japan and the East Asian Fold  
679 Belt would be caused by dehydration reactions of the stagnant slab in the mantle boundary layer  
680 (410-660 km). These warm hydrated upwellings are the cause of volcanism in East Asia (e.g.,  
681 Changbai volcano) and may have precipitated the crustal rifting that led to the opening of the Sea  
682 of Japan. Presumably, the stagnant slab would generate hydrated plumes across the entire Sea of  
683 Japan, but the stretched and thinned continental lithosphere remains intact beneath the central  
684 Sea of Japan, as **Figure 16** A-A’, C-C’ demonstrate, impeding upward migration of the hydrated  
685 plumes. These plumes would then become part of a westward directed convecting system that  
686 would amplify mantle hydration in the western Sea of Japan, near the rift margin. The eastern  
687 arm of the Y-shaped anomaly would be caused by slab dehydration at shallower depths, possibly  
688 caused by antigorite-related reactions. Thus, the Y-shaped anomaly reflects the details of shallow  
689 and deep slab dehydration and convective circulation in the mantle wedge above a stagnant slab.

#### 690 **6.4 Lithospheric Rejuvenation of the North China Craton**

691 The Chinese part of the Sino-Korean Craton, called the North China Craton (NCC), is  
692 composed of two Archean blocks, the eastern and the western NCC, which are separated by the  
693 central NCC. The central NCC is a Paleoproterozoic orogen called the Trans-North China Orogen.  
694 The three parts of the NCC are enclosed by red lines in **Figure 2**. The NCC is believed to have  
695 cratonized by about 1.85 Ga, but the eastern NCC has undergone significant tectonothermal  
696 rejuvenation from the Ordovician to Cenozoic [*Menzies et al., 1993, 2007; Griffin et al., 1998;*  
697 *Gao et al., 2002, 2004; Zhao et al., 2005, 2008*]. These conclusions have been based on  
698 basalt-borne xenoliths and geophysical evidence for thin lithosphere (< 100 km) with high heat  
699 flow in this region from the early Cenozoic to the present [e.g., *Menzies et al., 1993; Griffin et al.,*  
700 *1998; Fan et al., 2000; Xu, 2001; Wu et al., 2005; Chen et al., 2006, 2008, 2009*]. Most studies

701 agree that the central and western parts of the NCC have evolved differently than the eastern NCC  
702 during Phanerozoic time [e.g., *Xu et al., 2004; Xu, 2007*], although some recent seismic studies  
703 point to localized lithospheric thinning in the central and even western NCC [e.g., *Huang et al.,*  
704 *2009; Chen et al., 2009*] and the generally high lateral heterogeneity of the lithosphere underlying  
705 the NCC. The mechanism of Phanerozoic lithospheric rejuvenation and its variability across the  
706 NCC remain intensely debated [e.g., *Chen, 2010* for a recent summary]. Understanding of the  
707 Phanerozoic lithospheric tectonics would be improved with clearer images of the current structure  
708 of the crust, mantle lithosphere, and asthenosphere across the NCC.

709 To this debate our 3-D model presents new relatively high-resolution images of the crust and  
710 uppermost mantle beneath most of the NCC. Crustal velocities beneath the eastern NCC are not  
711 particularly distinct from surrounding regions (e.g., **Fig. 13a**), although the crust may be slightly  
712 thinner, on average (**Fig. 14a**). More significantly, the eastern NCC hosts a “horseshoe” shaped  
713 anomaly in map view in the uppermost mantle, which is identified at 80 km depth in **Figure 13d**  
714 and, perhaps more clearly, by the blue contour in **Figure 18**. The velocities are lowest in the  
715 northern part of the North China Platform near the intersection of the NSGL with the boundary  
716 between the central and eastern NCC. The low velocities continue southward, flanking the eastern  
717 NCC along the NSGL in the west and the TLF in the east. Low velocities also extend into small  
718 parts of the central NCC and the western NCC near the Datong Volcanoes. The south central part  
719 of the NCC is not particularly slow in the uppermost mantle as **Figure 16** profile E-E’ illustrates,  
720 which reveals the considerable variability of lithospheric structure even within the eastern NCC.

721 Within the “horseshoe” shaped anomaly bounding three sides of the eastern NCC (**Fig. 18**), the  
722 lithosphere can be seen to be about 60 km thick on vertical profiles B-B’ and C-C’ of **Figure 16**.  
723 The flanks of the eastern NCC are underlain by asthenospheric low velocity anomalies below 70  
724 km depth as can be seen on profiles B-B’, C-C’, and E-E’. Profile B-B’ illustrates that the  
725 lithosphere is not simply thin; the velocities are lower than beneath the Songliao Basin to the north

726 and the Ordos Block to the west. Thus, we hypothesize that the low velocity anomalies that bound  
727 three sides of the eastern NCC identify a region of more intense tectonothermal activity than in  
728 south-central part of the eastern NCC. Indeed, lithospheric rejuvenation may have reached nearly  
729 to the base of the crust in the most intensely altered zones.

## 730 **7. Conclusions**

731 This paper presents a high-resolution 3-D shear velocity model of the crust and uppermost  
732 mantle with associated uncertainties across the North China Craton, northeastern China, the  
733 Korean Peninsula, and the Sea of Japan constructed from the dispersion characteristics of  
734 Rayleigh waves obtained from ambient noise cross-correlations. Broadband stations from  
735 Chinese Provincial Networks, the Japanese F-Net, and the IRIS GSN have produced two-year  
736 continuous time series from 2007 into 2009 that form the basis for these results. Phase and group  
737 velocity maps from 8 sec to 45 sec period are first constructed, which constrain earth structures  
738 in the crust and uppermost mantle to a depth of about 100 km. The dispersion maps are  
739 geologically coherent, displaying the signatures of sedimentary basins, mountain roots,  
740 ocean-continent variations, and anomalies due to rifting and volcanism. The maps at different  
741 periods and between phase and group velocities are consistent with one another, and vertically  
742 relatively smooth  $V_{sv}$  models fit the observations.

743 To generate the dispersion maps presented here, the effects of the persistent localized  
744 Kyushu microseism had to be eliminated in the dispersion measurements. We present a method  
745 based on locating the microseism (thereby confirming the location of *Zeng and Ni* [2010]),  
746 predicting the arrival of the disturbing signal on the ambient noise cross-correlations, and  
747 obtaining the dispersion measurements by using the Green's functions at the opposite  
748 cross-correlation lag times for the station pairs in which the apparent speed of the Kyushu signal  
749 is less than 4.5km/s.

750 Ambient noise tomography places unique constraints on the structure of the crust and

751 uppermost mantle, providing information complementary to body wave travel times, receiver  
752 functions, longer period teleseismic surface waves, gravity, and other types of data. The  
753 motivation for the 3-D model is to produce new constraints on the volcanism, crustal extension,  
754 cratonic rejuvenation, and lithospheric thinning that are hypothesized for the region of study. The  
755 model presented here provides new information about these processes, particularly about the  
756 geometry (the geographic distribution and depth extent) of the observed features in the top 100  
757 km. A detailed interpretation of the model is inconsistent with the scope of this paper, but we  
758 focus discussion on four principal model features: crustal thickness variations, particularly those  
759 associated with the North-South Gravity Lineament and beneath the Sea of Japan, the prominent  
760 but thin lithosphere underlying the Songliao-Bohai Graben, the “Y-shaped” mantle low velocity  
761 anomaly (on vertical profiles) bordering the Sea of Japan, and a “horse-shoe” shaped  
762 asthenospheric anomaly (in map view) bounding three sides of the eastern North China Craton.

763 (1) The NSGL is observed to follow approximately the 40 km crustal thickness contour.  
764 Beneath the Sea of Japan, crustal thickness is anti-correlated with water depth, and the  
765 relationship is consistent with expectations based on crustal isostasy for a laterally  
766 compositionally variable crust. (2) The Songliao-Bohai Graben is underlain by a high velocity  
767 lower crust and a fast mantle lithosphere that extends to about 70 km depth. The lithosphere is  
768 not attenuated, that is seismic velocities are high, but it is thinner than expected for a region with  
769 parts traceable back to the Archean. (3) Low velocity anomalies reach upward beneath the  
770 eastern and western borders of the Sea of Japan, extending well into continental East Asia on the  
771 west side. The arms of this Y-shaped anomaly are separated by the intervening overlying  
772 lithosphere, which is ~60 km thick and may represent stretched continental lithosphere. We argue  
773 that the Y-shaped structure in the east reflects relatively shallow slab dehydration and in the west  
774 deeper dehydration and convective circulation in the mantle wedge overlying the stagnant slab.  
775 (4) Very thin, attenuated lithosphere bounds three sides of the eastern North China Craton (NCC),  
776 but somewhat thicker faster lithosphere underlies the central and southern parts of the eastern

777 NCC. These low velocity anomalies identify a region of intense tectonothermal modification  
778 where lithospheric rejuvenation may have reached nearly to the base of the crust.

779 In the future, assimilating similar results from a companion paper for southern China [*Zhou*  
780 *et al.*, 2011] will allow the 3-D model to extend across all of eastern China. The geometry of the  
781 observing array makes the application of differential travel time methods for earthquakes  
782 difficult, such as eikonal tomography [*Lin et al.*, 2009], Helmholtz tomography [*Lin and*  
783 *Ritzwoller*, 2011], or two-plane wave tomography [e.g., *Yang et al.*, 2008b]. However, the  
784 success of such methods would allow dispersion maps to be extended to longer periods and,  
785 therefore, for the model to reach greater depths in the mantle. In addition to the production of a  
786 3-D Vs model of the crust and uppermost mantle, other research envisioned includes the  
787 estimation of azimuthal anisotropy, which would place complementary constraints on the  
788 physical processes that motivate this study. In addition, future understanding of the dispersion  
789 characteristics of Love waves would allow estimation of radial anisotropy, which reflects  
790 deformation undergone by the crust and uppermost mantle.

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1080 **Table 1:** Number of measurements retained after each step in data quality control.

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Period (s)	Step A	Step B	Step C	Step D	Step E (phase)	Step E(group)
12	27033	20732	20701	19365	17887	16873
14	31356	25770	25740	24082	22606	21593
20	33573	33573	33564	31555	29835	30250
25	28990	28990	28982	27398	25561	25878
30	25495	25495	25491	24210	22258	22148
35	21976	21976	21972	20985	18991	18663
40	18172	18172	18165	17488	15432	14984
45	14503	14503	14495	14031	11991	11575

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1096 **Figure Captions**

1097 **Figure 1.** The broadband seismic stations used in this study. The blue triangles are the Chinese  
1098 provincial broadband seismic stations (CEArray), the red triangles are the F-Net long  
1099 period stations and the red squares are other broadband stations, mostly from the IRIS  
1100 GSN. The black box defines the study region.

1101 **Figure 2.** The geological setting of this study. Red lines mark the boundaries of the Sino-Korean  
1102 Craton (SKC), the solid white line identifies the North South Gravity Lineament  
1103 (NSGL), the dashed black line is the Tancheng-Lujiang fault (TLF), and black lines  
1104 outline blocks and tectonic boundaries (Zhang, et al., 2003). The North China Craton is  
1105 the part of the SKC in China, and is sub-divided by red lines into western, central, and  
1106 eastern parts. Feature names are abbreviated as follows: PS: Phillipine Sea Plate, OK;  
1107 Okhotsk Plate, NCP: North China Platform, YB: Yangtze Block, SLB: Songliao Basin,  
1108 JB: Japan Basin; TB: Yamato Basin, TB: Tsushima Basin, SB: Sichuan Basin, OR:  
1109 Ordos Block, BH: Bohai Bay, GXAR: Great Xing'an Range, LXAR: Lesser Xing'an  
1110 Range, CBM: Changbai Mountain Range, YSM: Yinshan Mountain Range, ESYS: East  
1111 Shandong Yellow Sea Block, NEFB: Northeast Asian Fold Belt, and HB: Huabei Basin.  
1112 Red dots with letters mark locations of the dispersion curves and 1D shear velocity  
1113 profiles shown in Figs. 10 and 11.

1114 **Figure 3.** Record sections of cross-correlations obtained from two years of waveform data (a)  
1115 between Chinese provincial network stations, (b) between F-Net stations, and (c)  
1116 between the Chinese stations and F-Net stations. The white dots identify the expected  
1117 arrival times for the Kyushu persistent microseism.

1118 **Figure 4.** Process to locate the Kyushu microseism. (a) Top Panel: raw cross-correlation (CC)  
1119 between station SSE and INCN (blue triangles in (b)). Bottom Panel: The envelope  
1120 function of the CC filtered between 10 and 12 sec period. The Kyushu microseismic  
1121 signal arrives at ~130 sec. (b) The migrated hyperbola from the envelope function in (a),  
1122 normalized so its maximum value is 1. (c) Paths used to locate the Kyushu microseism  
1123 are plotted with black lines. We use all paths associated with stations SSE and INCN  
1124 (yellow triangles). (d) Stack of migrated hyperbolas to locate the Kyushu microseism.  
1125 Blue circle is the location of *Zeng and Ni* (2010).

1126 **Figure 5.** Cross-correlations and corresponding frequency-time diagrams illustrating the effect of  
1127 the persistent Kyushu microseism. (a) Cross-correlation between stations HXQ of  
1128 CEArray and STM of F-Net, where the expected arrival time of the signal from the  
1129 Kyushu microseism (marked "Kyushu") is close to the arrival time of the surface wave  
1130 (marked "Surface") on the negative lag component. (b) Example waveform disturbed by

1131 the Kyushu microseism: symmetric component cross-correlation in which the positive  
1132 and negative components in (a) are averaged. (c) Example waveform undisturbed by the  
1133 Kyushu microseism: positive component of the cross-correlation in (a). (d) & (e)  
1134 Frequency-time (group velocity) diagrams from (b) and (c), respectively. (f) Comparison  
1135 between the group velocity curves of cross-correlations shown in (b) and (c). Red  
1136 triangles and stars are the phase and the group velocity curves from disturbed signal.  
1137 Blue symbols show the group and phase velocity curves of the undisturbed signal.

1138 **Figure 6.** Resolution estimates at periods of 16 and 35 sec, presented in units of km and defined  
1139 as twice the standard deviation of a 2-D Gaussian fit to the resolution surface at each  
1140 geographic node (*Barmin et al., 2001*). The black box shows the region of study.

1141 **Figure 7.** Misfit histograms at periods 14, 20, 30, and 40 sec, respectively. The top figures show  
1142 the group velocity misfit and the lower figures are the phase velocity misfits. The  
1143 standard deviations are shown on each panel.

1144 **Figure 8.** Group velocity maps at periods of 12, 20, 30, and 40 sec. Group velocities are plotted  
1145 as perturbations relative to the average velocity ( $U_0$ ) within the 400 km resolution  
1146 contour and the thick white line is the 100 km resolution contour. Other lines show  
1147 tectonic and geological boundaries identified in [Fig. 2](#).

1148 **Figure 9.** Same as [Fig. 8](#), but for phase velocity maps at periods of 12, 20, 30 and 40 sec.

1149 **Figure 10.** Phase (red lines) and group (blue lines) velocity curves at the eight locations  
1150 identified with letters in [Fig. 2](#). The dispersion curves are predicted by the center of the  
1151 ensemble of models shown in [Fig. 11](#) for each location. The RMS misfits are calculated  
1152 by equation (1).

1153 **Figure 11.** Shear wave ( $V_{sv}$ ) velocity profiles at the eight selected locations identified with letters  
1154 in [Fig. 2](#) constructed to fit the corresponding dispersion curves shown in [Fig. 10](#). The  
1155 gray corridor is the  $2\sigma$  (st dev) uncertainty and the dashed lines indicates crustal  
1156 thickness.

1157 **Figure 12.** Comparison between inversions performed in the Sea of Japan (point H, [Fig. 2](#)) using  
1158 data from [Fig. 10h](#) with two starting models identified with blue lines: (a) Moho at 8 km  
1159 and (b) Moho at 20 km. The resulting ensembles of models are quite similar, with  
1160 differences within model uncertainties.

1161 **Figure 13.** Four horizontal depth slices through the 3-D  $V_{sv}$  model: (a) in the lower crust 4 km  
1162 above Moho, (b) 40 km, (c) 60 km, and (d) 80 km depth. Color bars beneath each panel  
1163 give the range of shear wave speeds in km/s.

1164 **Figure 14.** (a) Estimated crustal thickness and (b)  $1\sigma$  uncertainty in crustal thickness, both in km.

1165 The lines A-A', B-B', etc. in (a) are the location of the vertical profiles shown in Fig. 16.  
1166 (c) Shear velocity jump across Moho (km/s) and (d)  $1\sigma$  uncertainty in the shear velocity  
1167 jump across Moho, also in km/s.

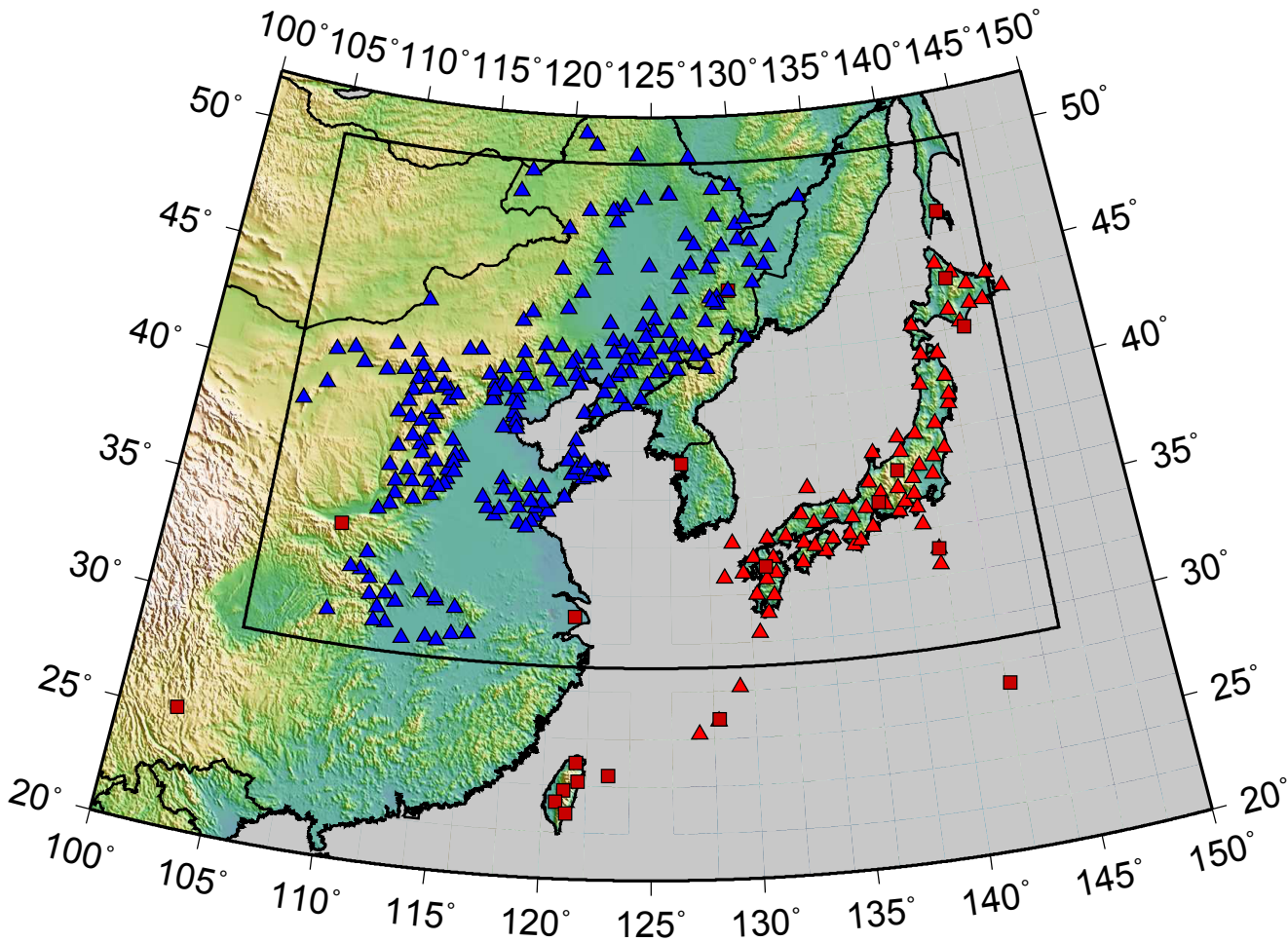
1168 | **Figure 15.** Uncertainty ( $1\sigma$ ) in  $V_{sv}$  at the four depths shown in Fig. 13. Uncertainties are in  
1169 km/s.

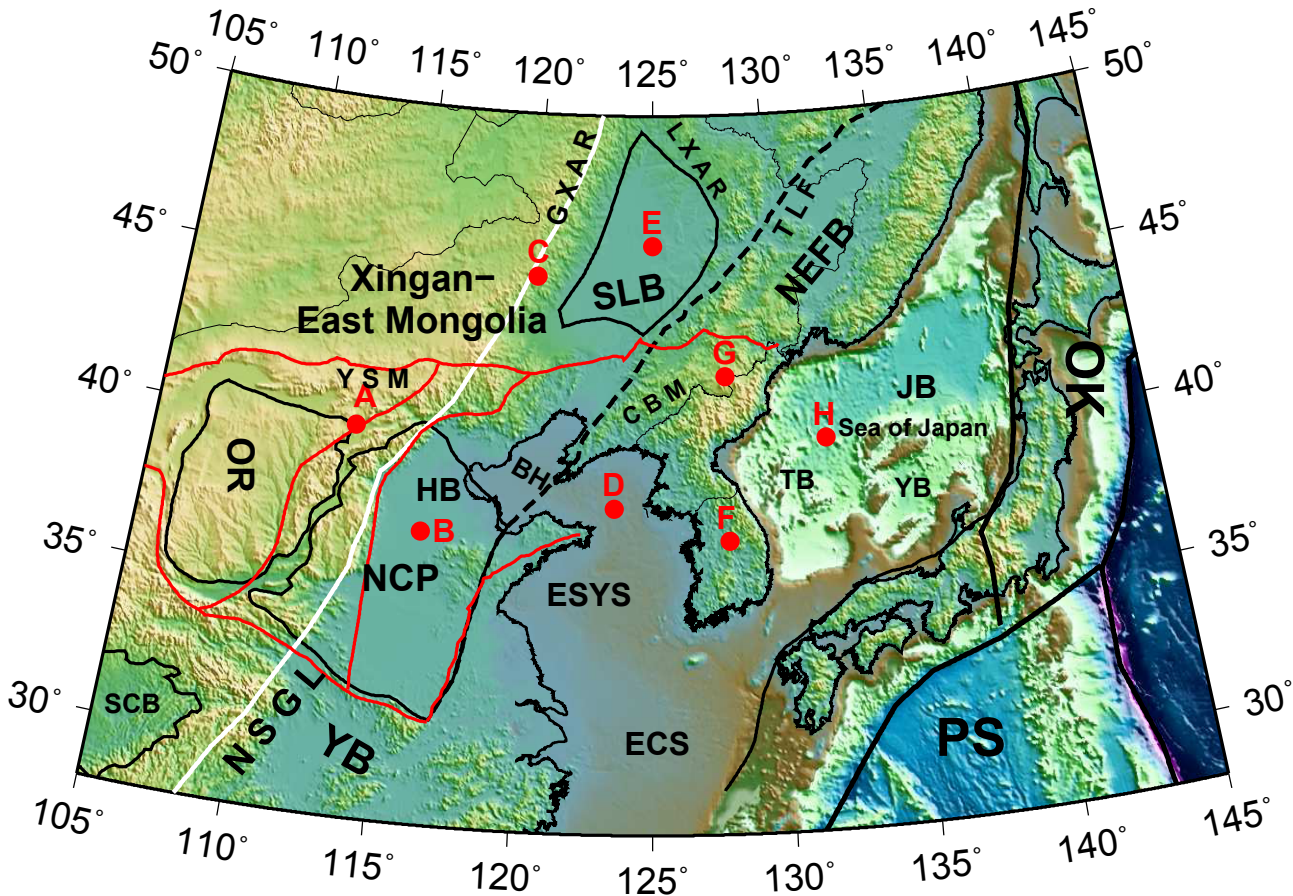
1170 | **Figure 16.** Four vertical profiles through the 3-D model along the lines shown in Fig. 14a.  
1171 Crustal velocities are presented using an absolute scale and mantle velocities are defined  
1172 relative to 4.35 km/s.

1173 | **Figure 17.** (a) Blow-up of part of Fig. 14a, showing crustal thickness across the Sea of Japan (in  
1174 km). Contours of bathymetry are over-plotted in increments of 1 km to illustrate the  
1175 anti-correlation between crustal thickness and seafloor depth. (b) Plot of seafloor depth  
1176 versus solid crustal thickness (crustal thickness minus ocean depth) with values on a  
1177  $0.5^\circ \times 0.5^\circ$  grid across the Sea of Japan shown as blue dots. The red line is the  
1178 least-squares fit line.

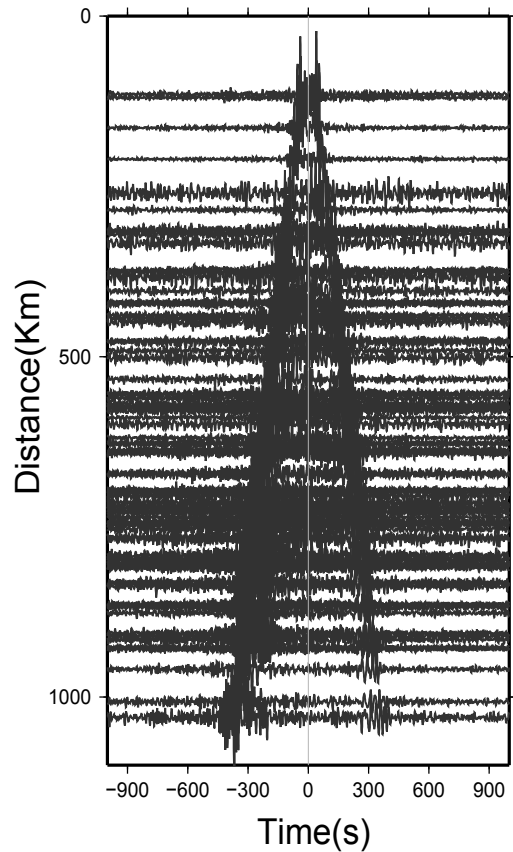
1179 | **Figure 18.** Simplified representation of the 3D model, showing the distribution of low velocities  
1180 at 80 km depth with two contours: red:  $V_{sv} \leq 4.25$  km/sec, blue:  $4.25 < V_{sv} \leq 4.35$  km/s.  
1181 The red contour identifies the “Y-shaped” anomaly that underlies the Sea of Japan and  
1182 parts of northeastern Asia believed to arise from slab dehydration at several depths  
1183 overlying the stagnant slab. The blue region surrounding the red contour is the large area  
1184 of the uppermost mantle affected by back-arc spreading. The blue contour within the  
1185 North China Craton identifies the “horseshoe” shaped anomaly that flanks the eastern  
1186 North China Craton, believed to result from intense tectonothermal modification that  
1187 may have reached as high as the base of the crust.

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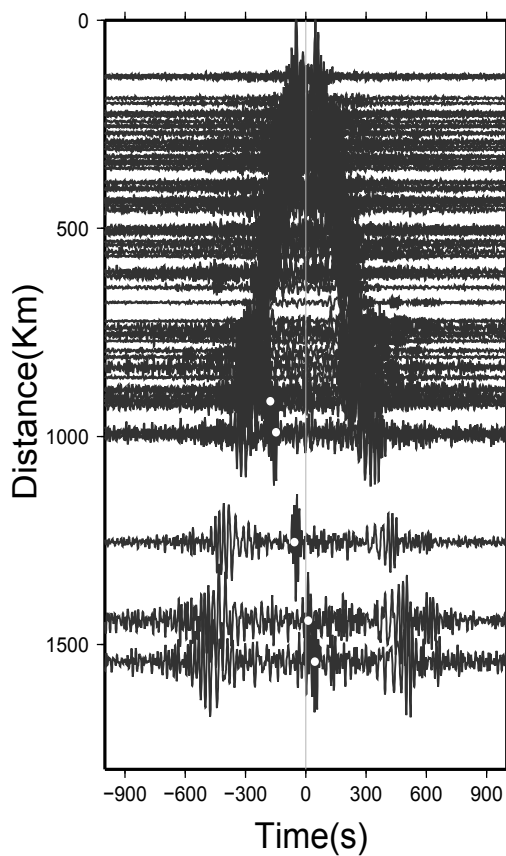


NEH-CH



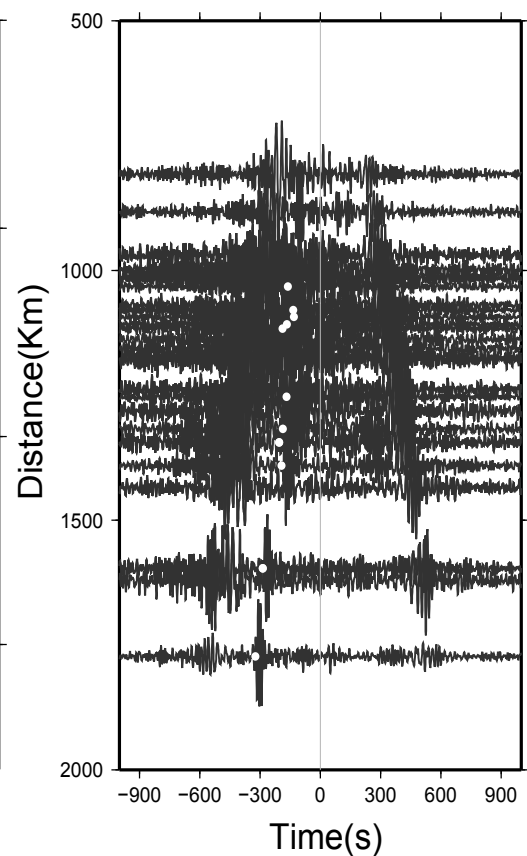
(a)

WJM-FN



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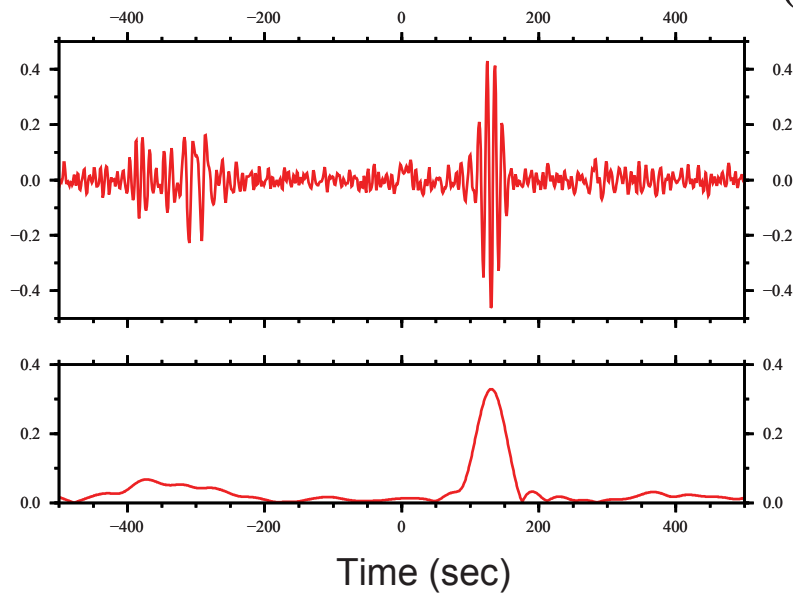
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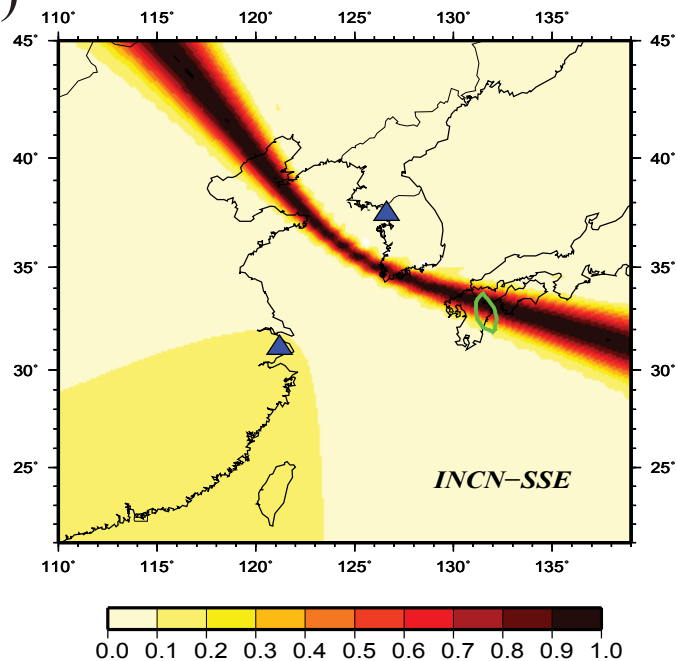
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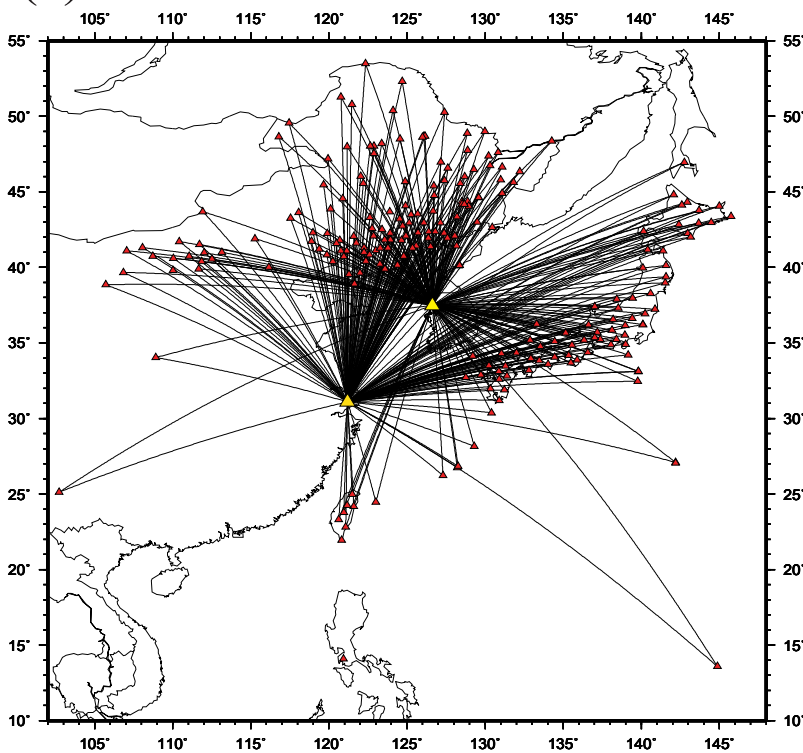
(a)



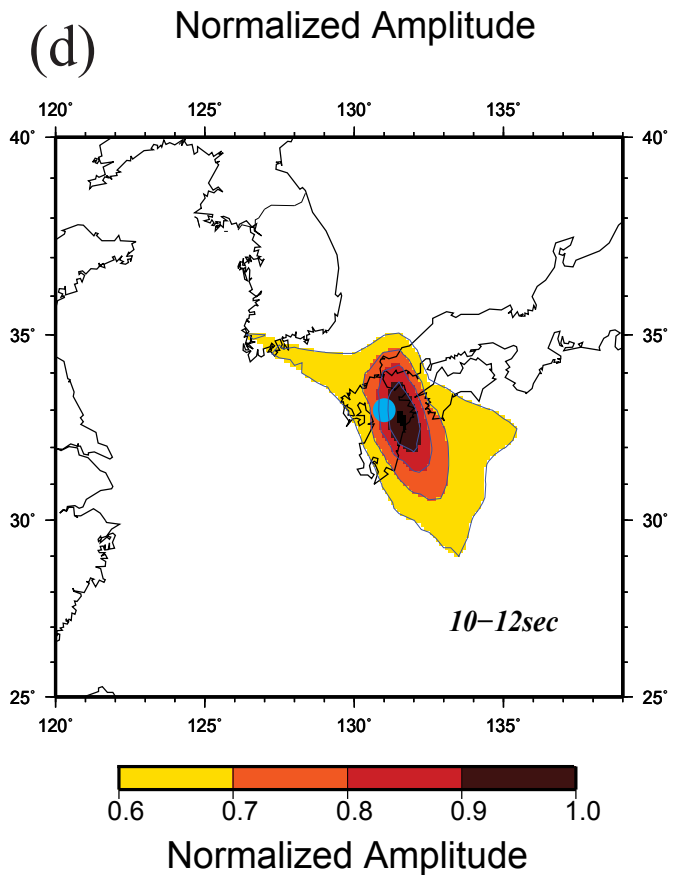
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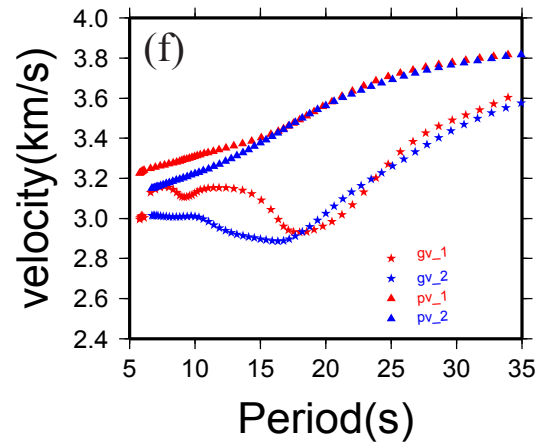
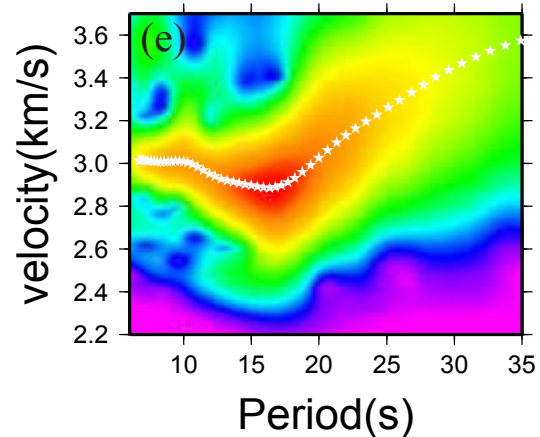
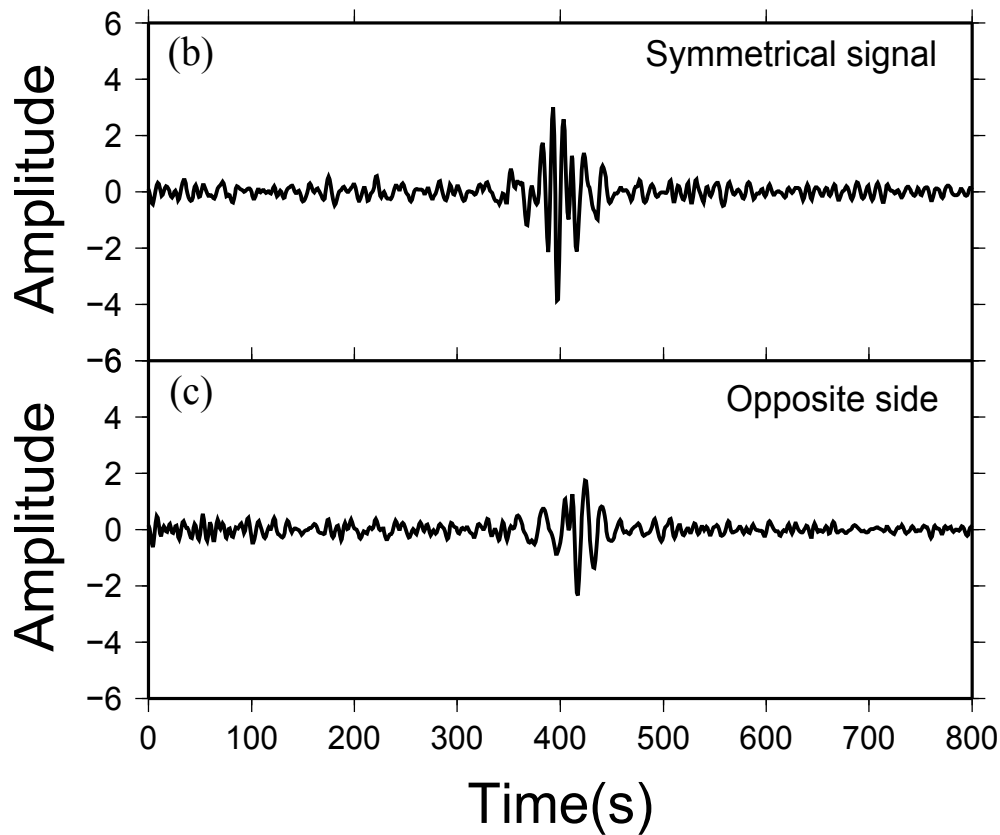
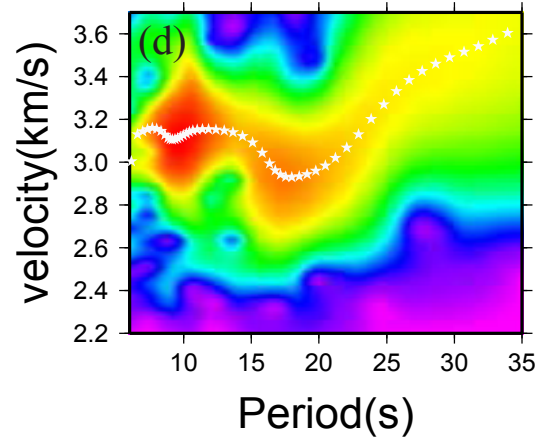
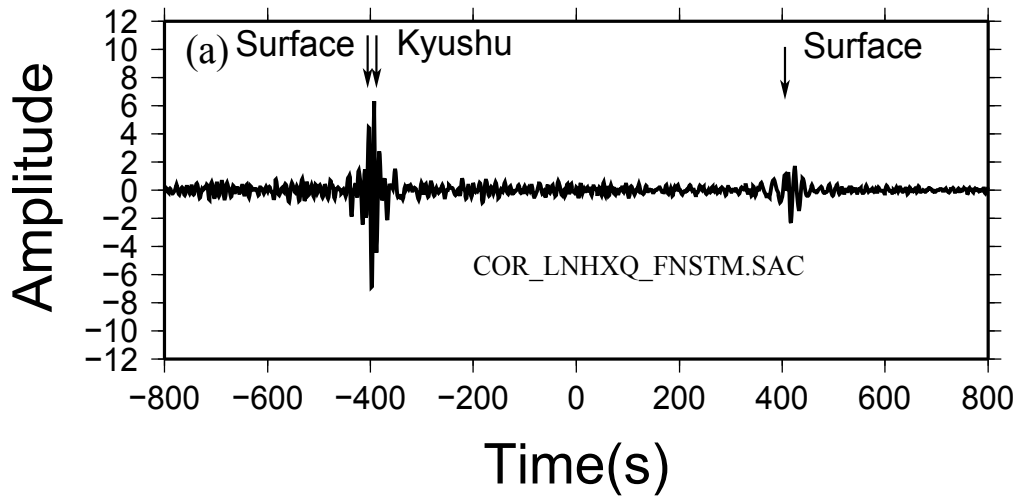


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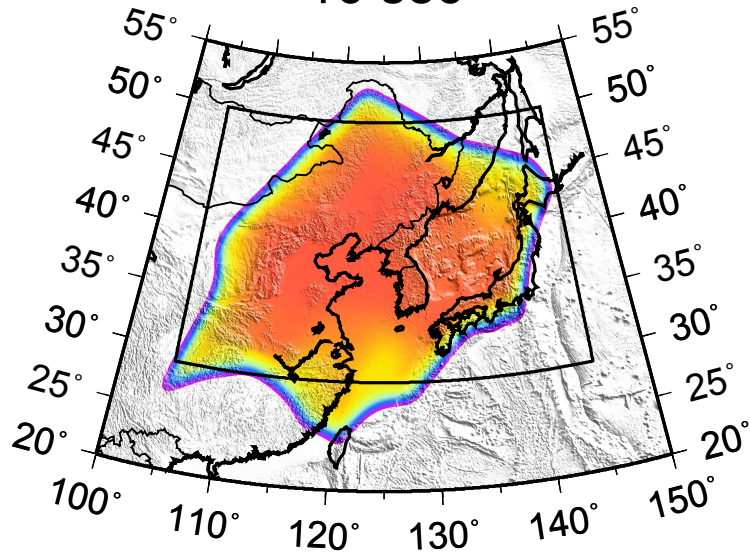


(d)

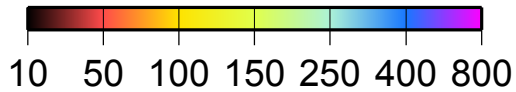
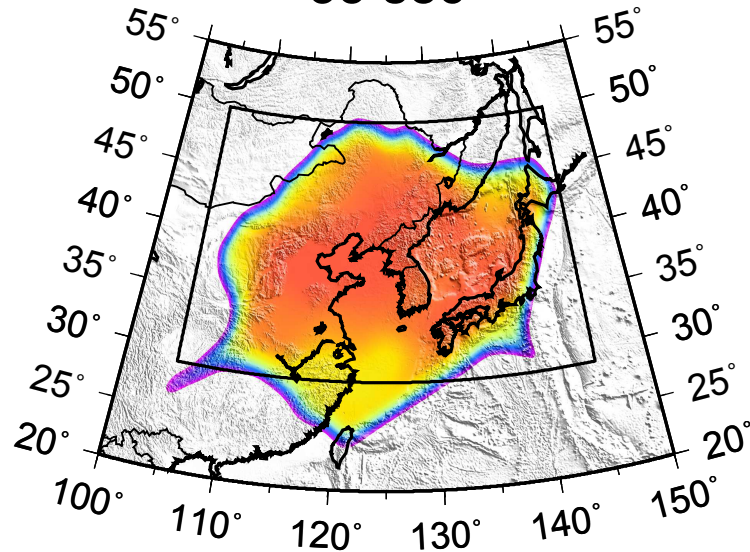




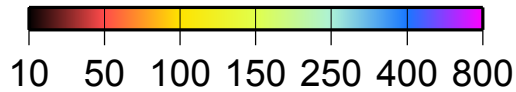
16 sec



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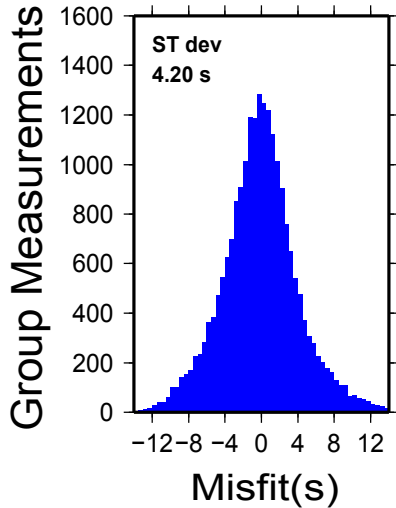


Resolution(km)

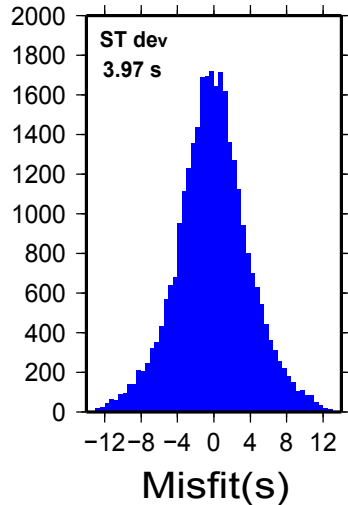


Resolution(km)

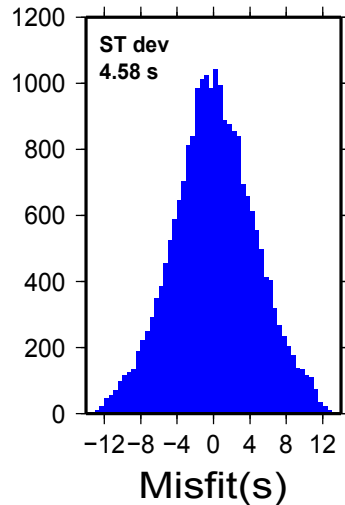
14 Sec



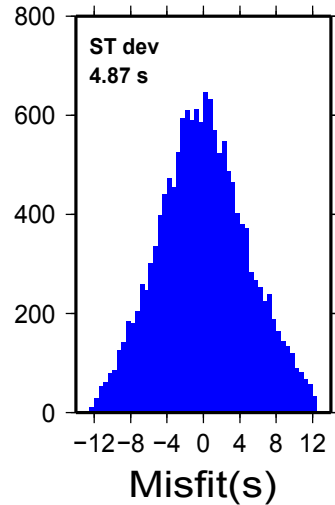
20 Sec



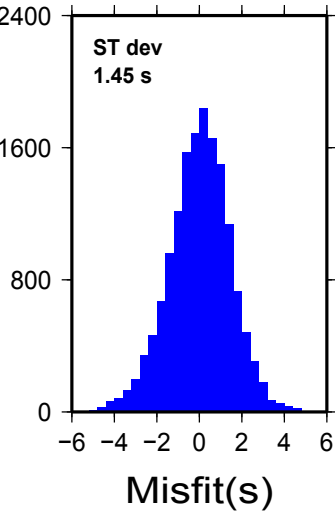
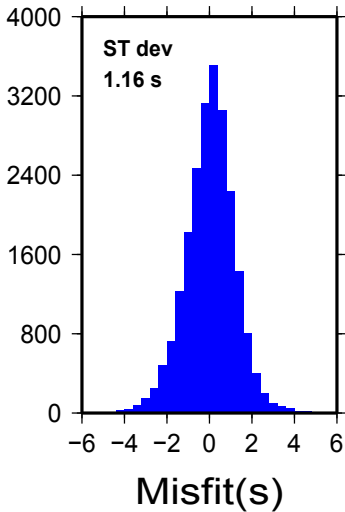
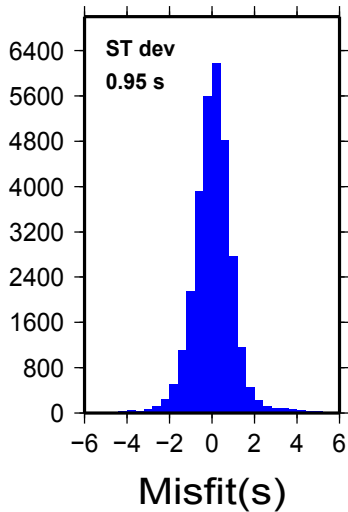
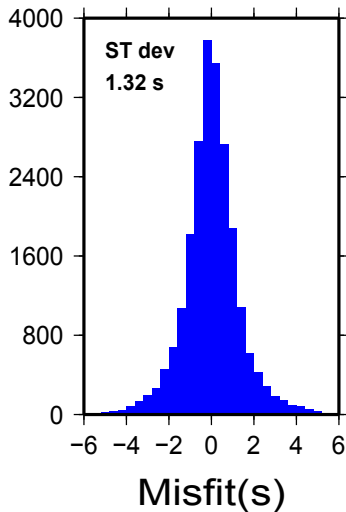
30 Sec



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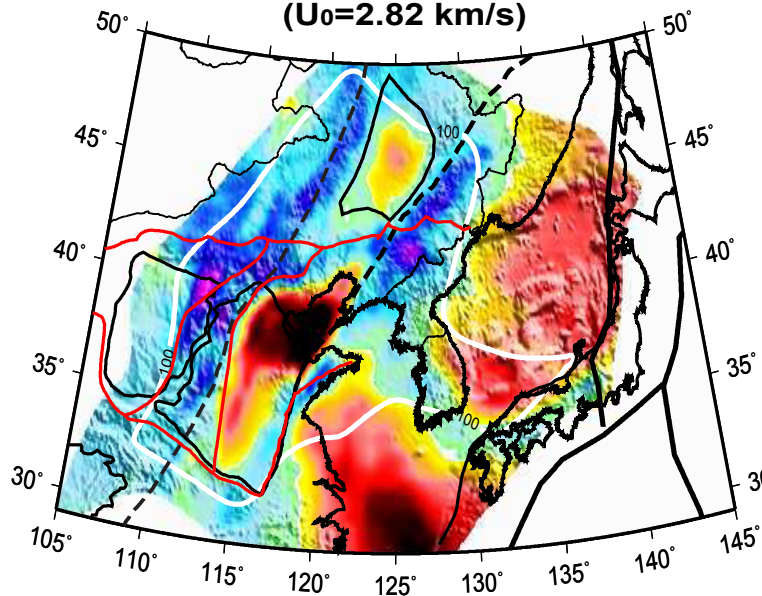


Phase Measurements



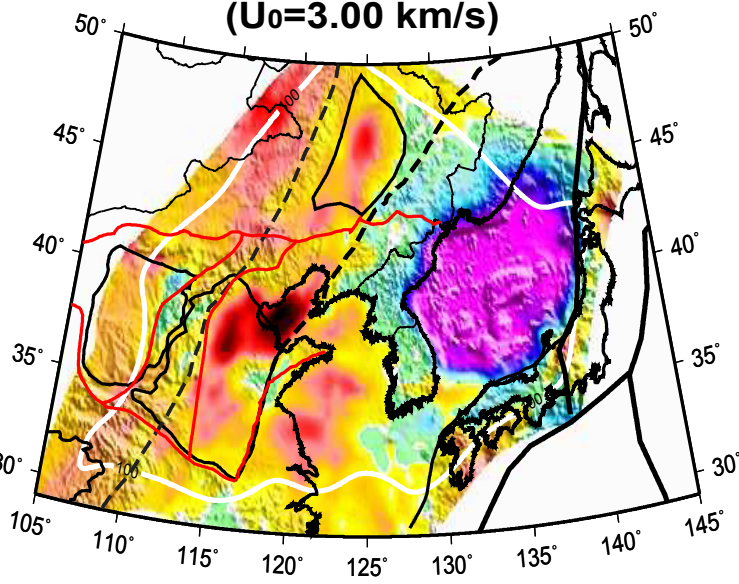
12 Sec

( $U_0=2.82$  km/s)



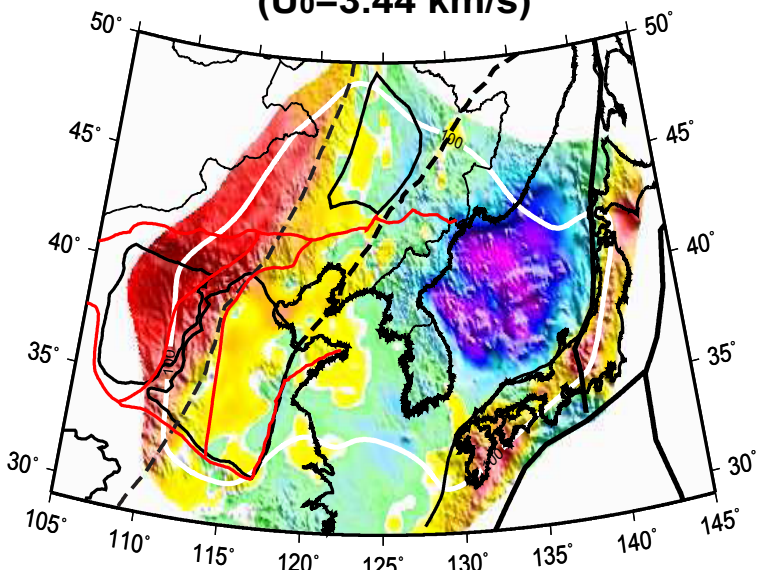
20 Sec

( $U_0=3.00$  km/s)



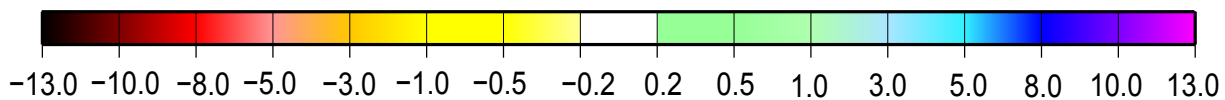
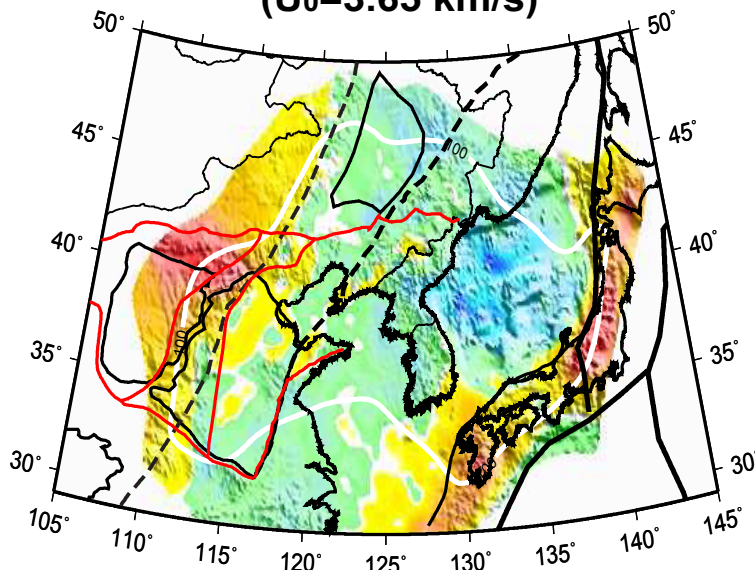
30 Sec

( $U_0=3.44$  km/s)



40 Sec

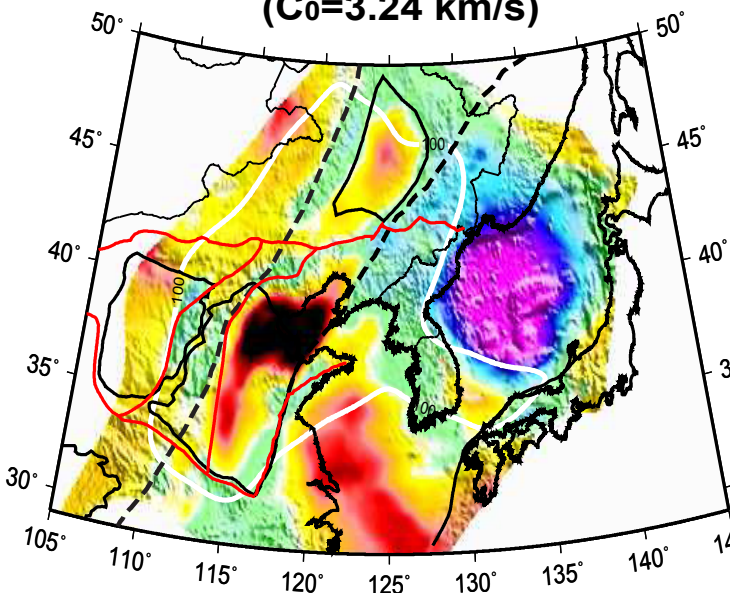
( $U_0=3.65$  km/s)



**Group Velocity Perturbation (%)**

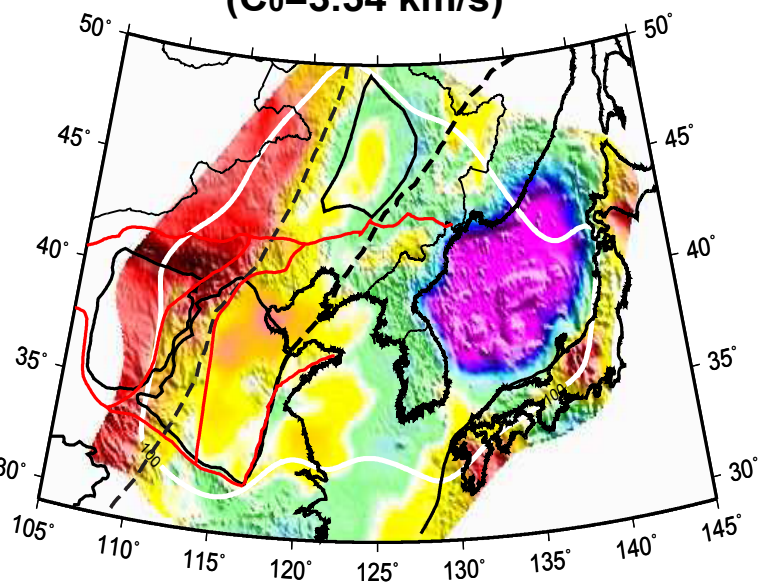
12 Sec

( $C_0=3.24$  km/s)



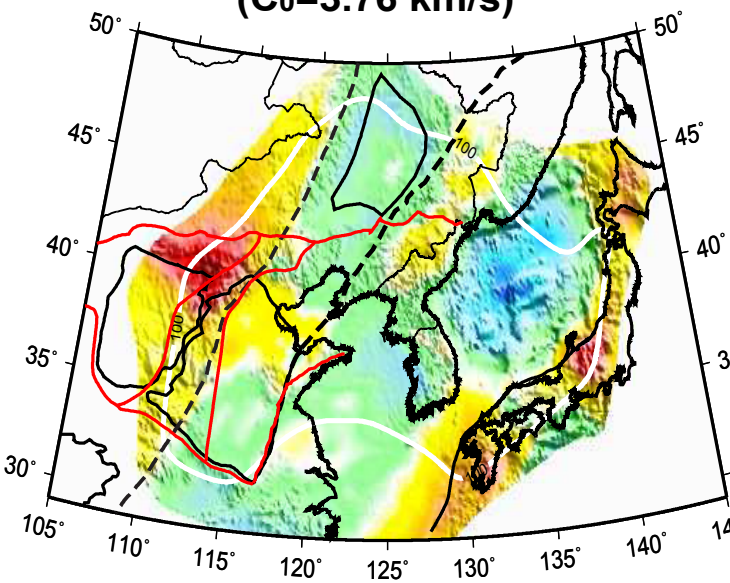
20 Sec

( $C_0=3.54$  km/s)



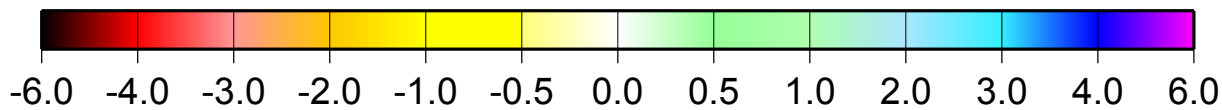
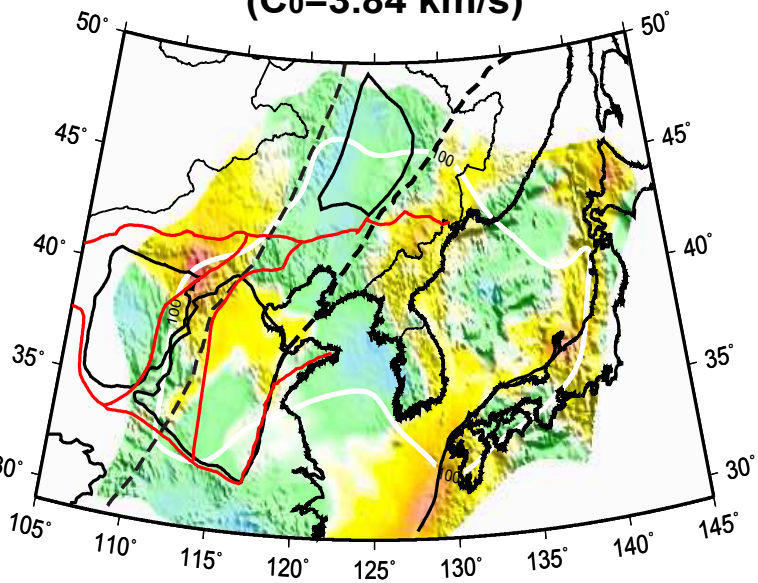
30 Sec

( $C_0=3.76$  km/s)

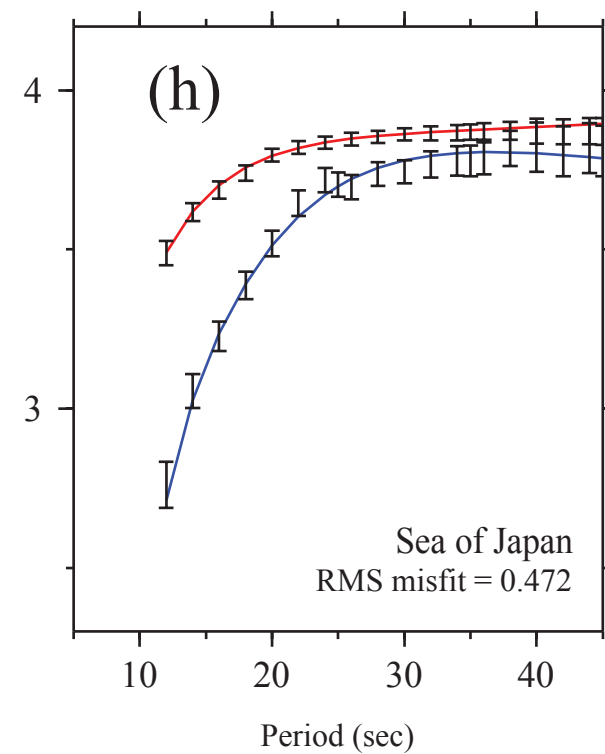
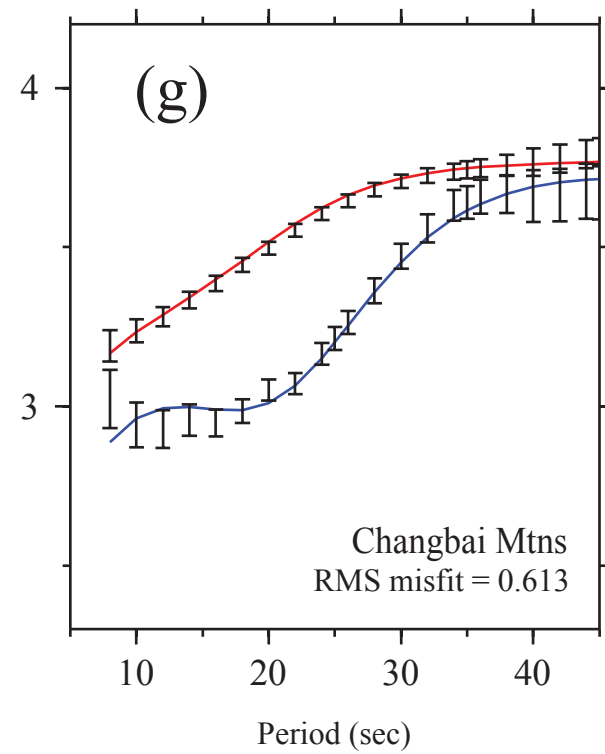
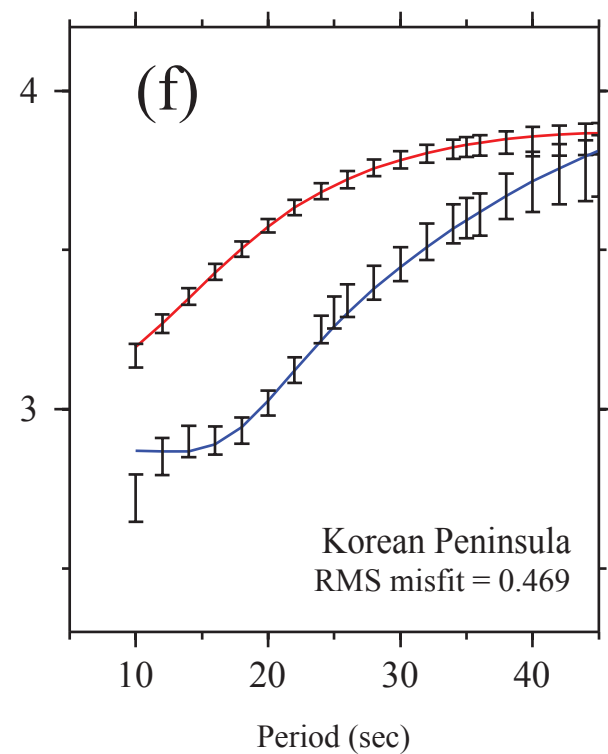
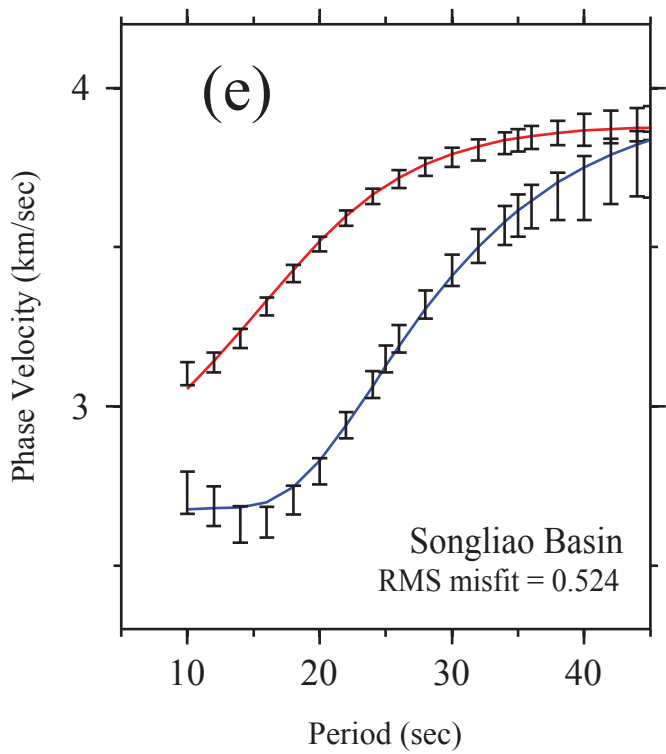
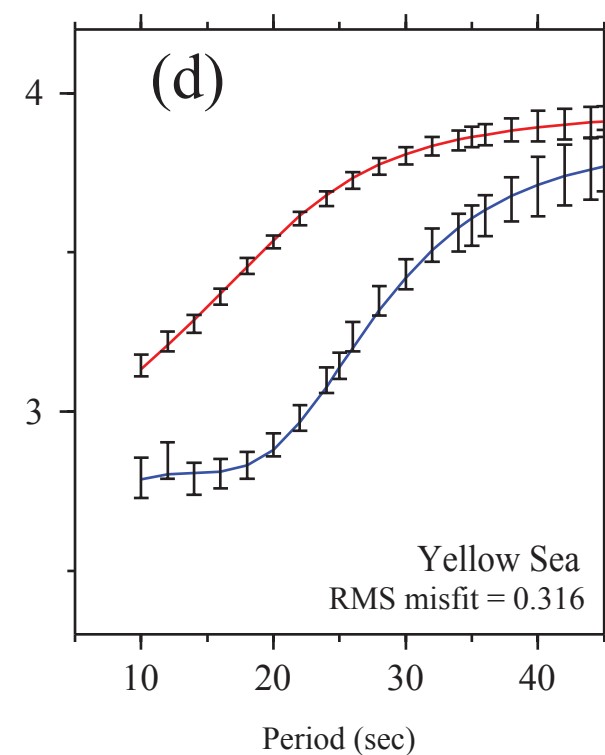
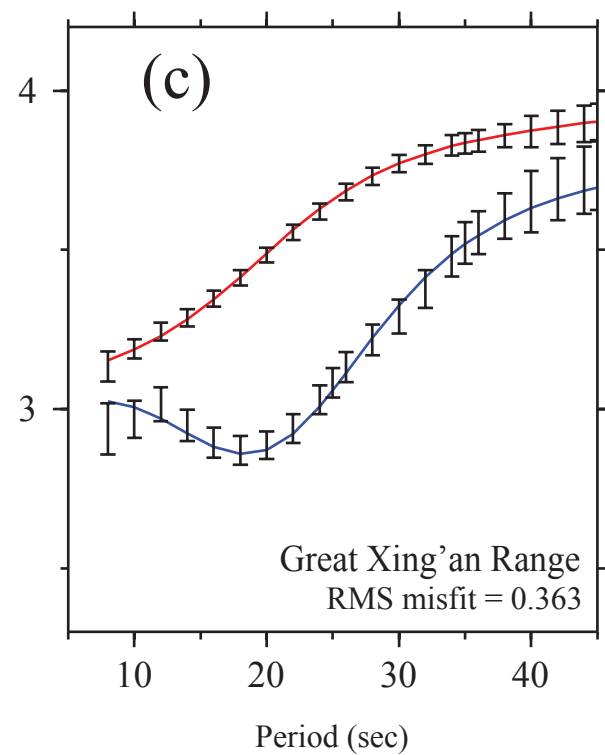
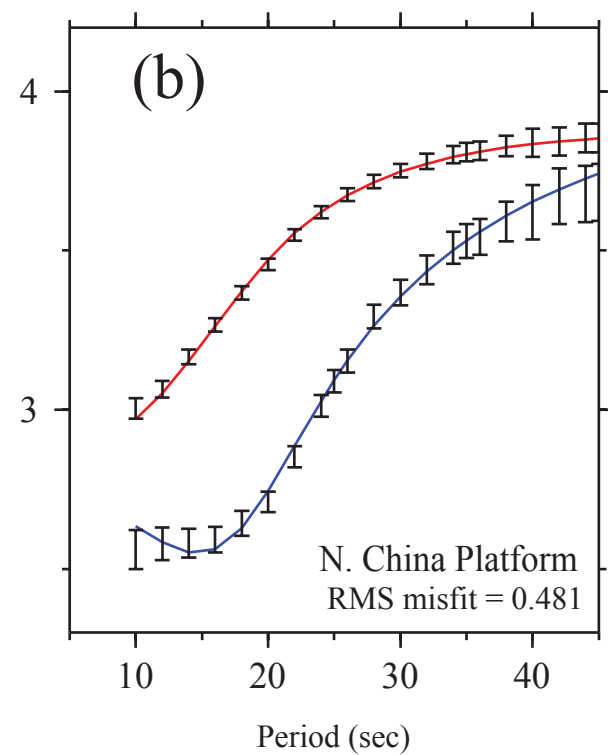
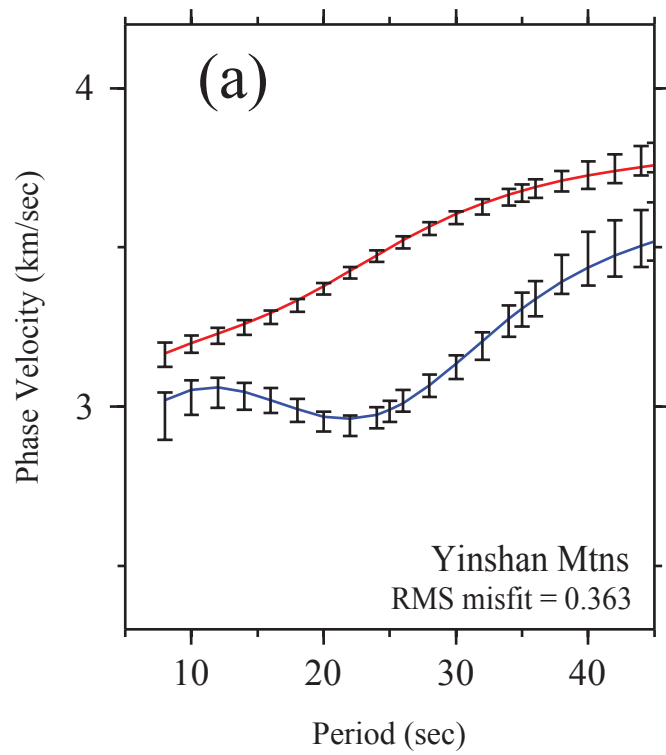


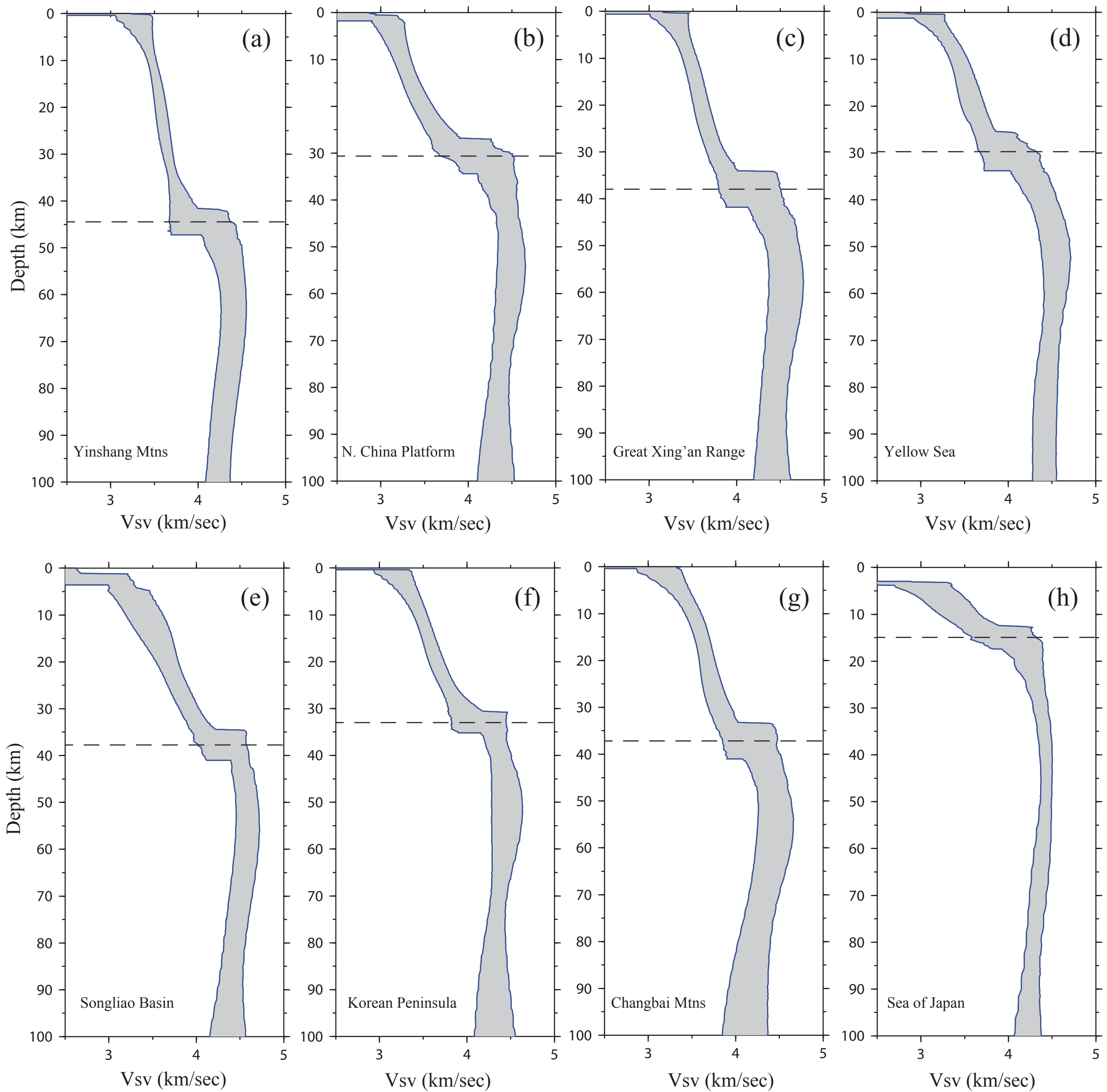
40 Sec

( $C_0=3.84$  km/s)

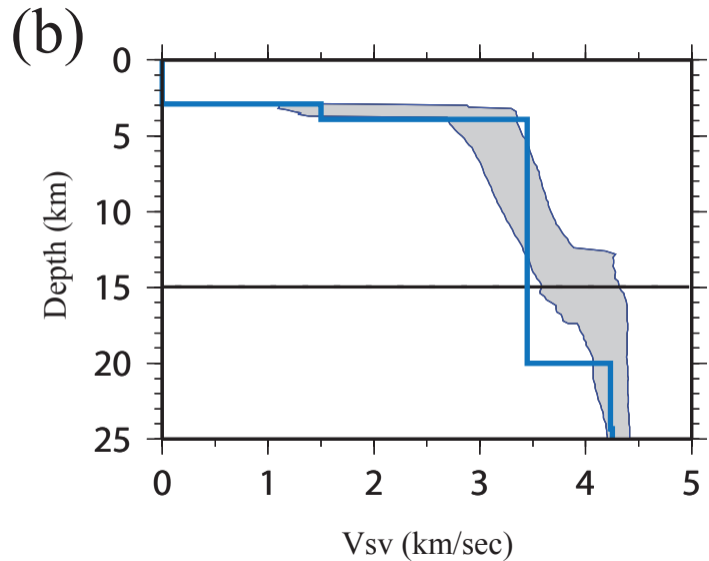
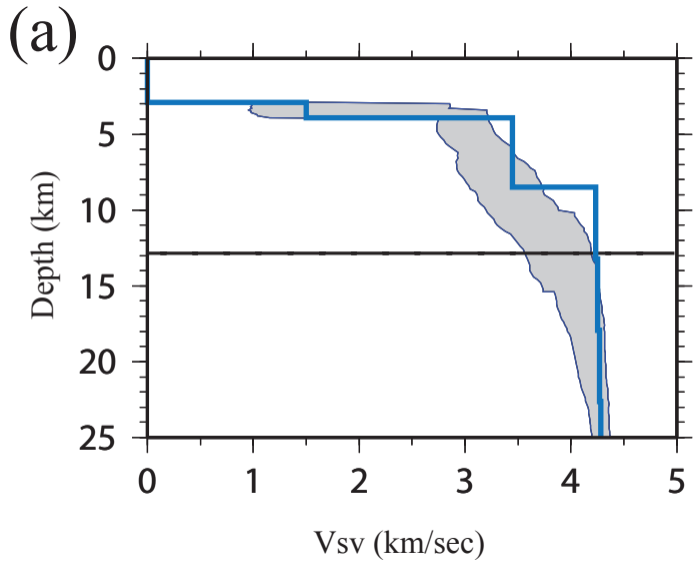


Phase Velocity Perturbation (%)

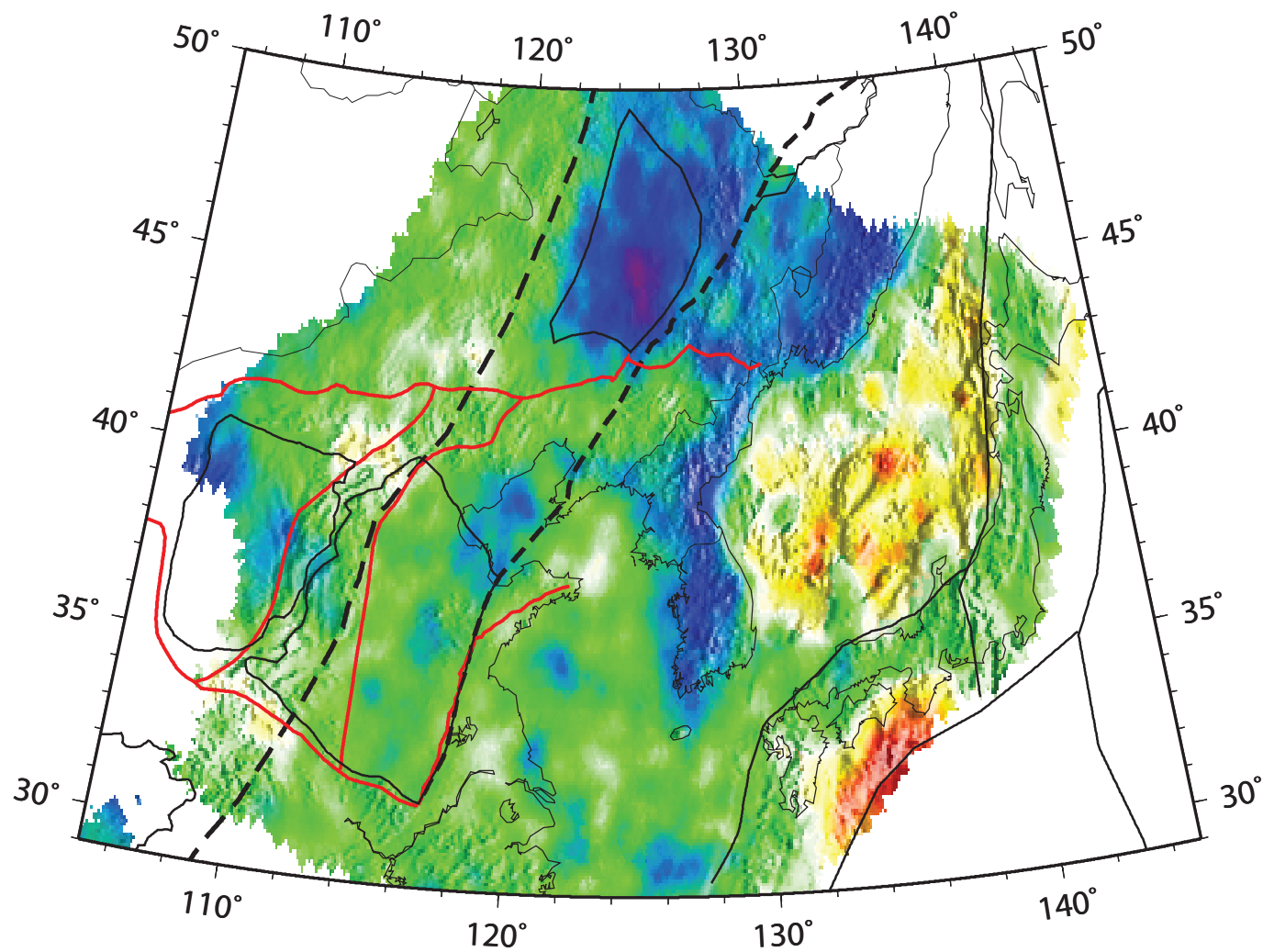




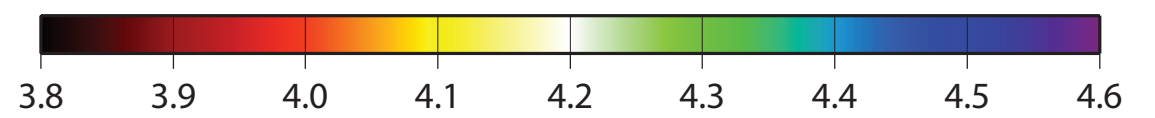
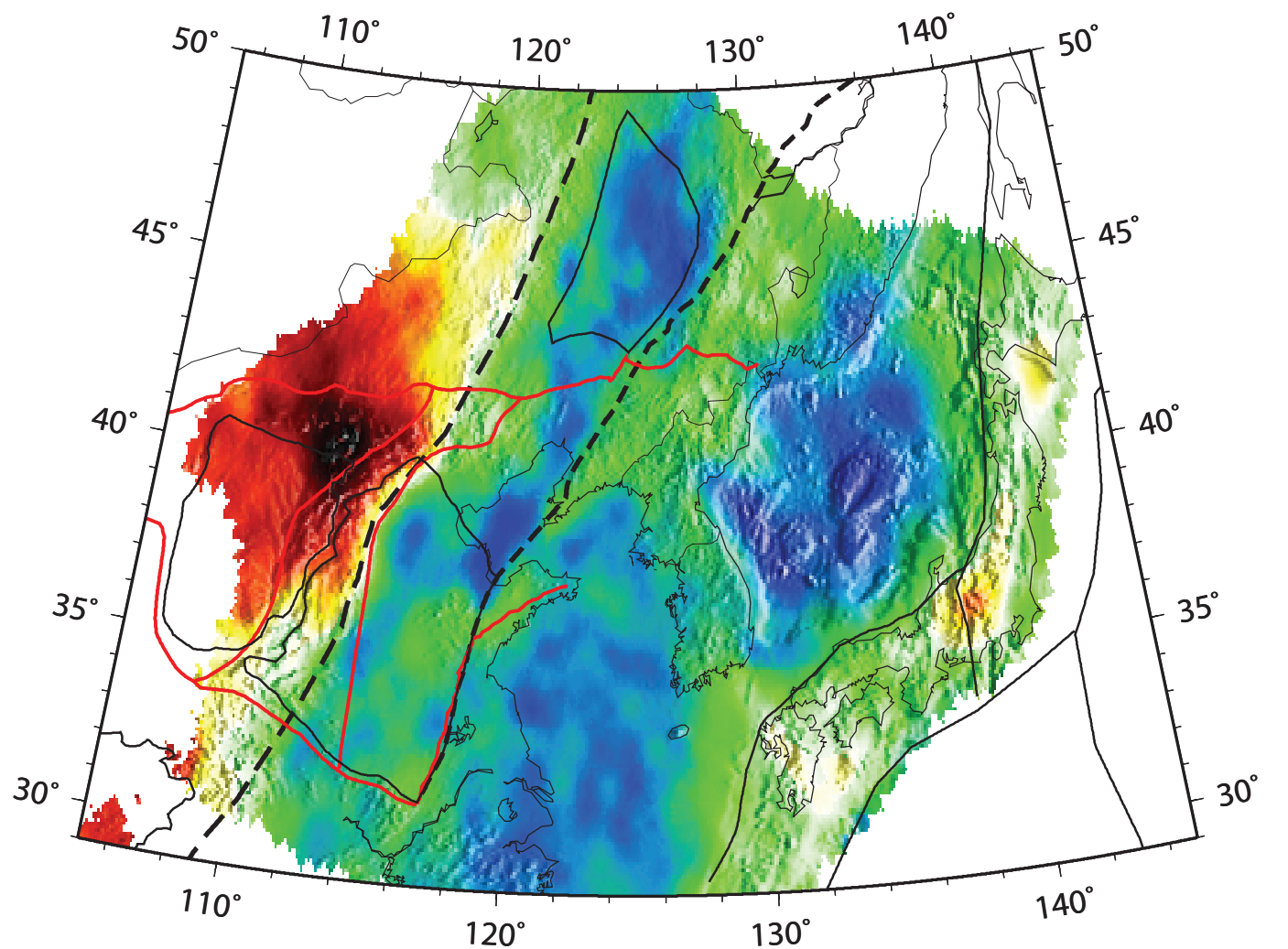




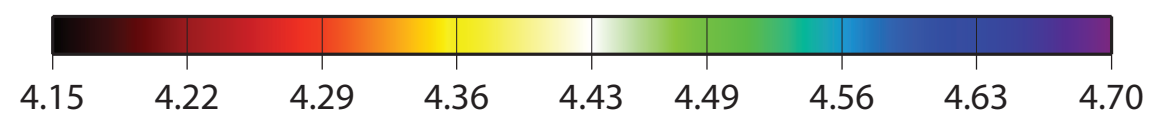
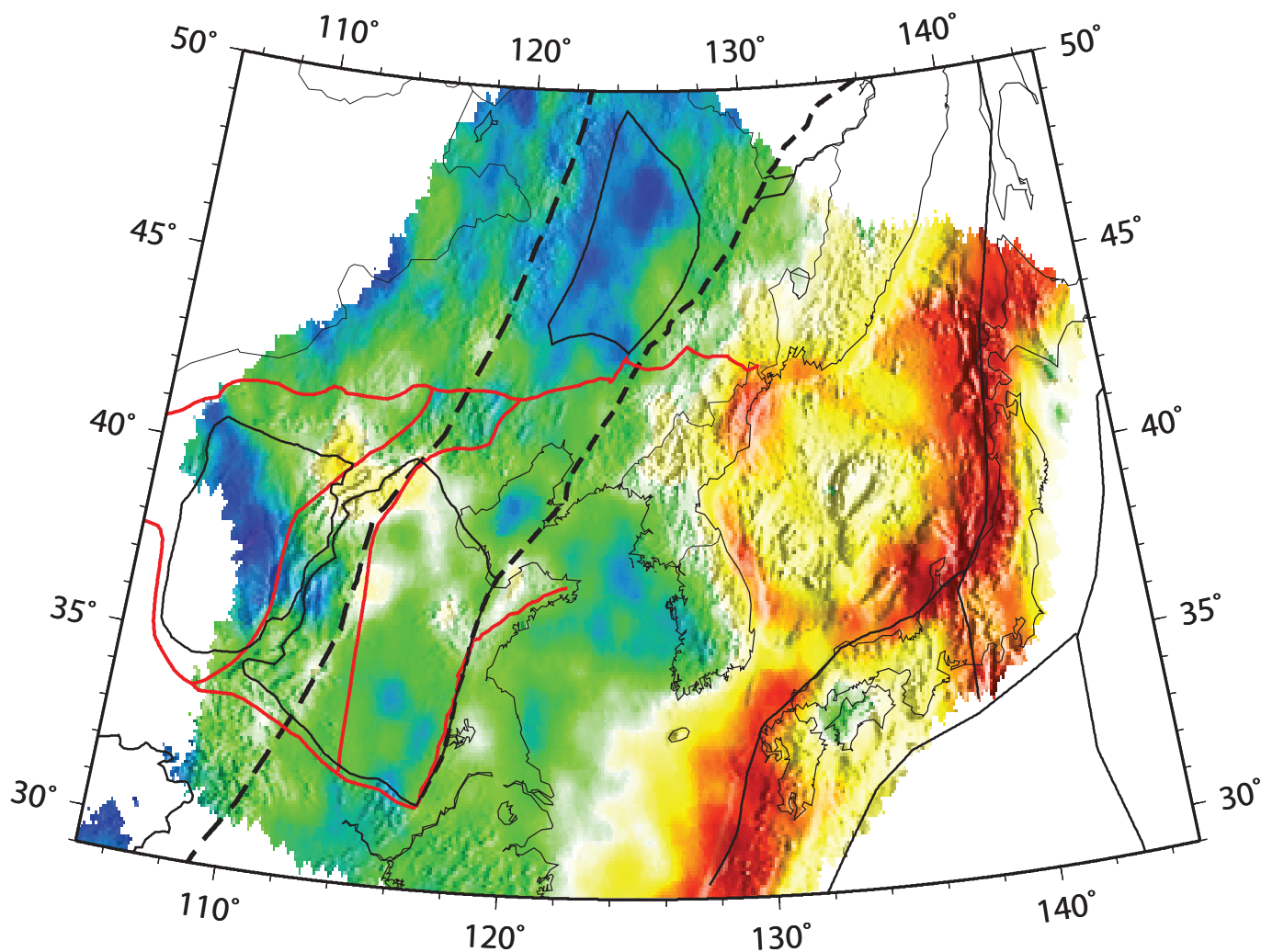
(a) Lower Crust



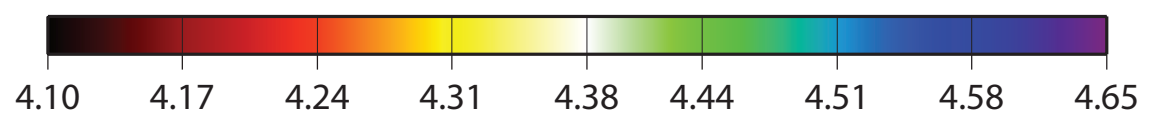
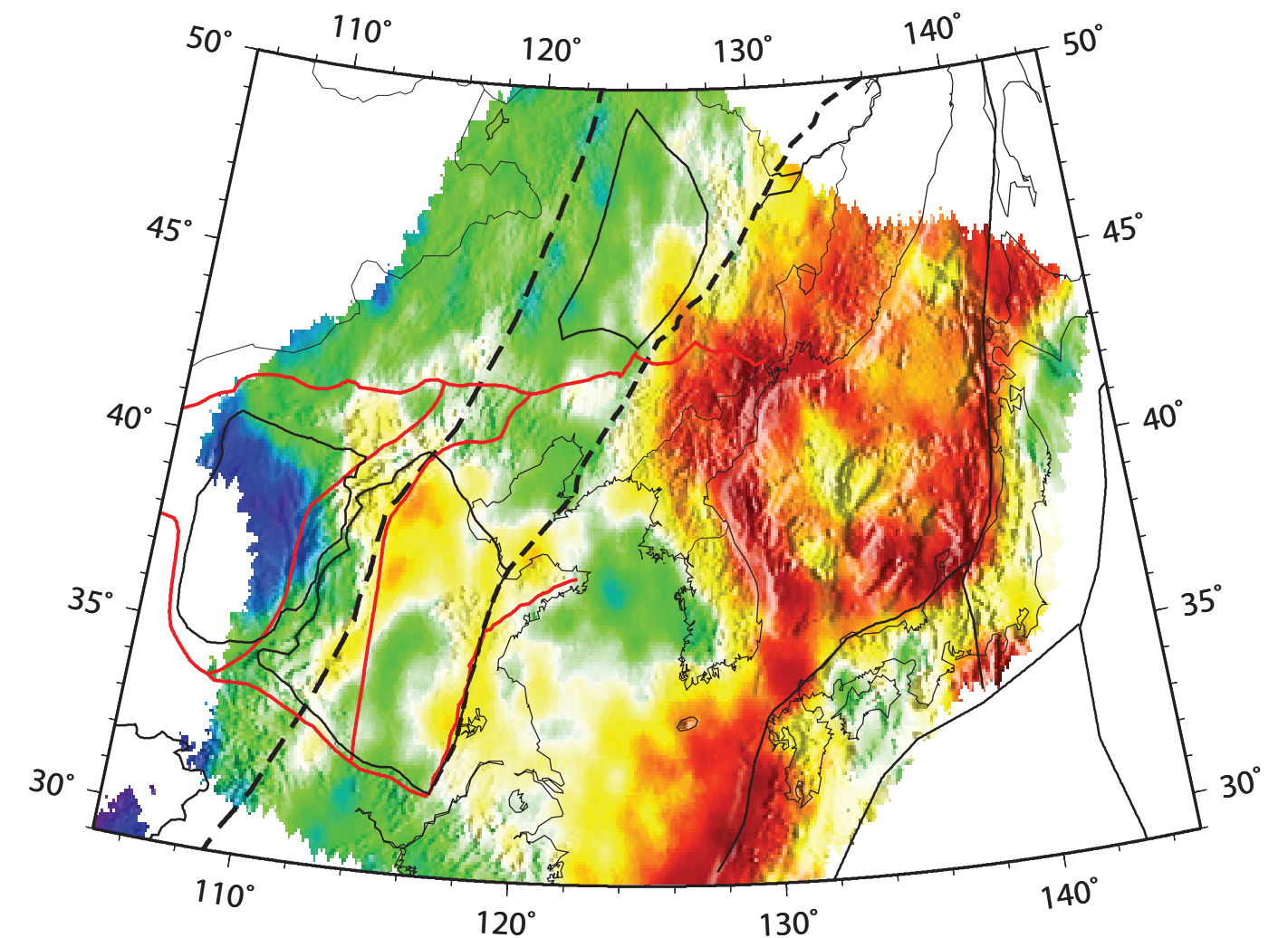
(b) Depth = 40 km



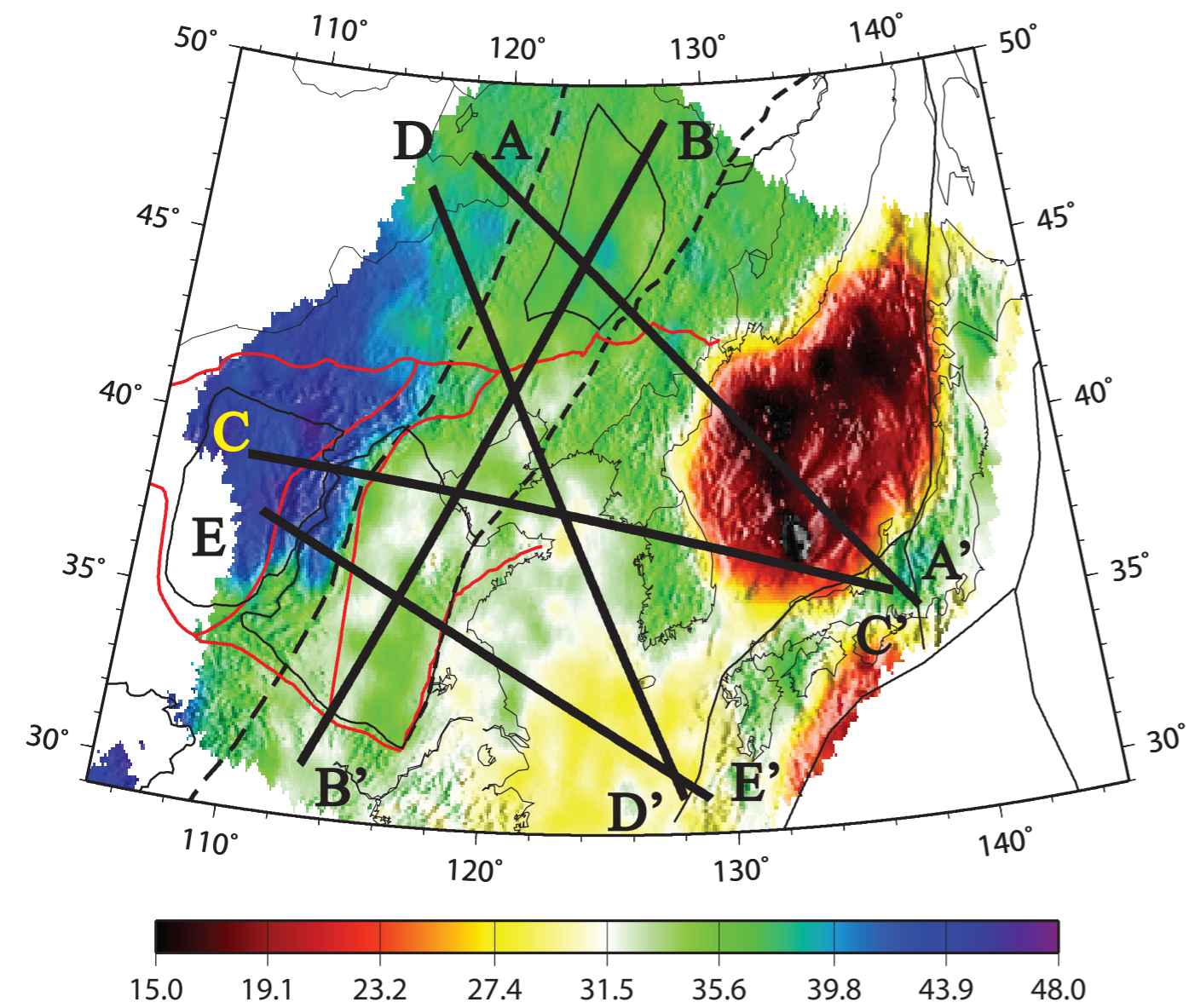
(c) Depth = 60 km



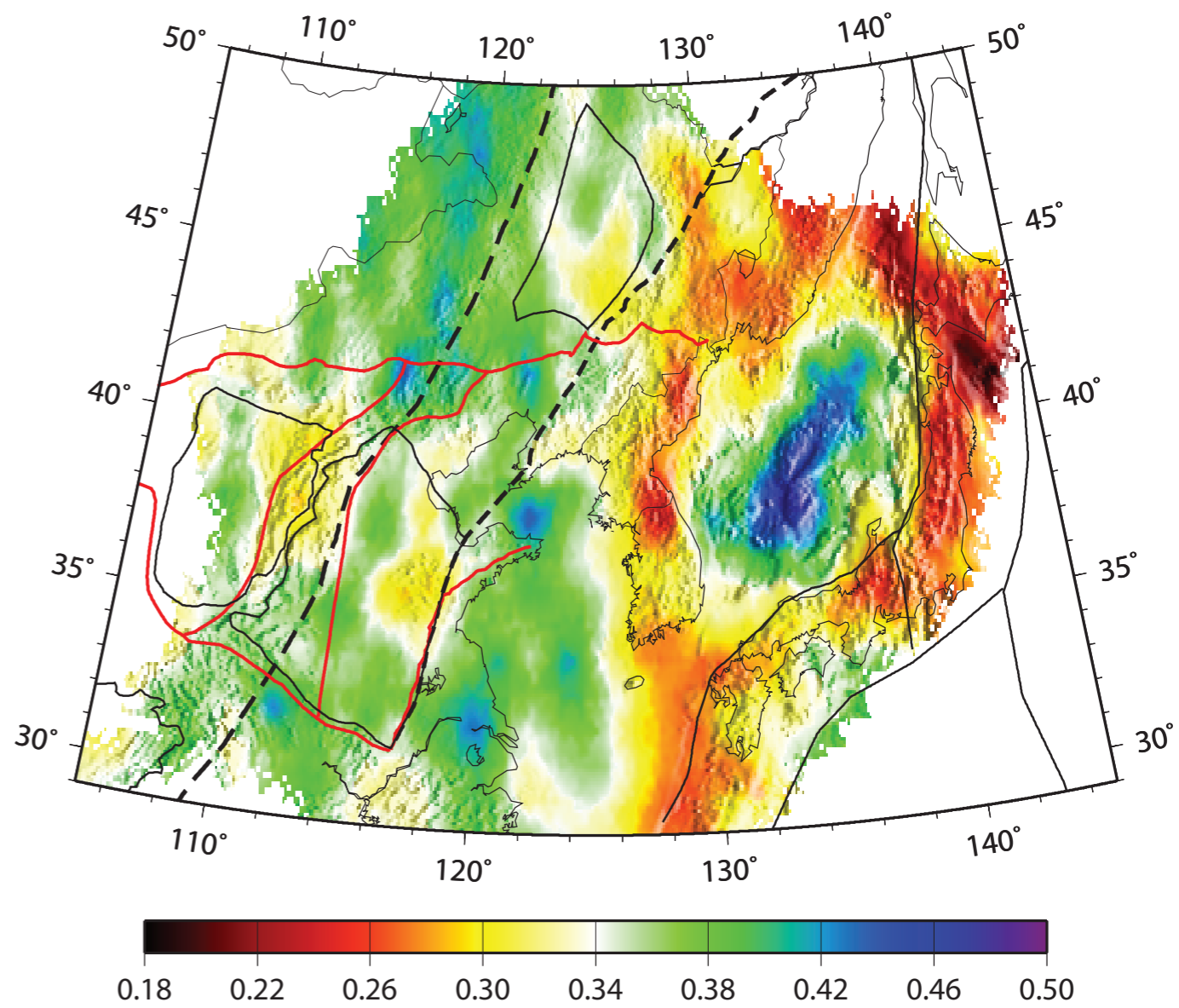
(d) Depth = 80 km



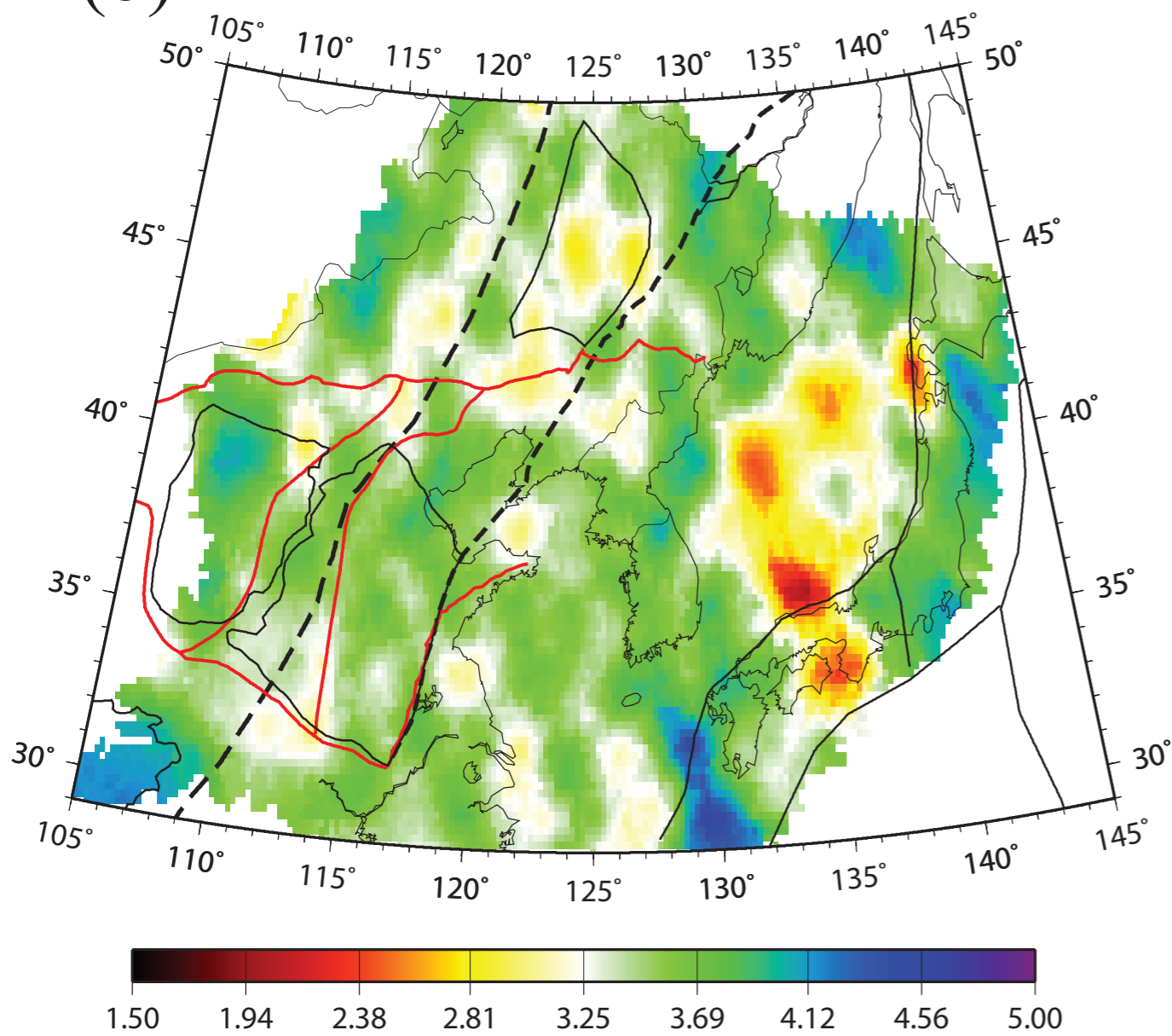
(a) Crustal Thickness (km)



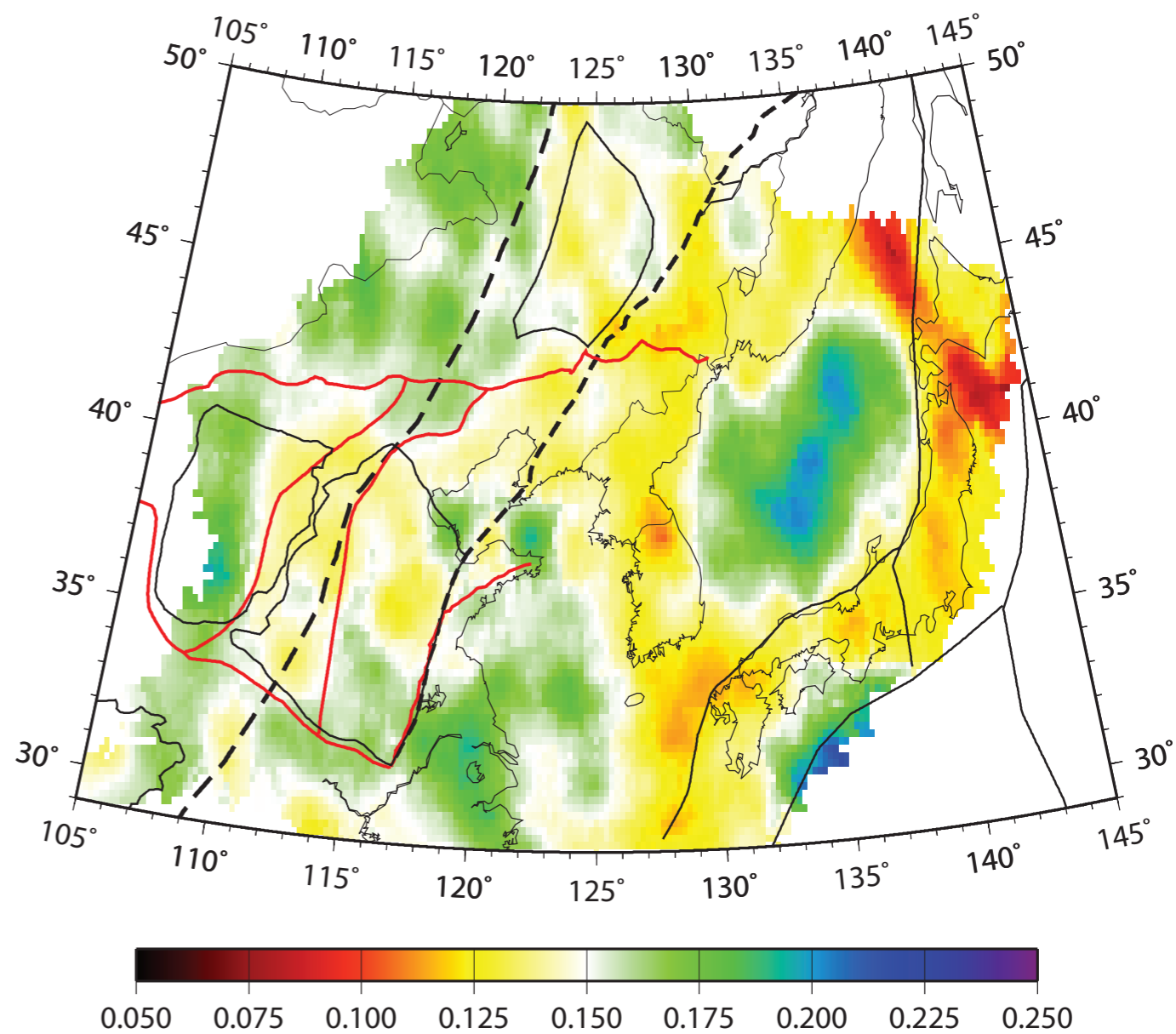
(c) Velocity Jump (km/sec)



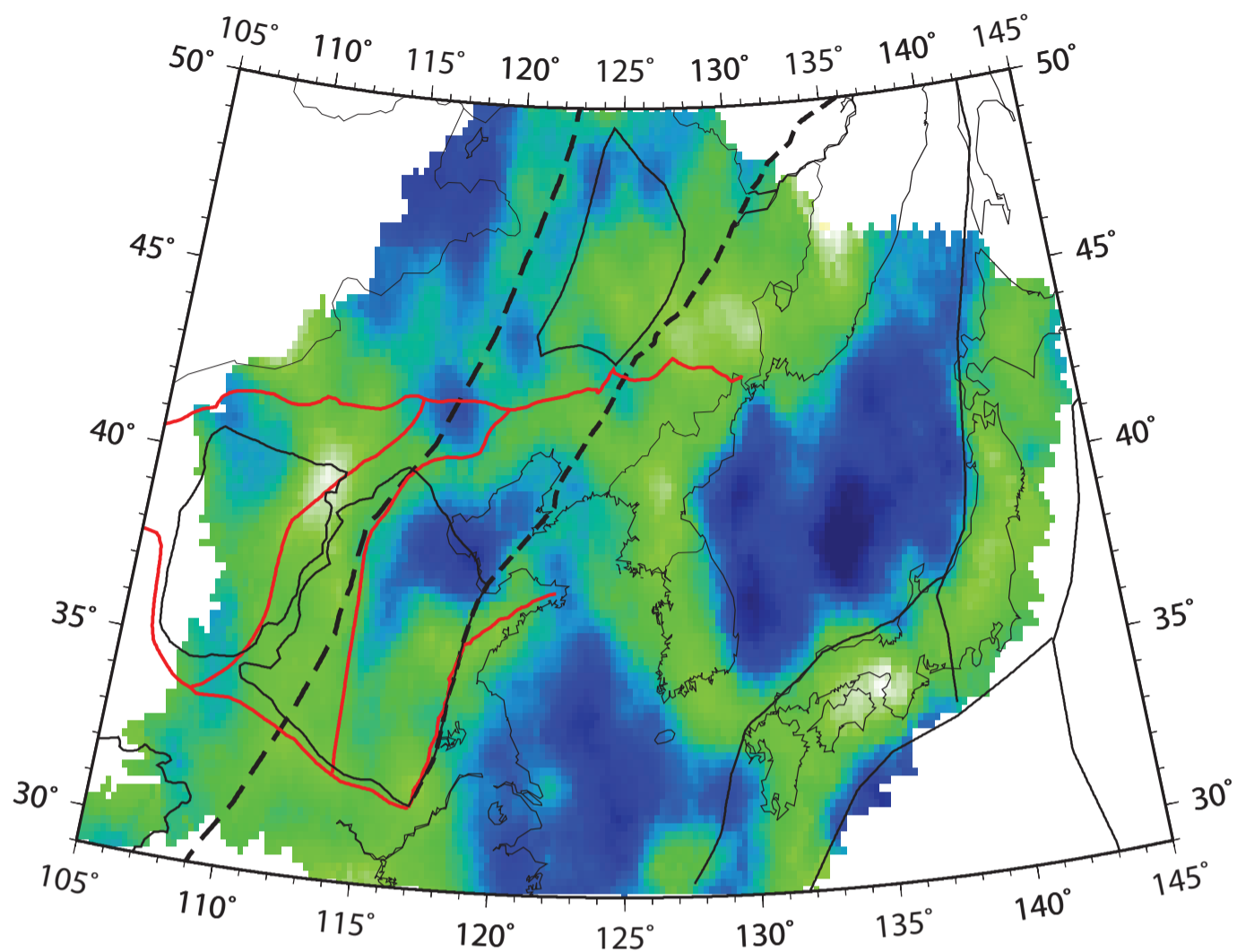
(b) Uncertainties (km)



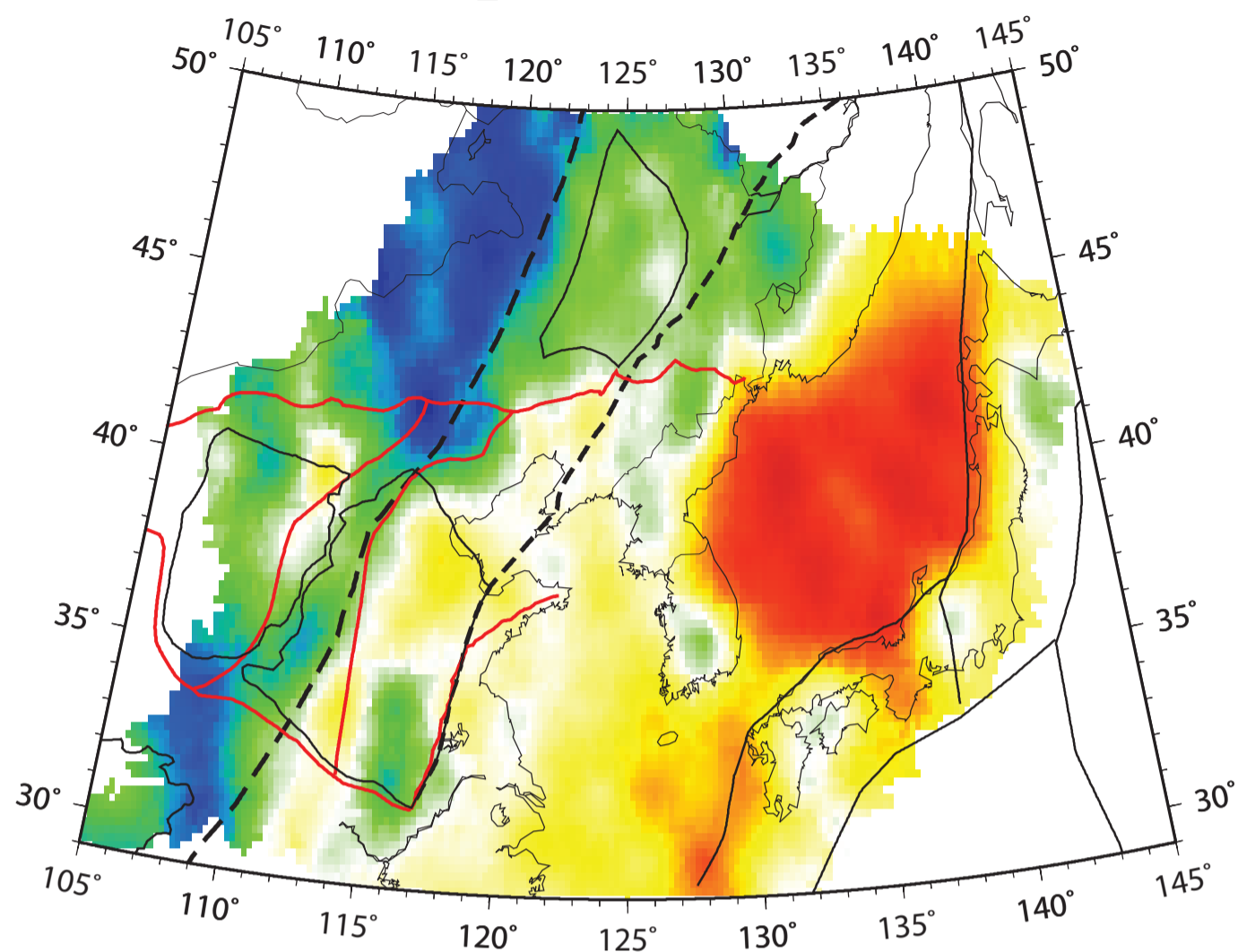
(d) Uncertainties (km/sec)



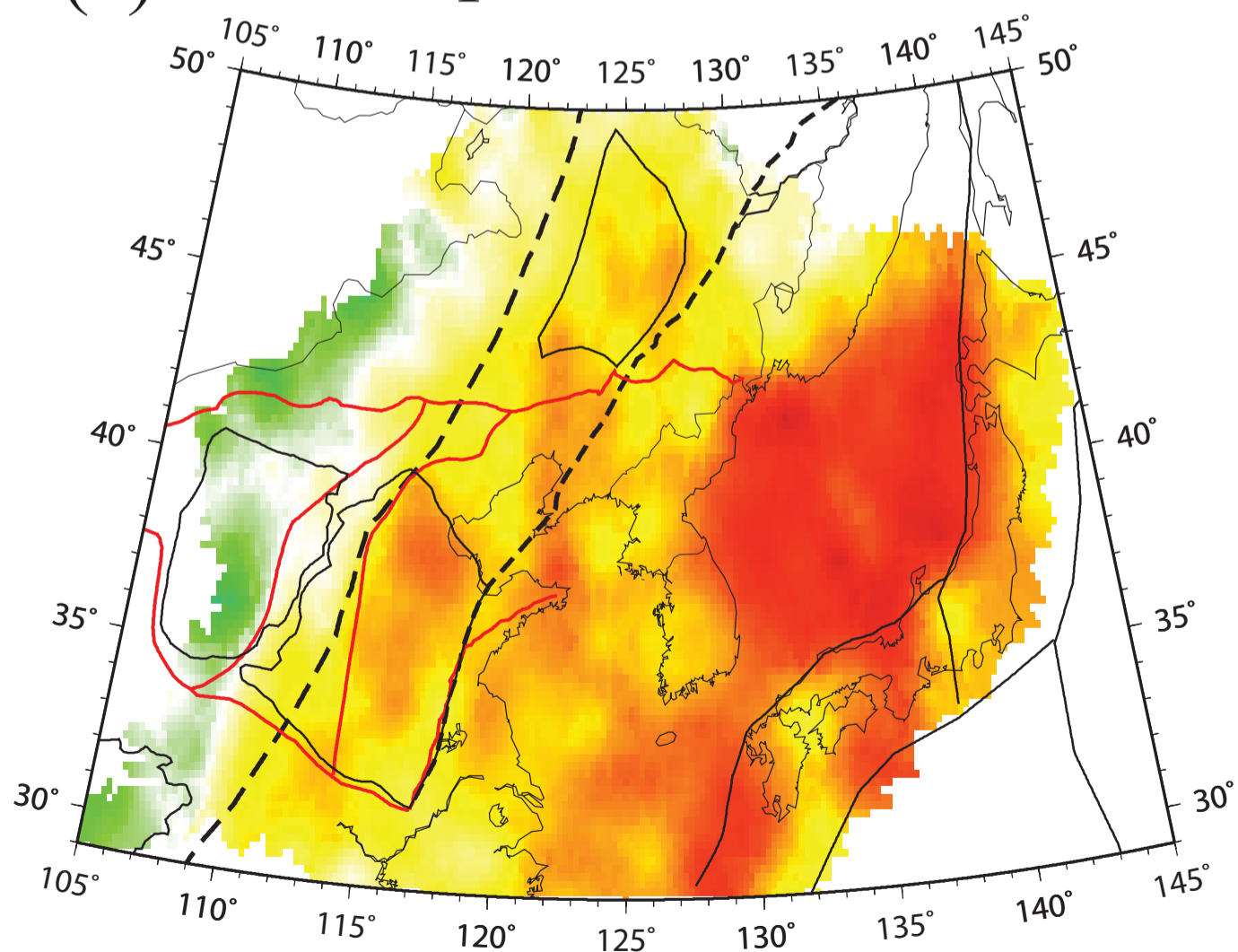
(a) Lower Crust



(b) Depth = 40km



(c) Depth = 60km



(d) Depth = 80km

