



Improving ambient noise cross-correlations in the noisy ocean bottom environment of the Juan de Fuca plate

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**Improving ambient noise cross-correlations in the noisy ocean bottom
environment of the Juan de Fuca plate**

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Summary

Ambient noise tomography exploits seismic ground motions that propagate coherently over long inter-station distances. Such ground motions provide information about the medium of propagation that is recoverable from inter-station cross-correlations. Local noise sources, which are particularly strong in ocean bottom environments, corrupt ambient noise cross-correlations and compromise the effectiveness of ambient noise tomography. Based on 62 ocean bottom seismometers (OBSs) located on Juan de Fuca (JdF) plate from the Cascadia Initiative experiment and 40 continental stations near the coast of the western United States obtained in 2011 and 2012, we attempt to reduce the effects of local noise on vertical component seismic records across the plate and onto US continent. The goal is to provide better inter-station cross-correlations for use in ambient noise tomography and the study of ambient noise directionality. As shown in previous studies, tilt and compliance noise are major sources of noise that contaminate the vertical channels of the ocean bottom seismometers and such noise can be greatly reduced by exploiting information on the horizontal components and the differential pressure gauge records, respectively. We find that ambient noise cross-correlations involving ocean bottom seismometers are of significantly higher signal-to-noise ratio at periods greater than 10 s after reducing these types of noise, particularly in shallow water environments where tilt and compliance noise are especially strong. The reduction of tilt and compliance noise promises to improve the accuracy and spatial extent of ambient noise tomography, allowing measurements based on coherently propagating ambient noise to be made at stations in the shallower parts of the Juan de Fuca plate and at longer periods than in previous studies. In addition such local noise reduction produces better estimates of the azimuthal content of ambient noise.

Keywords: Seismology, Ambient noise tomography, Surface waves, Geophysical methods, Mid-ocean ridge processes

1. Introduction

One of the major limitations of seismic data recorded by ocean-bottom seismometers (OBS), compared to the land-based stations, is their higher level of locally generated noise. The source of such noise has been well studied over the past few decades (e.g., Webb, 1988; Duennebier and Sutton, 1995). Two types of noise are believed to be the major source of local noise contamination observed on ocean-bottom seismometers: tilt noise, produced by seafloor currents changing the level of poorly situated seismometers, and compliance noise, produced by pressure variations induced by ocean gravity waves that deform the solid earth below the seismometer. Crawford and Webb [2000] and Webb and Crawford [1999] showed that both types of noise may be greatly reduced by predicting/subtracting the noise component derived, respectively, from the horizontal components of the seismometer for the tilt noise and from the differential pressure gauge for the compliance noise. These techniques have been applied successfully to earthquake data to improve the signal-to-noise ratio (SNR) and to reduce distortions (e.g., Dolenc et al., 2007; Ball et al., 2014). Bell et al. [2015] investigated the characteristics of both tilt and compliance noise recorded on the Cascadia Initiative stations across the Juan de Fuca plate and showed that local noise on the vertical components can be reduced by one to two orders of magnitude by removing these types of noise.

In recent studies, ambient noise tomography (ANT) has proven to be effective at constraining the crust and upper mantle structure based on cross-correlations of long time sequences of ambient noise. The method seeks to exploit ambient noise that is generated far from the two stations whose seismic records are cross-correlated and propagates coherently between the stations. Fairly standard methods have been developed for continental stations to process raw seismic data for this purpose (e.g., Bensen et al., 2007). In an oceanic environment, near mid-

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3 ocean ridges, Harmon and Forsyth [2007] and Yao et al. [2011] showed that the fundamental and
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5 first higher mode Rayleigh waves can be extracted from ambient noise recorded on OBSs near
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7 the East Pacific Rise in the south central Pacific Ocean. Tian et al. [2013] and Gao and Shen
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9 [2015] studied the crust and upper mantle structures near the Juan de Fuca and Gorda plates
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11 using the ANT method. These studies, however, are based on data processing methods that have
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13 been designed for continental stations and, in particular, did not attempt to correct for the effects
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15 of tilt and compliance noise on ambient noise cross-correlations. They, therefore, suffer from
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17 limited frequency content and a reduction in the spatial extent in their results in some cases. In
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19 contrast, Bowden et al. [2016] applied the tilt and compliance reduction technique to OBSs
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21 offshore southern California in an attempt to improve the vertical noise cross-correlations. They
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23 find that the Rayleigh wave first overtone is easier to measure with these corrections. They also
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25 argue, however, that the strength of the fundamental mode Rayleigh wave may be reduced due to
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27 these noise removal techniques, which also reduce useful signals in the ambient noise cross-
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29 correlations.
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37 As indicated by Tian et al. [2013] and Tian and Ritzwoller [2015], the effects of tilt and
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39 compliance noise on ambient noise cross-correlations are extremely strong on shallow water
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41 stations located on the Juan de Fuca plate such that the Rayleigh wave signals are completely
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43 obscured using data processing procedures designed for continental stations. Tian et al. [2013]
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45 avoided the strong local noise on shallow water stations by focusing analysis only on 18 deep
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47 ocean OBS stations deployed by WHOI. This limited their results to a lithospheric age dependent
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49 model of the crust and uppermost mantle near the Juan de Fuca ridge. Tian and Ritzwoller [2015]
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51 studied ambient noise levels and directionalities across the Juan de Fuca plate by investigating
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53 the SNRs as measured on each station pair and showed that, in most cases, paths that involve
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3 shallow water stations have almost no observable Rayleigh wave signal at periods above about
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5 10 sec. Furthermore, they showed that longer period signals (>20 sec) are not observable on any
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7 of the oceanic paths.
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10 In this study, we aim to improve the accuracy, broaden the bandwidth to longer periods, and
11 extend the spatial applicability of studies based on ambient noise cross-correlations across the
12 Juan de Fuca plate by first reducing tilt and compliance noise on vertical component waveforms
13 prior to further data processing. Our goal is improve microseism directionality studies, extend
14 the resolvable region of ambient noise tomography into the eastern parts of the Juan de Fuca
15 plate, and extend structural information deeper into the mantle beneath the plate. In Section 2 we
16 describe our procedure to reduce the effect of tilt and compliance noise on vertical component
17 ocean bottom seismometers. In Section 3 we show how such corrections improve the signal-to-
18 noise ratio (SNR) of Rayleigh wave ambient noise cross-correlations from 10 to 40 s period,
19 which improves the prospects for ambient noise tomography across the Juan de Fuca plate.
20 Finally, in Section 4 we show how the corrections improve the ability to infer information about
21 the directionality of ambient noise, which provides new information about the source location
22 and mechanism of generation of ambient noise.
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2. Tilt and compliance noise reduction

In this study, we analyze the same data set as described by Tian and Ritzwoller [2015] with 62 OBS stations and 40 continental stations (**Fig. 1**). At least 6 months of continuous data that overlap in time are available from all 102 stations. Examples of typical daily ocean bottom seismic records are shown in **Figure 2**, where the vertical and differential pressure gauge components of shallow water station J49A are plotted as an example of the effect of strong compliance noise and the vertical and horizontal components of deep water station station G03A are plotted as an example of strong tilt noise. In both cases, the two components plotted are highly similar to one another, which indicates that the vertical components are severely contaminated by noise recorded on the horizontal components and the pressure in the water column. Note that the tilt noise, as shown in **Figure 2 c-e**, has a periodicity of roughly half a day, which may be caused by ocean bottom currents induced by the semidiurnal tidal cycle.

We follow Bendat and Piersol [1986] and Crawford and Webb [2000] to define the horizontal-to-vertical or pressure-to-vertical transfer function as:

$$H_{rs}(f) = \frac{G_{rs}(f)}{G_{ss}(f)}, \quad (1)$$

where s is the ‘source’ channel and r is the ‘response’ channel. $G_{rs}(f)$ and $G_{ss}(f)$ are, respectively, the cross-spectral and auto-spectral density functions estimated using Bartlett’s method of averaged periodograms:

$$G_{rs} = \frac{1}{n_s} \sum_{i=1}^{n_s} [R_i^*(f) S_i(f)], \quad (2)$$

$$G_{ss} = \frac{1}{n_s} \sum_{i=1}^{n_s} [S_i^*(f) S_i(f)], \quad (3)$$

where n_s is the number of time segments. $S_i(f)$ and $R_i(f)$ are the Fourier transforms of the i th segments of the source and response channels, respectively. The modulus and argument of the

transfer function are usually referred to as the admittance ($A_{rs}(f)$) and phase ($\Phi_{rs}(f)$), which describe, respectively, the gain factor and the phase shift between the source and response channels. The coherence function $\gamma_{rs}(f)$ is defined similarly to the transfer function, but describes the degree to which the response channel can be predicted linearly from the source channel:

$$\gamma_{rs}(f) = \frac{G_{rs}(f)}{[G_{rr}(f)G_{ss}(f)]^{1/2}}. \quad (4)$$

In defining the compliance and tilt transfer functions the response channel in both cases is the vertical component seismometer. For compliance the source channel is the differential pressure gauge (DPG) and for tilt the source channel is the appropriately rotated horizontal component as discussed below.

The microseism Rayleigh wave, which is the target ‘signal’ for ambient noise cross-correlation, is recorded by the vertical component seismometer as well as the horizontal components and the DPG. As indicated by Bell et al. [2015], the tilt or compliance noise on the vertical component can be greater than the microseism signal by one to two orders of magnitude. Furthermore, Bowden et al. [2016] argue that the fundamental mode microseism Rayleigh wave may be reduced when attempting to remove the tilt and compliance noise. For these reasons, we add the following data processing steps to the procedure described by Bell et al. [2015] to ensure that the tilt and compliance transfer functions are computed accurately. (1) We define the down-weighted coherence as

$$C_{rs}(f) = |\gamma_{rs}(f)|\cos(\Phi(f) - \Phi_0) \quad (5)$$

where $\Phi(f)$ is the phase of the coherence function and Φ_0 is defined differently for different coherence functions to account for the expected phase shifts. We set $\Phi_0 = 0$ for both the tilt (horizontal-to-vertical) and compliance (pressure-to-vertical) coherence functions because the tilt and compliance noise are expected to be in phase in the source and response channels. However,

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3 we observe a clear $\pi/2$ phase shift between the pressure signals recorded on the DPG and the
4 horizontal components, which may be caused by the circular motion of the ocean gravity wave.
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6 Therefore, we set $\Phi_0 = \pi/2$ for the pressure-to-horizontal coherence functions when predicting
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8 the compliance effects on the horizontals, as discussed below. (2) The transfer functions are only
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10 applied in frequency bands where $C_{rs}(f)$ is greater than 0.5. This ensures that the denoising
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12 process is applied only in frequency bands with a strong sign of the effect of tilt or compliance
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14 noise and the expected phase shift. (3) Bell et al. [2015] use a weighted least squares method to
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16 fit quadratic functions to both admittance and phase in the coherent band to provide smooth tilt
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18 transfer functions. We find, however, that some admittance curves cannot be fit well by quadratic
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20 functions probably due to cross-interferences between the tilt and compliance noise. We,
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22 therefore, follow their procedure to fit quadratics to the phase of both the tilt and compliance
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24 transfer functions, but retain the raw admittance curves to provide a more accurate prediction. (4)
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26 We only apply the tilt and compliance transfer functions in specified frequency ranges to
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28 minimize distortion to and perhaps reduction in the microseism Rayleigh wave. In particular, we
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30 set a cutoff frequency of 0.11 Hz for the tilt transfer functions [Crawford and Webb, 2000] and
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32 compute the cutoff frequencies for the compliance transfer functions based on the infragravity
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34 wave dispersion relationship as discussed below. (5) Also as discussed later in the paper, we find
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36 in cases of particularly strong tilt and compliance noise that a further denoising iteration is
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38 needed to ensure clean results. This is further discussed below with **Figure 6** and **7**.

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41 As discussed by Crawford et al. [1998], the ocean gravity wave can induce pressure
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43 variations to a maximum depth of about one wavelength. A cutoff frequency for the compliance
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45 transfer function can, therefore, be predicted through the infragravity wave dispersion
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47 relationship:
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$$\lambda(f) \approx \frac{g}{2\pi f^2} \quad (6)$$

where λ is wavelength and g is the gravitational acceleration. As indicated by this relationship, the ocean gravity wave at lower frequency has longer wavelength and thus induces pressure variation to a greater depth. Bell et al. [2015] investigate the relationship between water depth and the cutoff frequency of the observed compliance noise. They find that at $\lambda=d$, where d is the water depth, the predicted cutoff frequency is consistently slightly lower than the maximum frequency of the observed compliance transfer function. We, therefore, set $\lambda=0.8d$, and predict the cutoff frequency for the compliance transfer function as

$$f_{cutoff} = \sqrt{\frac{g}{1.6\pi d}} \quad (7)$$

As showed later by **Figure 4** and **5**, this relationship is consistent with the frequency content of the observed compliance noise and ensures the efficient removal of it.

Bell et al. [2015] also show that tilt angles can be estimated from the admittance of the tilt transfer functions and find that these angles drift continuously over time and may shift abruptly as the instrument re-levels. We, therefore, compute transfer functions using daily records after removing time windows affected by earthquakes. We partition each daily record into a maximum of forty-three 2000 sec segments depending on how much time is affected by earthquakes. To minimize uncertainties in the tilt transfer function, instead of predicting noise from the two horizontal components separately, we follow Bell et al. [2015] and predict and remove the tilt noise from the horizontal component rotated in the direction of the tilt. Examples of the tilt transfer function are shown in **Figure 3**, where we plot the mean and standard deviation of the horizontal-to-vertical transfer functions over the first nine days of March, 2012 for deep water station J68A (**Fig. 1**), which is affected by strong tilt noise. As indicated by the coherence curve,

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3 tilt noise begins to dominate at frequencies below about 0.1 Hz and extends all the way down to
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5 ~0.002 Hz. At higher frequencies, tilt noise is more strongly affected by microseism Rayleigh
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7 waves propagating from distance sources, as indicated by the approximately $\pi/2$ phase shift
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9 between the horizontal and vertical components, which is expected for the Rayleigh wave. Tilt
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11 admittances computed on different days agree well and phases are close to zero whenever the
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13 coherence is high. Note that the coherence drops abruptly at 0.06 Hz, 0.077 Hz, and 0.093 Hz on
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15 all nine days due to anomalous signals, which are probably produced by spontaneous transient
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17 disturbances of the instrument.
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22 The compliance transfer functions are computed in a similar manner, but the source channel
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24 is the DPG. **Figure 4** shows the example of the mean and standard deviation of nine pressure-to-
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26 vertical compliance transfer functions for the first nine days of March, 2012 for shallow water
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28 station J49A (**Fig. 1**). High coherence close to 1 is seen on all dates between about 0.01 and 0.12
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30 Hz. Only two of the constituent curves drop below 0.9 at lower frequencies, which are probably
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32 caused by higher tilt noise on those two days. All admittance curves agree well in the frequency
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34 range of high coherence where the observed phases are close to zero.
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39 Tilt noise affects our ability to remove compliance noise and vice versa. To remove both
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41 we iterate. We first compute both the pressure-to-vertical and horizontal-to-vertical transfer
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43 functions on the original records for each station and each day. We then down-weight the
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45 amplitude of the coherences based on equation (5) and compare the down-weighted coherences
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47 of the two transfer functions to decide which type of noise is stronger for the considered daily
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49 record. The predicted effect of the noise source with the stronger averaged coherence is removed
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51 first. We then re-compute the other transfer function to predict and remove the weaker noise
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53 source. The transfer functions are only applied in the frequency bands where the coherences are
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3 greater than 0.5 as discussed above.
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5 **Figure 5** shows an example of the pressure-to-vertical compliance transfer function for
6 March 4, 2012 for deep-water station J68A before and after the tilt noise is removed. Compliance
7 noise is not observed on the raw transfer function (gray curves) but is revealed after the removal
8 of the tilt noise (blue curves). As discussed earlier, due to station J68A being located in much
9 deeper (~2600 m) water than station J49A (~120m, **Figure 4**), the compliance noise only appears
10 at lower frequencies between 0.008 and 0.025 Hz. While on station J49A the compliance noise
11 dominates the frequency band 0.01-0.12 Hz. On the other hand, a clear Rayleigh wave signal is
12 also observed in the transfer function at and above ~0.07 Hz (e.g., Ruan et al., 2014). Computed
13 from equation (7), the cutoff frequencies applied are 0.126 Hz for station J49A and 0.027 Hz for
14 station J68A. As observed in **Figures 4** and **5**, these cutoff frequencies ensure that the
15 compliance noise is removed completely, while also minimizing distortions to the microseism
16 Rayleigh wave.
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34 For stations that are affected simultaneously by strong compliance and tilt noise, a single
35 de-noising iteration does not remove both types of noise completely and a second iteration is
36 needed. We show an example of such a case for deep-water station G03A in **Figures 6** and **7**. In
37 **Figure 6**, the raw vertical component daily record for March 7, 2012 is compared to the record
38 after two denoising iterations. In the frequency band 0.05-0.1 Hz, noise is reduced by a factor of
39 ~7 for the peak noise and a factor of ~1.7 on average throughout the day. In the lower frequency
40 band 0.01-0.05 Hz, noise is reduced by a factor of ~60 for the peak noise and a factor of ~10 on
41 average throughout the day. The effects of removing each type of noise on this station are shown
42 in **Figure 7**. Each dot in the figure is a daily averaged amplitude reduction value computed as the
43 average ratio between the records before and after a specific type of noise is removed. In both the
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3 0.05-0.1 Hz and the 0.01-0.05 Hz frequency bands, amplitude reductions of removing the tilt
4 noise for the first time (**Figures 7 a and b**) increase, in general, with time. This is consistent with
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6 the observation made by Bell et al. [2015] that the tilt angle on this station increases
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8 continuously from about 0.1° at the beginning to about 0.5° at the end of the time period.
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12 The overall amplitude reductions averaged over the entire time of study are shown in **Table**
13 **1** for the OBS stations. Out of the 62 OBSs listed in **Figure 1**, five (FN03A, FN06A, FN09A,
14 FN10A, FN19A) do not have 3-component seismic data, seven (FN16A, J51A, J58A, J59A,
15 M03A, M04A, M05A) do not have the normal differential pressure gauge component
16 (BDH/HDH, 5 out of these 7 stations do, however, have substitution absolute pressure gauge
17 components BXH/HXH that may potentially be used to apply the same noise removal technique
18 in the future), and three (FN01A, FN05A, M02A) seem to have erroneous three-component
19 seismic data records. This leaves 47 OBS stations, listed in **Table 1**, to which our de-noising data
20 processing procedure is applied.
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34 In the 0.01-0.05 Hz frequency band, at the lowest frequencies, almost all the OBSs display
35 significant noise reduction by removing the tilt and compliance noise, although noise reductions
36 are smaller on deep water stations ($>1500\text{m}$ in water depth) due to weaker compliance noise. In
37 the 0.05-0.1 Hz frequency band, noise reductions are high on shallow water stations, but are
38 much lower on deep water stations because the compliance noise does not extend into this
39 frequency range in the deep ocean. High noise reduction values (>1.1) on deep water stations in
40 this frequency band indicate significant tilt noise. In the 0.1-0.2 Hz frequency band, at the
41 highest frequencies, only station J57A (59m) and J49A (123m) observe significant amplitude
42 reductions due to them being located in exceptionally shallow water where compliance noise
43 extends into the secondary microseism band. Rayleigh wave microseism signals dominates all
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3 other OBSs on which the denoise technique does not reduce the noise significantly in this
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5 frequency band.
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10 11 **3. Comparison of ambient noise cross-correlations before and after** 12 **reduction of tilt and compliance noise** 13 14 15 16 17 18

19 To investigate the effects of removing tilt and compliance noise on ambient noise cross-
20 correlations, we compute two sets of ambient noise cross-correlations between all station pairs.
21 For the first set, we follow a traditional ambient noise cross-correlation procedure designed for
22 application to continental stations [Bensen et al., 2007] and apply an earthquake filtered (10-40
23 sec) running-average time domain normalization followed by spectral whitening. For the second
24 set, we add the compliance and tilt noise removal process as described in Section 2 before the
25 normalizations applied to the continental stations. Record sections of the shallow water station
26 J49A are plotted in **Figure 8 a-d** for both cross-correlation sets in two frequency bands. Between
27 160 and 270 daily cross-correlations are stacked for each of these station pairs. In both the 12-20
28 sec period band and the 20-30 sec period band, there is no observable signal on the raw cross-
29 correlations using the traditional procedure (**Fig. 8a, c**). This is consistent with the results shown
30 by Tian and Ritzwoller [2015], where the traditional continental procedure does not produce any
31 measurable signal on OBS pairs at and beyond 20 sec period and has extremely low SNRs at 10-
32 20 sec period on most of the station pairs involving shallow water stations. Clear Rayleigh wave
33 signals show up in both period bands, however, after removing the tilt and compliance noise
34 (**Fig. 8b, d**). As shown in **Figure 9**, the removal of tilt and compliance noise, which we refer to
35 as “denoising”, does not have as strong of an effect at periods below 10 s or above 30 s. There is,
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3 however, strong tilt and compliance noise above 30 s period, but the intrinsic ambient noise
4 signals are weaker in this band than at shorter periods. Although the SNRs are improved in this
5 band they remain lower than in the period band between 10 and 30 sec even after denoising.
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10 To quantify the overall improvement of denoising on all stations, we apply a frequency-time
11 analysis (FTAN, Levshin et al., 2001) on both cross-correlation sets (raw and denoised) and use
12 the averaged SNRs on each station in a given period band as indicators of quality. To minimize
13 the effects of bad FTAN measurements on our conclusions, we further down-weight each SNR
14 curve according to the bias of the measured group speed compared to an associated reference
15 group speed curve. The construction of the reference curves is described below. For a given point
16 (A) on the measured group speed curve, we define the bias as the shortest distance to the
17 reference dispersion curve (at point B) in the $\log(T)$ – $\log(v)$ plane, where T is period and v is
18 group speed:
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$$31 \quad \Delta(A) = \min(\sqrt{(\log T_A - \log T_B)^2 + (\log v_A - \log v_B)^2}). \quad (8)$$

32 We then down-weight the SNR of this measurement using a Gaussian weighting function to
33 produce the “Down-Weighted SNR”:
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$$39 \quad \text{DSNR}(A) = \text{SNR}(A) \exp(-\Delta(A)/(2\sigma^2)), \quad (9)$$

40 where σ defines the standard deviation of the Gaussian weighting function. For the results shown
41 here, a σ of 0.1 is used, which effectively reduces to near zero the SNR measurements for group
42 velocity measurements more than ~20% away from the reference curve, partially depresses
43 measurements between 10% and 20%, and leaves measurements within 10% almost unchanged.
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51 An example of this down-weighting process is shown in **Figure 10** with measurements
52 made on shallow water-continent station pair J33A-I05D (**Fig. 1**) from both cross-correlation
53 sets, where measurements made using the traditional processing scheme are shown with red
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3 circles and the denoised measurements are shown with blue dots. The original SNR curves are
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5 peaked near 8 sec period and have non-zero values across the whole period range from 1 to 35
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7 sec period. After down weighting by the group speed dispersion bias, the raw SNR curve drops
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9 to zero beyond 11 sec period because of the large error of its group velocity measurement. The
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11 zero values mean, essentially, that there is no Rayleigh wave observed in the cross-correlation.
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13 Also, both curves are weighted-down below 5 sec period where the measured dispersion curves
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15 begin to scatter appreciably.
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20 The reference group velocity dispersion curve for each path is chosen based on the water
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22 depth at the station-pair used to produce the ambient noise cross-correlation. When both stations
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24 are in deep water (>1.5 km depth), the reference dispersion curve is taken from the lithospheric
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26 age dependent model of Tian et al. [2013]. For all other path types (continental, continent-to-
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28 shallow ocean, continent-to-deep ocean, and shallow ocean-to-deep ocean), we construct
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30 reference dispersion curves from the average of all the dispersion measurements of the
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32 associated type. Most of the shallow ocean-to-shallow ocean paths do not have high quality
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34 signals to be measured even with the denoising process applied. The shallow ocean-to-deep
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36 ocean reference curve is, therefore, used for the shallow paths instead. Example reference group
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38 velocity dispersion curves are shown in **Figure 11**. Note that the accuracy of these reference
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40 curves may degrade towards the short periods (<5 sec) where the ambient noise signals weaken.
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46 To summarize the results, for each station we compute the average of the down-weighted
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48 SNRs (DSNRs) for all inter-station cross-correlations involving the target station at a given
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50 period. We use this averaged DSNR (ADSNR) as an indicator of the overall quality of cross-
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52 correlations involving this station. The results computed in two different period bands are shown
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54 in **Figure 12** (12-20 sec) and **Figure 13** (20-30 sec). Based on a comparison of the ADSNR
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3 values to the ocean bottom station measurement qualities obtained by Tian et al. [2013] and Tian
4 and Ritzwoller [2015], an ADSNR less than 1 indicates no measurable Rayleigh wave on that
5 station and an ADSNR greater than 8 indicates a significant percentage of measurable Rayleigh
6 waves on the cross-correlations. At 12-20 sec period (**Fig. 12**), most of the shallow water stations
7 are improved from having no measurements at all to having some measurable paths. The
8 percentage of useful paths are improved for most deep water stations as well. At 20-30 sec period
9 (**Fig. 13**), almost all ocean-bottom stations benefit significantly from the denoising process. This
10 process even affects some of the near-shore continental stations, potentially by removing
11 horizontal noise leaked into the vertical components. Note that only 47 OBSs are plotted on the
12 denoised maps for reasons discussed in Section 2.
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27 These results provide evidence of a substantial improvement in the quality of Rayleigh
28 wave ambient noise cross-correlation measurements from 10 to 30 s period, which promises a
29 greater utility of these measurements for ambient noise tomography. In contrast with Bowden et
30 al. [2016] who argue that the strength of the fundamental mode Rayleigh wave is reduced due to
31 these noise removal techniques, we find that the SNR is actually increased for the Rayleigh wave
32 at all periods, as illustrated in **Table 1** and **Figure 12** and **13**. We believe this difference must be
33 related to differences in our data processing procedures relative to theirs.
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4. Improvement of estimates of ambient noise directionality

In the study of the location and mechanism of microseism generation, Tian and Ritzwoller [2015] analyzed ambient noise directionality based on the SNRs of ambient noise cross-correlation measured using the Cascadia initiative ocean bottom seismometers combined with stations on the Western US continent. They found that oceanic stations are severely contaminated by local noise in the primary microseism band (10-20 s period) and are almost unusable for the directionality study for stations deployed in shallow waters. To make meaningful observations, they discarded most of the shallow water stations and averaged among station groups to stabilize the results. Here, we investigate the effect of the tilt and compliance reduction technique to improve both the accuracy and the spatial extent of the ambient noise directionality study.

In **Figure 14**, we present ‘fan diagrams’ similar to those presented in Tian and Ritzwoller [2015] to summarize the strengths of the primary microseism (12 -20 sec period) waves propagating ‘outward’ from a single station. Each fan diagram presents a visual image of signal strength of ambient noise in different azimuthal directions, propagating outward from the station. Higher signal strengths are plotted with cool colors and lower strengths are in warm colors. A blue azimuthal sector on a fan represents strong ambient noise signals propagating from the central station to other stations in the azimuthal sector. Here, down-weighted SNRs (DSNRs), instead of the raw SNRs, are used to produce these fan diagrams. They are averaged between 12 and 20 sec period, while the fan diagrams in Tian and Ritzwoller [2015] show peak SNRs between 11 and 20 sec period. These two differences put the fan diagrams shown here on a slightly different scale from those shown by Tian and Ritzwoller [2015].

Figure 14 a-b compares the signal strength of Rayleigh wave ambient noise in different azimuthal directions before and after the reduction of the tilt and compliance noise for a deep

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3 oceanic station J45A in the period band between 12 and 20 s. With the raw cross-correlations
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5 (Fig. 14a), where tilt and compliance noise have not been reduced, strong signals are observed to
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7 propagate to the southwest, while signals propagating toward the northwest and southeast
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9 directions are much weaker. The fan diagram after noise reduction (Fig. 14b) shows much
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11 stronger signals in these three directions in addition to stronger but still weak signals propagating
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13 toward the north, northeast, east, and southeast directions. These three directions of the strongest
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15 signals are consistent with the three potential local source regions for the primary microseisms
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17 discussed by Tian and Ritzwoller [2015].
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22 Similarly, in Figure 14 c-d we present the fan diagram comparison for shallow water station
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24 J33A, where there is almost no observable signal in the raw diagram, but reasonably strong
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26 signals are observed on the de-noised diagram. A potential problem of using such shallow water
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28 stations to study ambient noise directionality, however, is the inconsistency of the noise levels on
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30 the receiver stations in the cross-correlations. For the example presented in Figure 14d, receiver
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32 stations at azimuths between 0° and 180° are continental while at azimuths between 180° and
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34 360° they are oceanic stations which have much higher noise levels. This inconsistency in noise
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36 level produces a bias in the apparent signal strength towards the eastern side of the fan diagram.
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38 This either should be corrected or interpreted with caution in any study involving the SNRs of
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40 ambient noise cross-correlations.
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46 Similar fan diagrams for the secondary microseism (5-10 sec) and longer periods (20-30
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48 sec) are shown in Figures 15 and 16 for the same stations. In the secondary microseism band,
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50 DSNRs improved only slightly on average after removing the tilt and compliance noise due to
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52 this period band being dominated by microseism energy rather than local noise. The strongest
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54 secondary microseism signals are observed to propagate to the east, in general, for both shallow
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3 and deep water stations, which is consistent with the observations made by Tian and Ritzwoller
4 [2015]. On the other hand, DSNRs at longer periods (20-30 sec, **Figure 16**) improve
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6 significantly such that the de-noised fan diagrams show strong signals propagating to the east,
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8 presumably generated in deep water.
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12 Tian and Ritzwoller [2015] showed fan diagrams across the entire Juan de Fuca plate and
13 on-shore for the primary and secondary microseism bands, but did not present results for longer
14 period (> 20 sec) signals, as they are obscured by tilt and compliance noise. Here we present a
15 map of the fan diagrams across the region at longer periods (20-30 sec period) in **Figure 17**
16 computed after the removal of the tilt and compliance noise. Three observations are worth
17 noting: (1) Strong signals propagating, in general, to the east are observed on both the
18 continental and oceanic stations. (2) Weaker but systematic signals propagating to the southwest
19 are observed on continental stations, but are not observed on the OBSs. Signals with similar
20 directionalities are observed for the primary microseism band by Tian and Ritzwoller [2015] and
21 are believed to have originated from storms in the North Atlantic Ocean. (3) Signals propagating
22 to the west are also observed on the OBSs, but are not observed on the continent, indicating the
23 possible existence of source regions near the coastline at these longer periods. The source
24 locations for this period band, however, require further study.
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5. Conclusion

Based on 61 ocean bottom seismometers (OBSs) within the Juan de Fuca (JdF) plate from the Cascadia Initiative experiment and 40 continental stations in the far western United States. Consistent with Bell et al. [2015] we investigate the noise environment across the Juan de Fuca plate and find that tilt and compliance noise are the major sources of local noise that contaminate the vertical channels of the ocean bottom seismometers. These two types of noise can be predicted and greatly reduced from the vertical component data, on a daily basis, through the horizontal-to-vertical (for tilt) and pressure-to-vertical (for compliance) transfer functions. For each daily record, we remove the type of noise that has a higher overall coherence first to minimize uncertainties. To ensure clean results, this process is applied iteratively until the average coherences of both types of noise are below 0.5. This usually means that a second iteration is applied on stations/dates that are strongly affected by both types of noise.

We compute ambient noise cross-correlations of all station pairs both before and after the tilt/compliance noise removal process to obtain two separate cross-correlation data sets for comparison. We then apply frequency-time analysis to measure the SNRs for all station pairs on both cross-correlation sets. Each of these SNRs is then down-weighted based on how much the measured group speed is biased from a reference curve. We use the averaged-down-weighted SNR (DSNR) on each station as an indicator of the overall quality of the cross-correlations in which that station is involved. Almost all the oceanic stations display improved cross-correlation qualities at periods greater than 10 sec after reducing the tilt and compliance noise (**Table 1**). In the period band between 20 and 30 sec, most oceanic stations are improved from having almost no signal to having a significant number of measurable cross-correlations. These results imply improvements in both the spatial extent and bandwidth of ambient noise tomography due to the

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3 reduction of tilt and compliance noise.
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5 We provide further evidence that the reduction of the tilt and compliance noise will improve
6 the accuracy and the frequency and spatial extents of the microseism directionality studies based
7 on ambient noise cross-correlations. The study of the generation of longer period microseisms
8 (20-30 s) can be performed with the help of the noise removal technique and may provide new
9 information about the generation mechanism of ambient noise. Corrections must be made,
10 however, on shallow water stations to account for the inconsistency in noise levels of the
11 receiving stations in the cross-correlations.
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34 University of Colorado at Boulder.
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Table 1. Amplitude reductions on OBS stations in three frequency bands

Station	Longitude	Latitude	Depth (m)	0.01-0.05 Hz	0.05-0.10 Hz	0.10-0.20 Hz
J57A	235.549	47.0801	59	23.3	28.9	6.37
J49A	235.572	46.4378	123	21.0	24.4	1.25
J25A	235.378	44.4729	157	32.4	54.5	1.02
J41A	235.463	45.8119	174	23.6	39.3	0.98
FN07A	235.214	46.8555	175	48.4	67.8	1.03
M08A	235.105	44.1187	184	32.1	40.3	1.21
J73A	233.808	48.7677	187	26.8	47.8	1.05
M01A	233.278	49.1504	193	38.5	66.0	1.05
J65A	234.860	47.8913	195	29.1	63.3	1.00
FN18A	235.275	46.6998	212	25.5	33.5	0.97
FN08A	235.123	46.8888	312	3.62	5.37	0.95
J33A	235.429	45.1066	397	34.2	28.6	1.01
FN12A	234.881	46.8885	875	3.58	3.59	1.03
FN14A	235.035	46.0248	1012	30.0	7.63	1.01
M06A	235.073	45.5295	1369	13.7	18.3	0.99
M07A	234.883	44.8987	1467	10.7	1.03	1.01
J42A	234.700	45.9331	1591	10.5	1.04	1.01
J50A	234.701	46.6402	1742	8.02	1.12	1.01
J34A	234.585	45.3057	2320	1.23	1.23	1.00
J39A	230.356	46.1760	2437	2.10	1.10	1.01
J31A	230.327	45.5531	2573	1.90	1.13	1.01
J68A	232.171	48.4810	2584	9.06	1.81	0.99
J67A	232.916	48.1500	2587	3.62	1.06	0.99
J52A	232.984	46.9920	2629	1.36	1.09	0.99
J43A	233.828	46.1378	2647	7.01	0.98	0.98
J23A	230.317	44.8440	2649	7.90	1.31	1.00
J54A	231.188	47.3358	2649	3.02	1.09	0.99
J61A	231.803	47.8725	2662	2.90	0.99	0.99
J35A	233.733	45.4989	2667	7.73	0.99	0.98
J47A	230.286	46.8433	2677	3.07	1.11	0.98
J53A	232.078	47.1642	2698	8.54	1.27	0.98
J44A	232.961	46.3230	2719	5.20	0.98	0.98
J55A	230.292	47.5305	2728	3.21	1.14	0.99
J46A	231.212	46.6639	2748	5.63	1.39	0.981
J45A	232.095	46.5209	2757	5.54	1.14	0.99
J38A	231.147	46.0395	2774	3.69	1.06	1.00
J30A	231.093	45.4242	2786	3.90	1.13	1.01
J48A	229.349	47.1304	2820	1.20	1.02	0.99
J36A	232.877	45.6855	2823	5.72	0.99	0.98
J29A	231.992	45.1757	2834	3.58	1.13	0.99
J63A	229.997	48.2065	2852	9.55	1.30	0.99
J37A	232.015	45.8642	2862	1.27	1.03	0.99
J26A	234.534	44.6547	2863	1.21	1.21	0.99
J28A	232.844	45.0636	2876	8.45	1.31	0.98
G30A	231.681	41.9550	3141	12.9	2.60	1.00
J06A	231.199	43.2515	3183	8.40	1.16	1.01
G03A	233.838	40.0591	4060	8.17	1.34	1.00

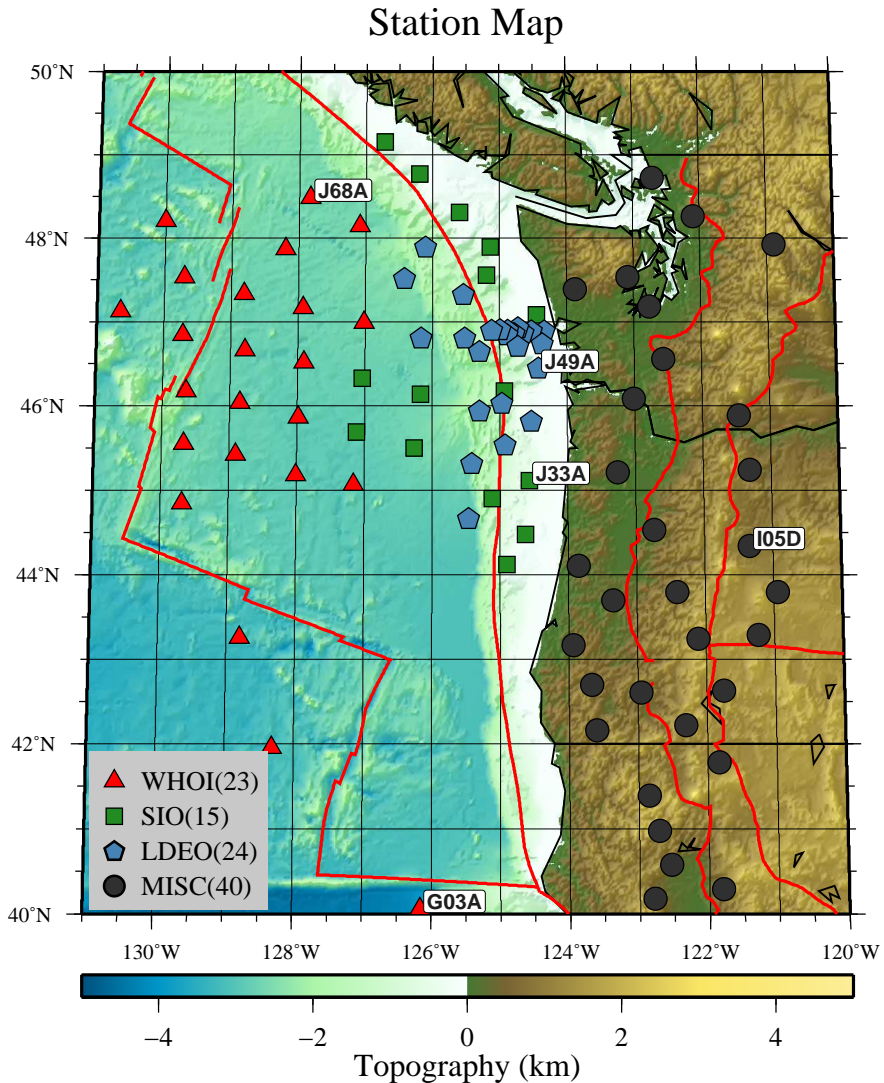


Figure 1. Stations used in the present study. Symbols indicate the origin of the stations from different institutions identified in the legend. Stations names are indicated for examples presented in the paper.

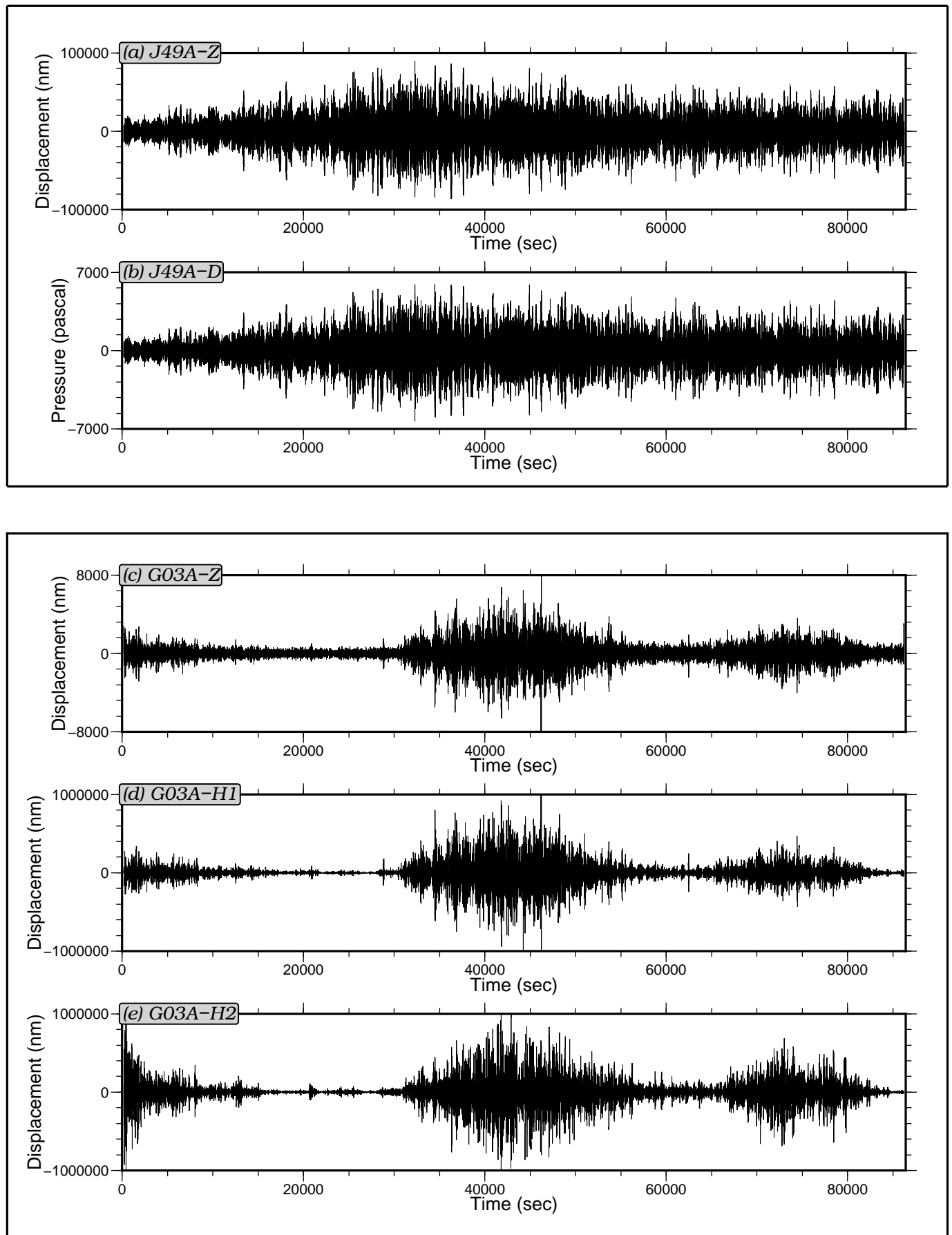


Figure 2. Examples of typical compliance and tilt noise for oceanic stations. Records on March 4, 2012 are plotted after applying a bandpass filter between 10 and 50 sec period. (a) Vertical (Z) component of station J49A. (b) Differential pressure gauge (DPG) record for station J49A. Correlated signals between the vertical component and DPG indicate strong compliance noise. (c) Vertical component of station G03A. (d) The first horizontal component (H1) of station G03A. (e) The second horizontal component (H2) of station G03A. Large amplitudes on the horizontals are caused by local noise sources such as bottom currents. Correlated signals on the vertical and horizontal components indicate tilt noise.

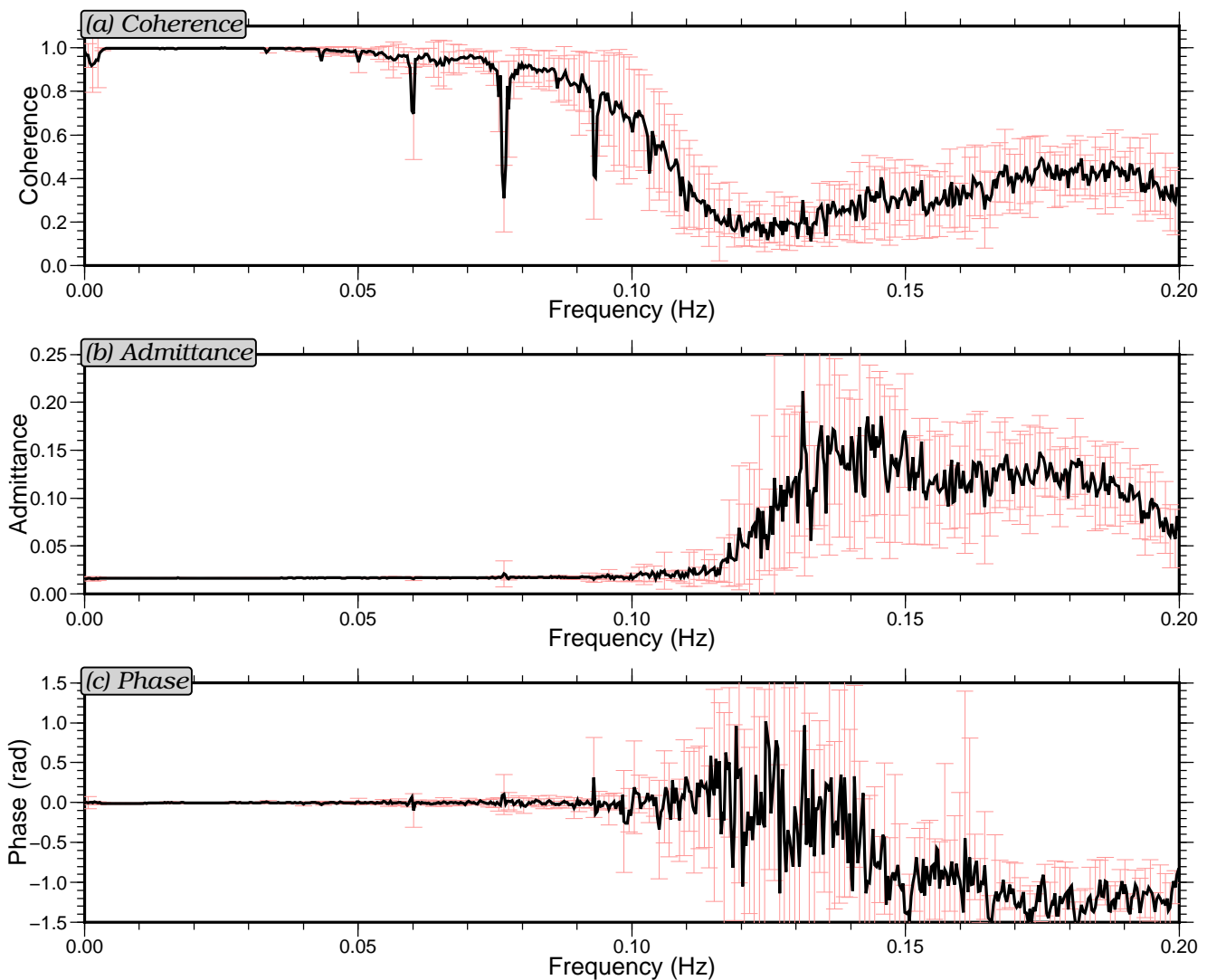


Figure 3. Example horizontal-to-vertical tilt transfer function for station J68A for the first nine days of March 2012. The black lines indicate the means of the transfer function characteristics over the nine days and the error bars are the standard deviations of the characteristics: (a) Coherence, (b) Admittance, and (c) Phase.

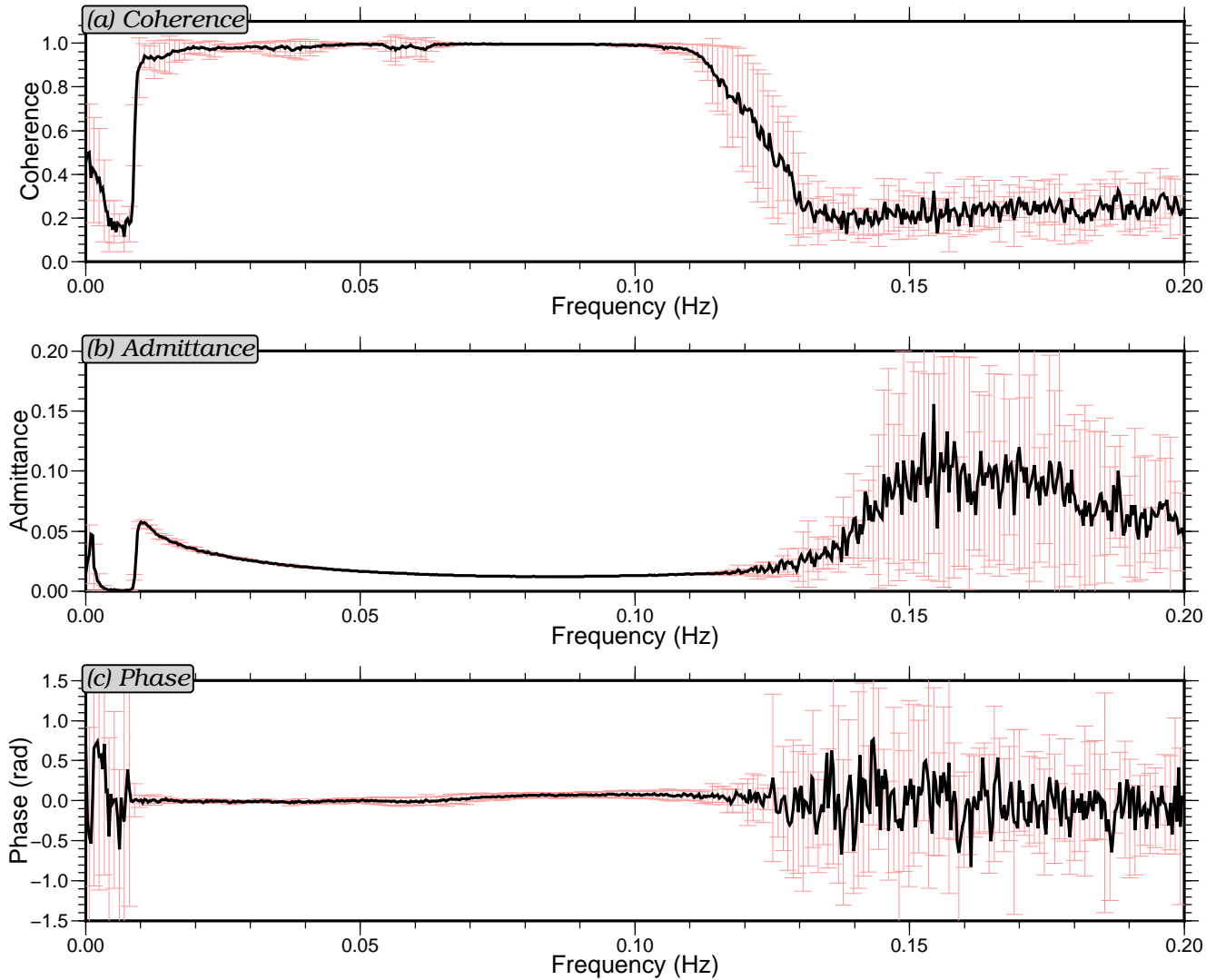


Figure 4. Example pressure-to-vertical compliance transfer functions for station J49A for the first nine days of March 2012. Similar to Fig. 3, black lines indicate the means of the transfer function characteristics over the nine days and the error bars are the standard deviations of the characteristic: (a) Coherence, (b) Admittance, and (c) Phase.

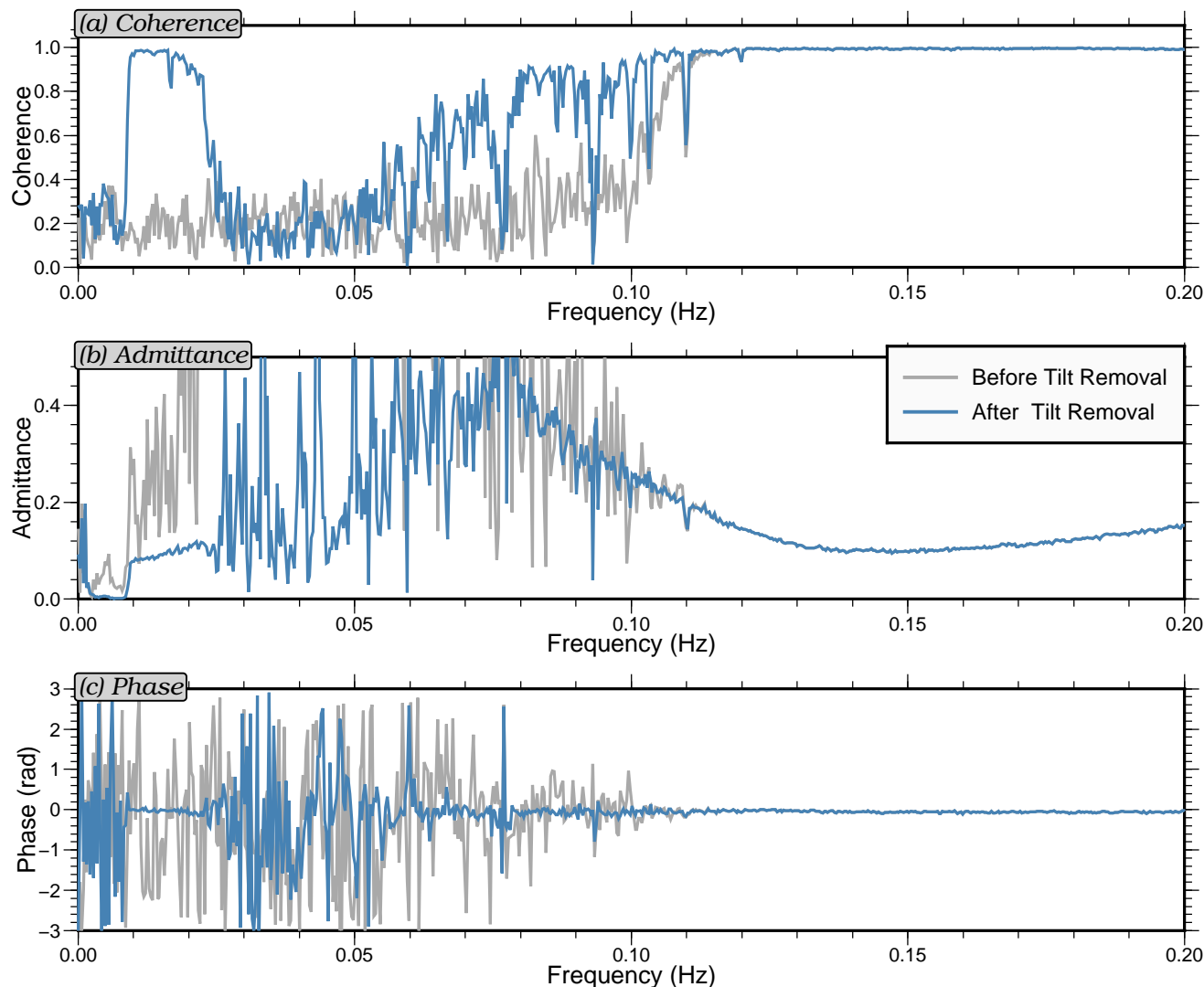


Figure 5. Example pressure-to-vertical compliance transfer functions for station J68A for March 4 2012 illustrating the effect of first removing tilt noise prior to computing the compliance transfer function. Gray and blue curves are, respectively, the transfer functions before and after the tilt noise is removed. Both compliance (0.008-0.025 Hz) and Rayleigh wave ($> \sim 0.07$ Hz) signals show up clearly after tilt removal. As in Figs. 3 and 4: (a) Coherence, (b) Admittance, and (c) Phase.

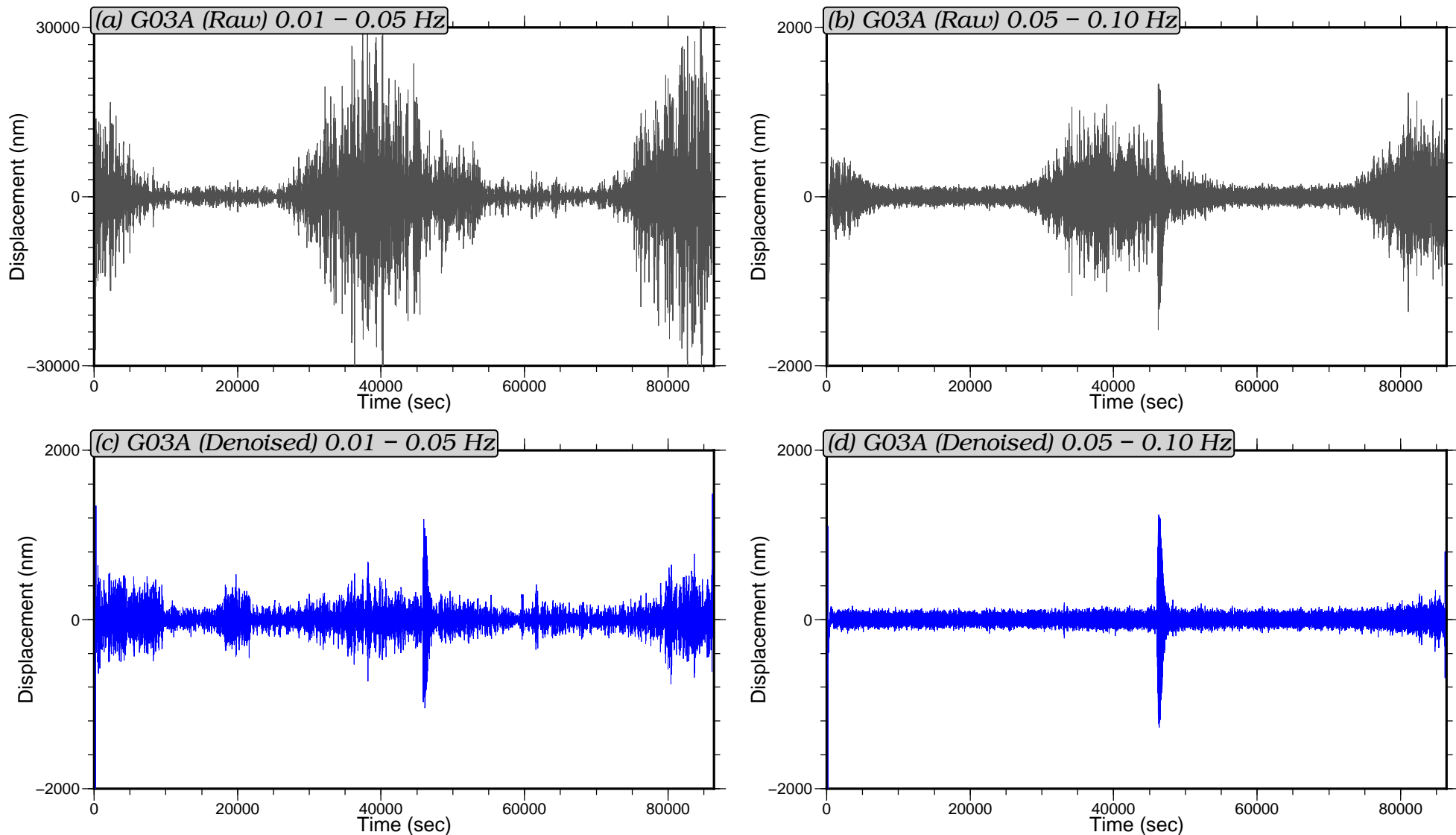


Figure 6. (Top Row) Vertical component daily record for station G03A on March 7, 2012 in two pass bands: (a) 20 – 100 sec period and (b) 10 – 20 sec period. (Bottom Row) The same records where tilt and compliance noise have been iteratively removed: (c) 20 – 100 sec period and (d) 10 – 20 sec period.

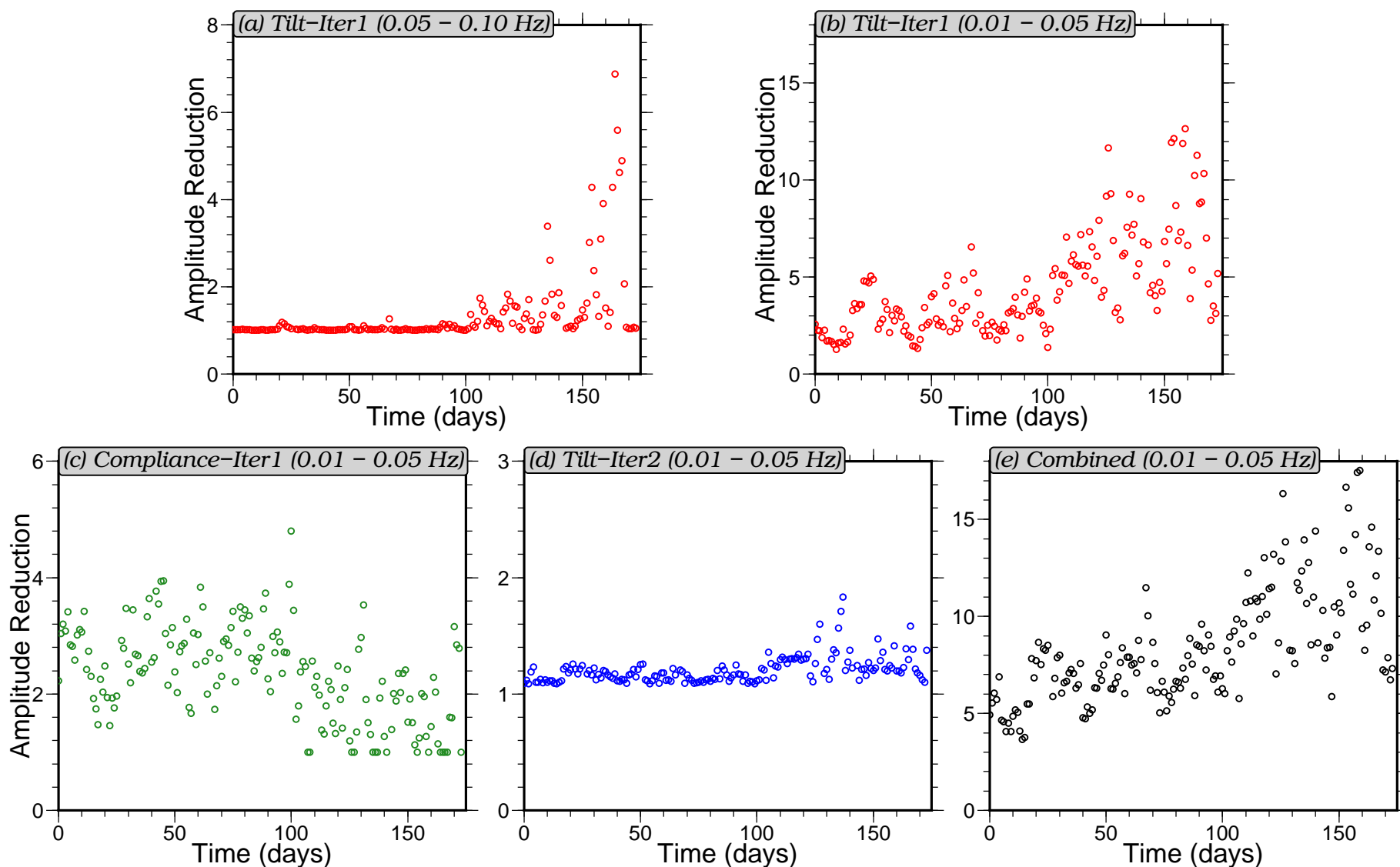


Figure 7. Daily averaged amplitude reduction (ratio) of the vertical component records for deep water station G03A. Each small circle in the diagrams indicates the daily averaged amplitude ratio of the record before and after the identified type of noise is removed. Horizontal axes present time in number of days where day zero is November 22, 2011, which is the first day with reasonable data for this station. (a) Amplitude reduction of removing tilt for the first iteration (10 - 20 sec period). (b) Similar to (a), but between 20 and 100 sec period. (c) Amplitude reduction of removing compliance for the first iteration (20 - 100 sec). (d) Amplitude reduction of removing tilt for the second iteration (20 - 100 sec). (e) Total amplitude reduction after two denoising iterations, combining the effects from (b), (c), and (d). A summary in three period bands of this total denoising statistic is presented for each station in Table 1.

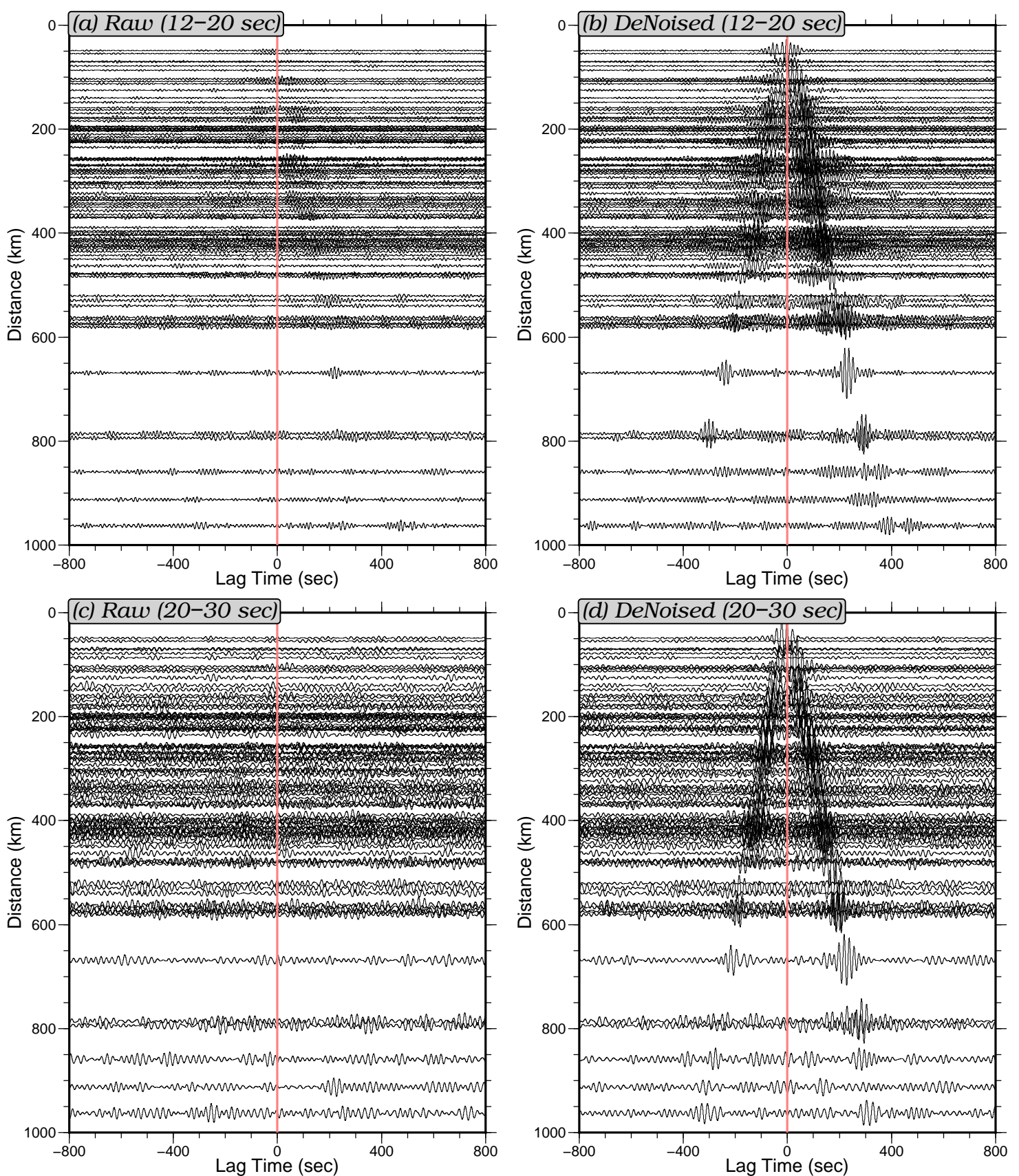


Figure 8. Record sections of vertical component ambient noise cross-correlations for the shallow water station J49A. A total of 160 to 270 daily cross-correlations are stacked for each resulting cross-correlation shown here. (a) “Raw” cross-correlations computed without correcting for tilt or compliance noise, bandpass filtered between 12 and 20 sec period. (b) Similar to (a), but these “Denoised” records have tilt and compliance noise removed. (c) Similar to (a), but filtered between 20 and 30 sec period. (d) Similar to (c), but with tilt and compliance noise removed. At both period ranges the denoised records show clearer Rayleigh waves.

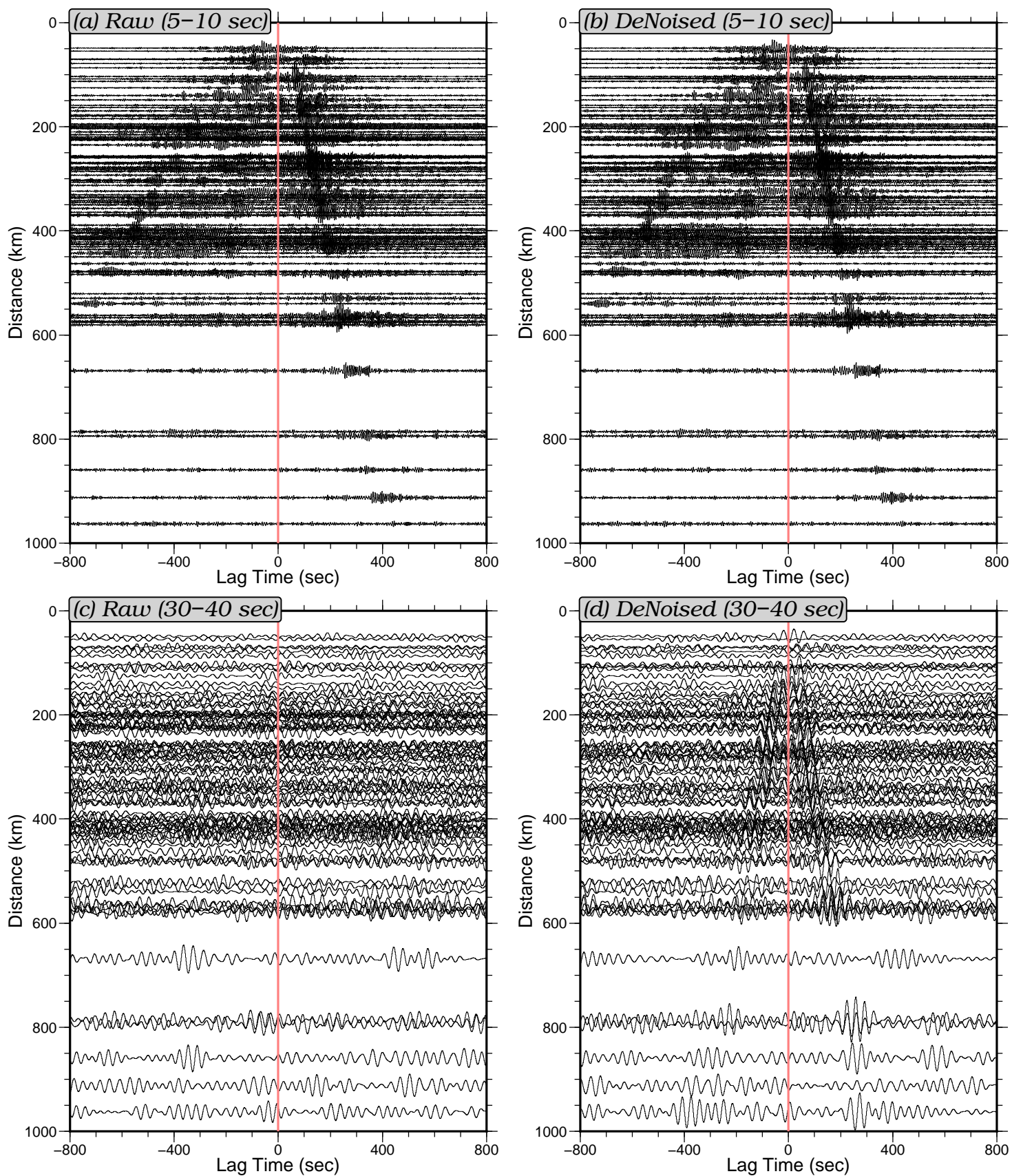


Figure 9. Similar to Fig. 8, but for period bands of (a, b) 5-10 sec and (c, d) 30 – 40 sec. In contrast to Fig. 8, there is no noticeable improvement in the 5-10 sec period band and only moderate improvement in the 30-40 sec period band from the denoising process.

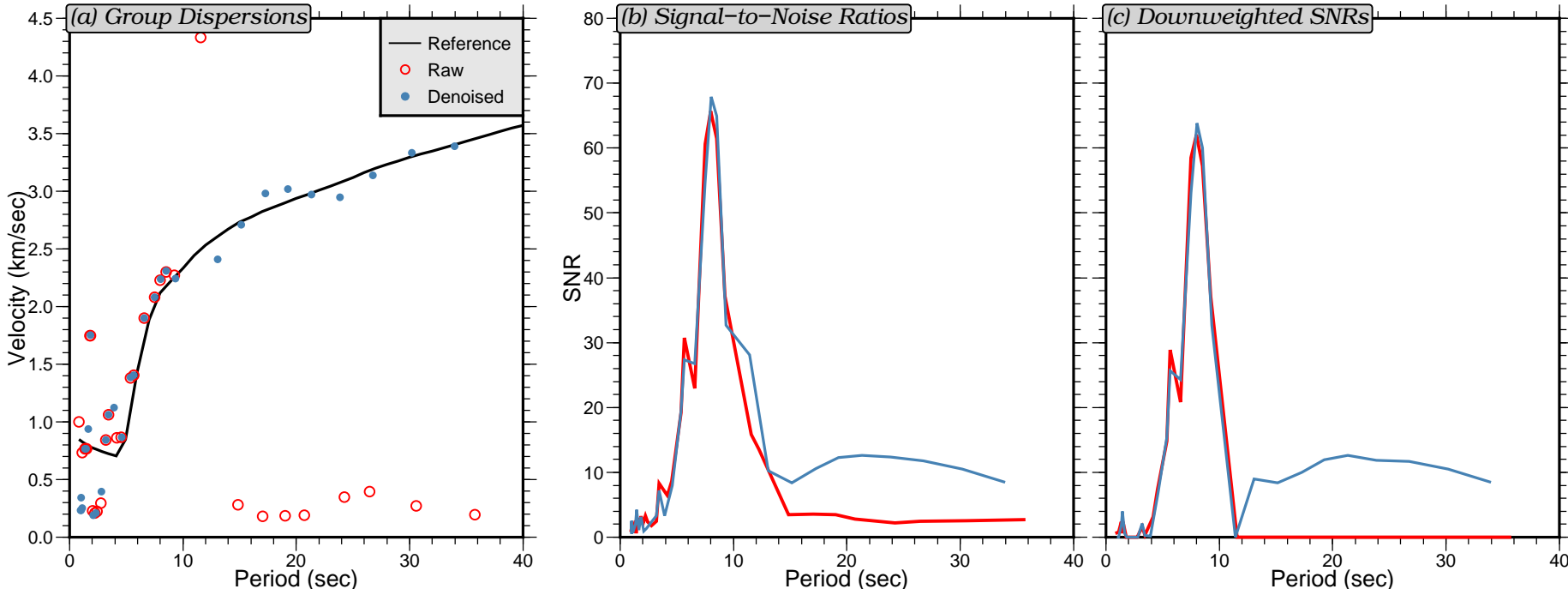
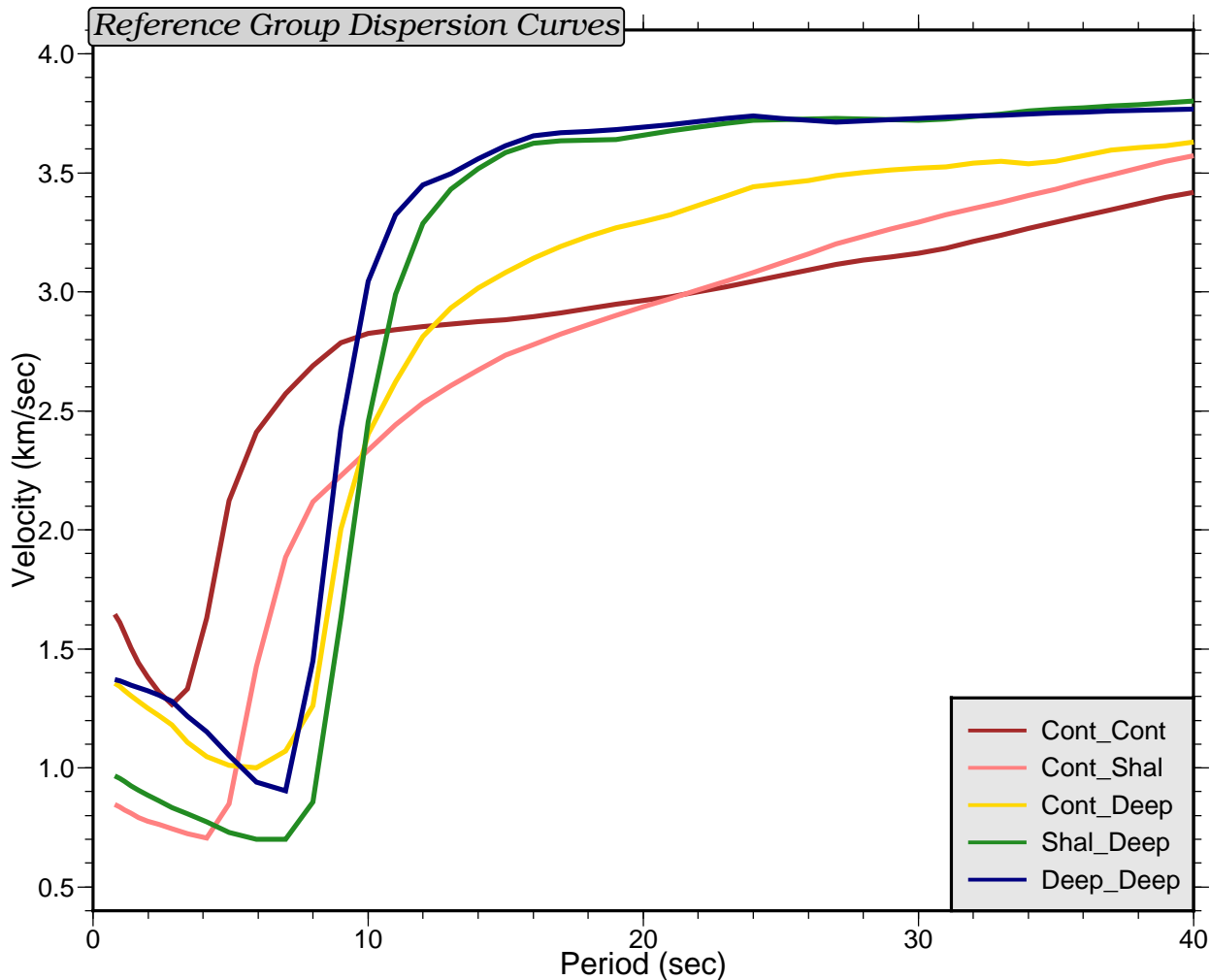


Figure 10. Illustration of relation between the SNR and the down-weighted SNR (DSNR) presented for the ambient noise cross-correlation between stations J33A and I05D. (a) The black line is the reference curve for this path between continental and shallow oceanic stations. Red and blue symbols indicate the group velocity measured using the cross-correlation without correcting for tilt and compliance noise and the measurements using the de-noised cross-correlation, respectively. (b) The SNR curves measured base on the dispersion curves shown in (a). (c) The so-called “Downweighted SNR” curves which have been down-weighted according to the deviation from the measured group velocity compared to the reference curve.



34 Figure 11. Reference group velocity curves, which are averages of measured curves between
35 stations located in different regions: continent-continent, continent-shallow ocean, continent-deep
36 ocean, shallow ocean-deep ocean, and deep ocean-deep ocean.
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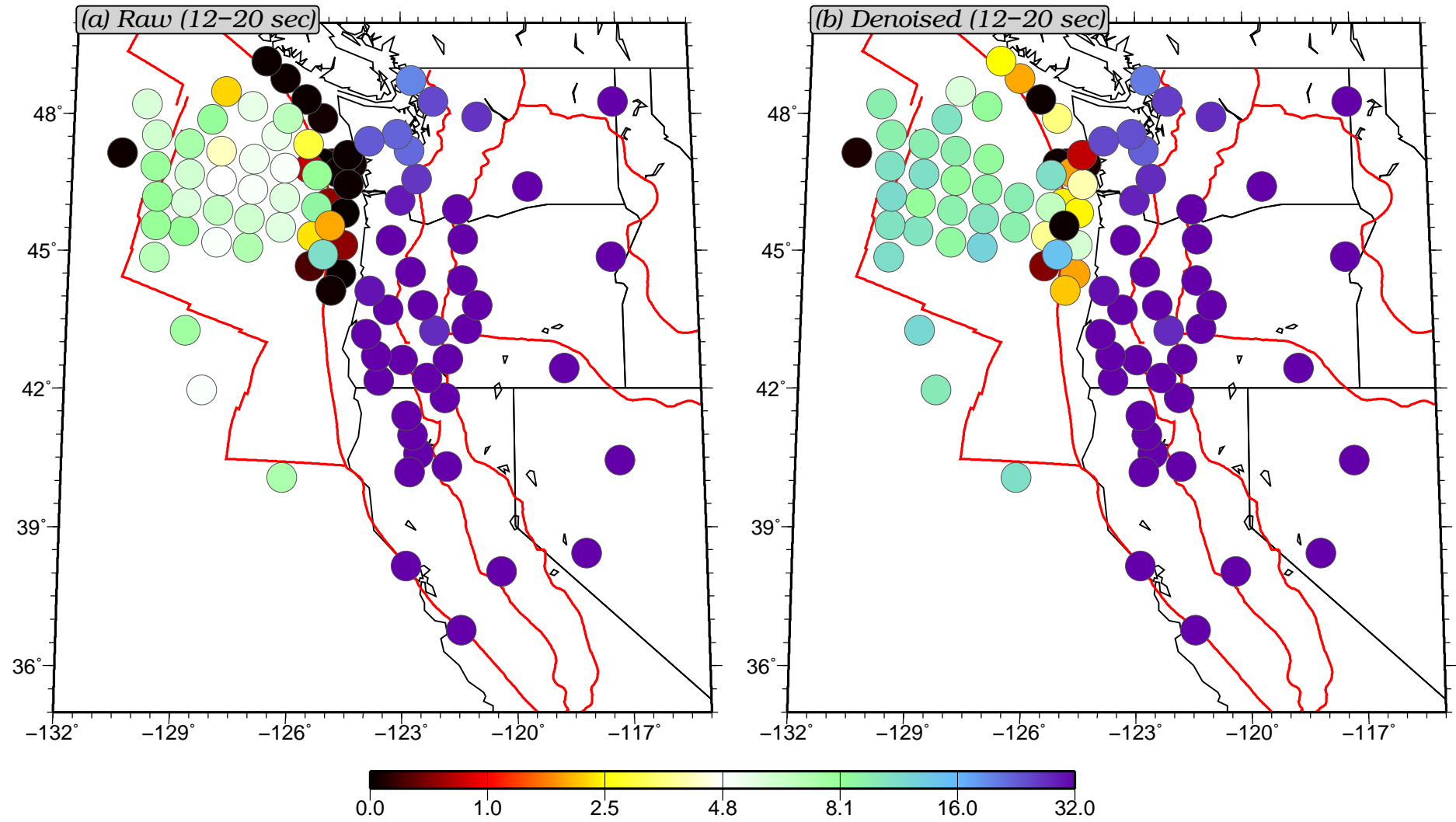


Figure 12. Comparison of the averaged Downweighted SNRs between “raw” ambient noise cross-correlations which have not been corrected for tilt or compliance noise and “de-noised” cross-correlations which have been corrected. The color plotted at each each station location indicates the DSNR averaged between 12 and 20 sec period among all paths involving this station.

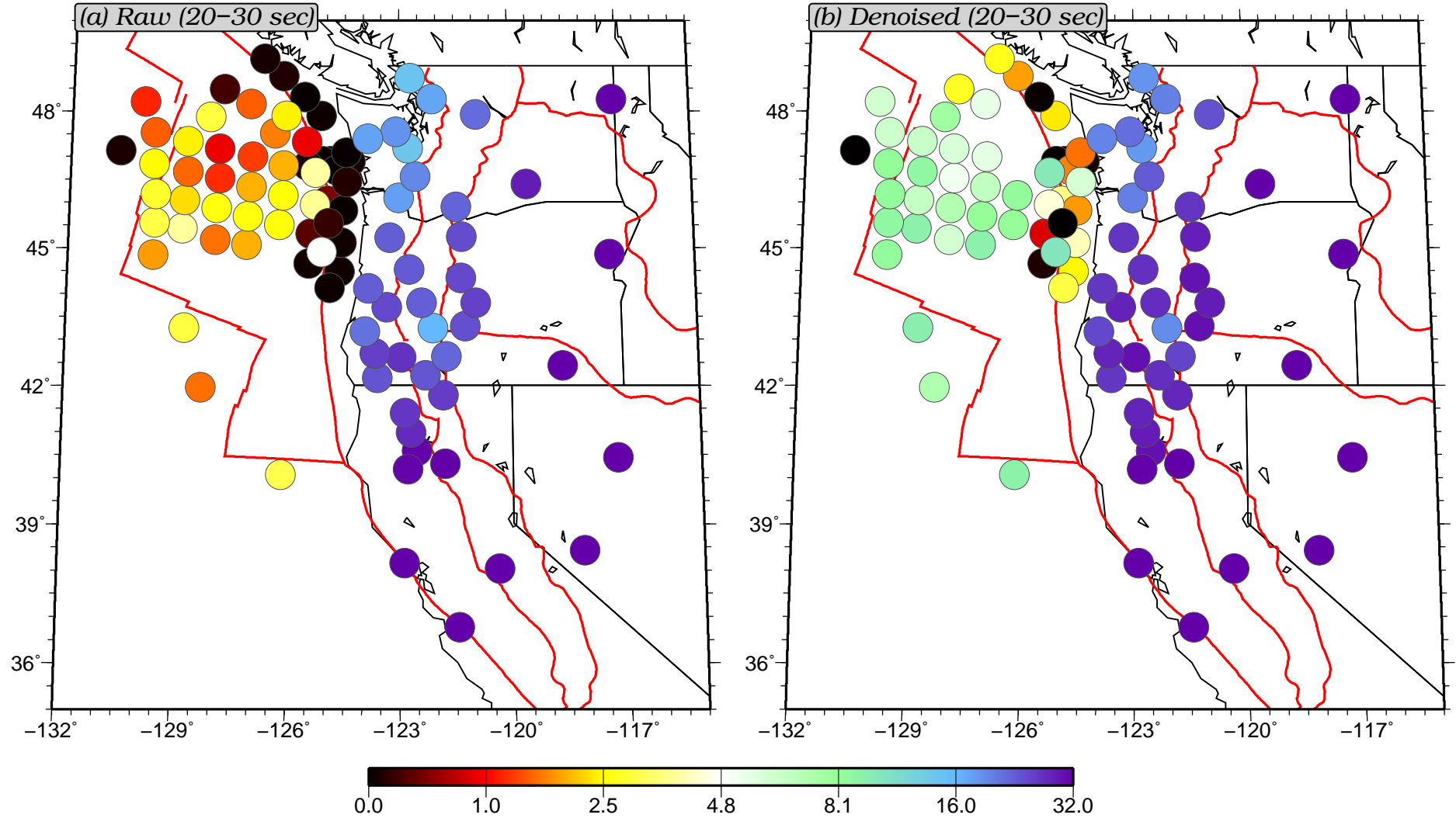


Figure 13. Similar to Fig. 12, but averaged in between 20 and 30 sec period.

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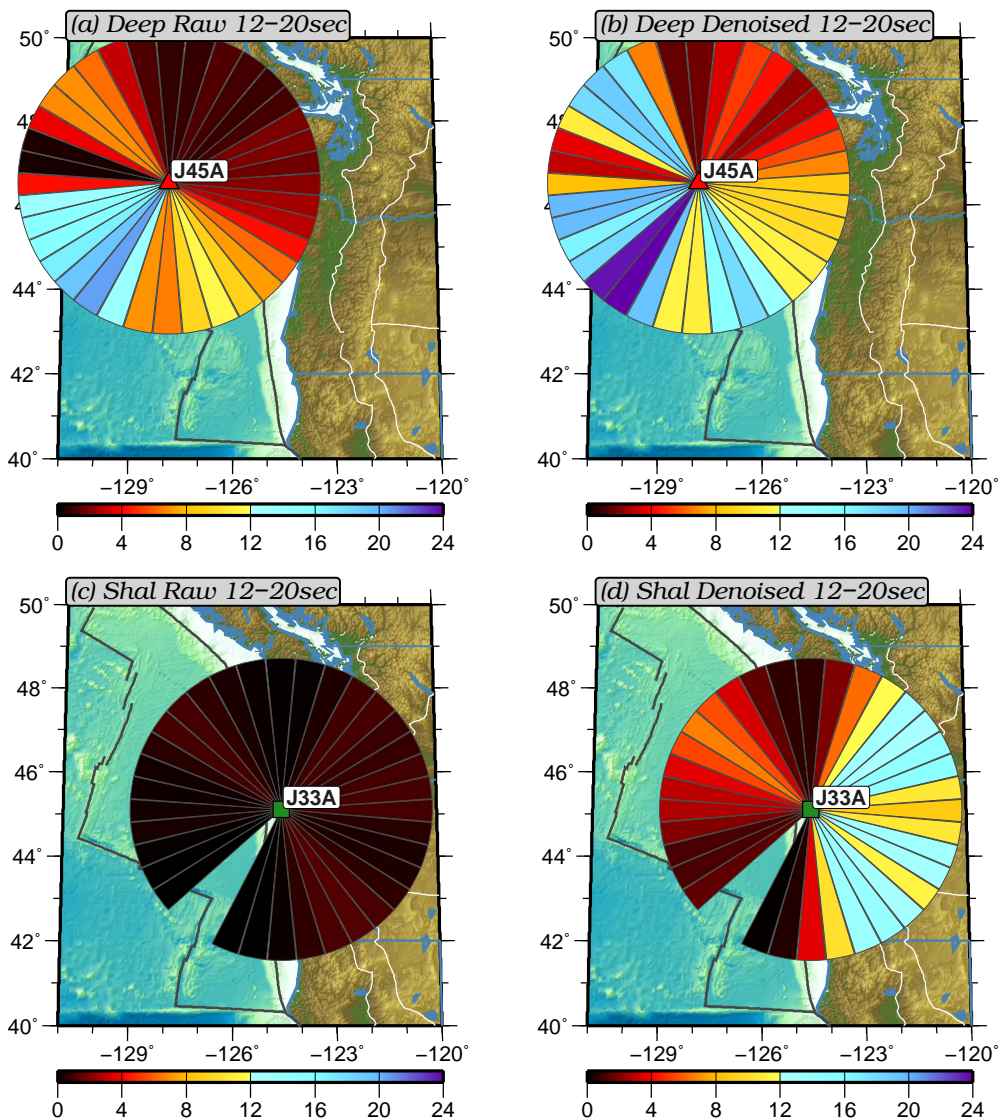


Figure 14. “Fan Diagrams” that summarize the azimuthal dependence of the amplitude of the primary microseism (12-20 sec). Cooler colors indicate strong signals pointing in the direction of propagation whereas warmer colors indicate weaker signal. (a) Deep water station J45A before the removal of tilt and compliance noise. (b) Same as (a), but after the removal of tilt and compliance noise. (c) Shallow water station J33A before the removal of tilt and compliance noise. (d) Same as (c), but after the removal of tilt and compliance noise.

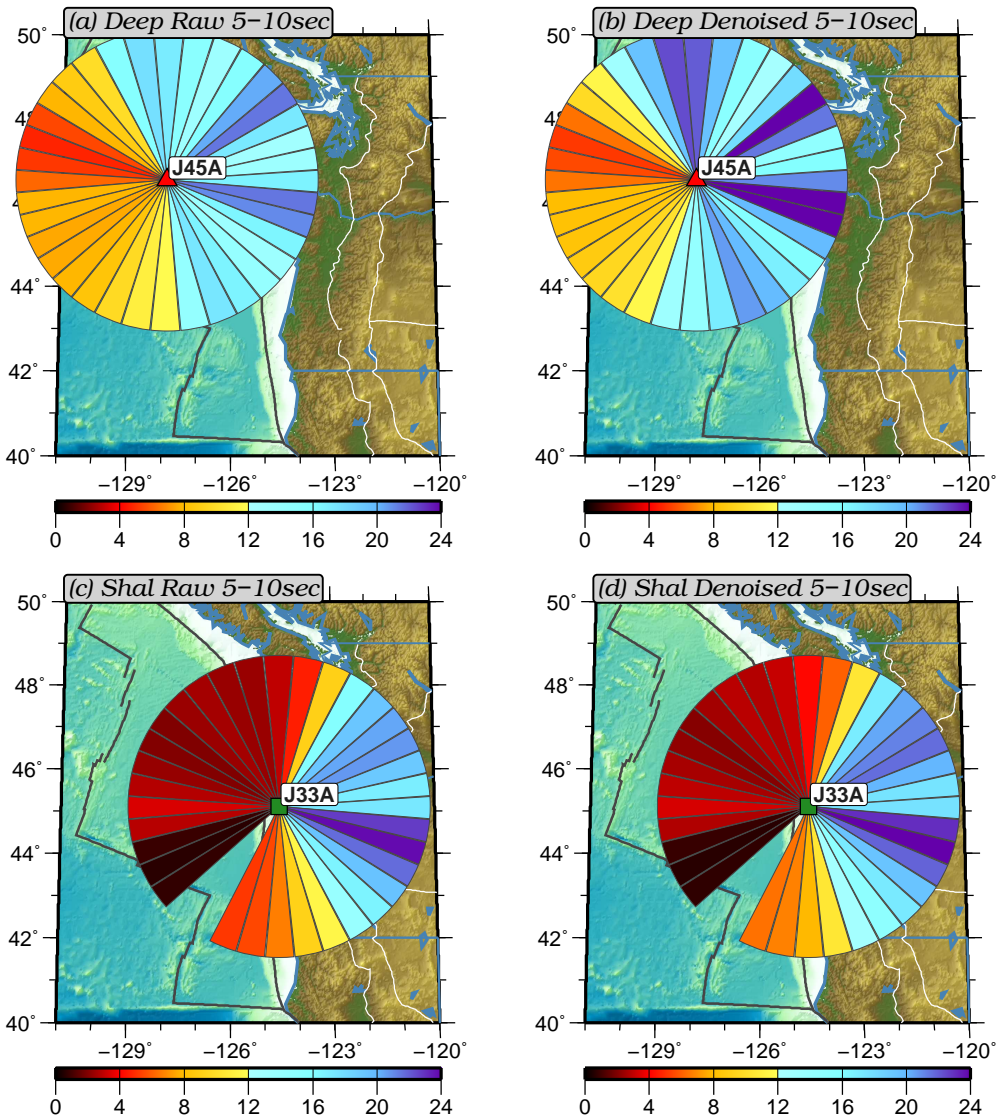


Figure 15. Similar to Figure 14, but for the secondary microseism (5-10 sec).

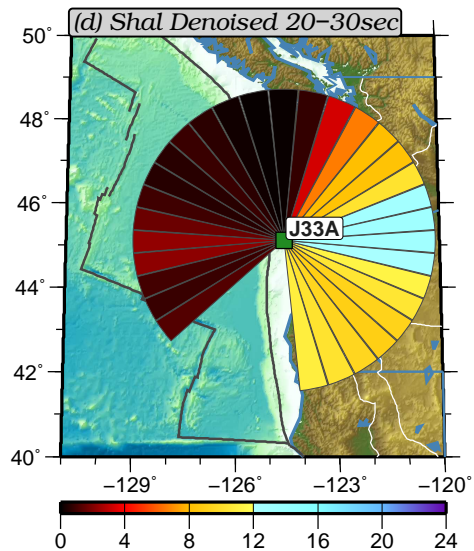
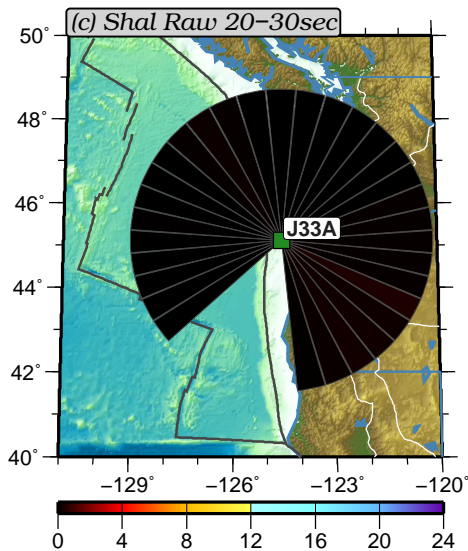
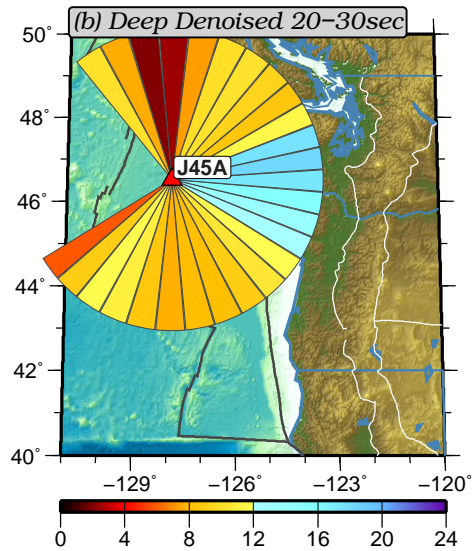
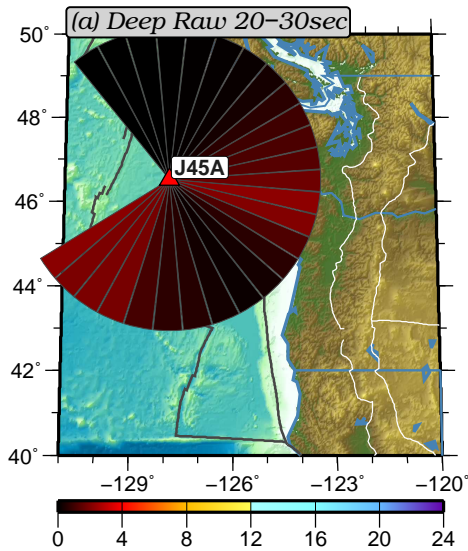
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Figure 16. Similar to Figure 14 and 15, but for longer periods (20–30 sec).

DSNR (Denoised 20–30 sec)

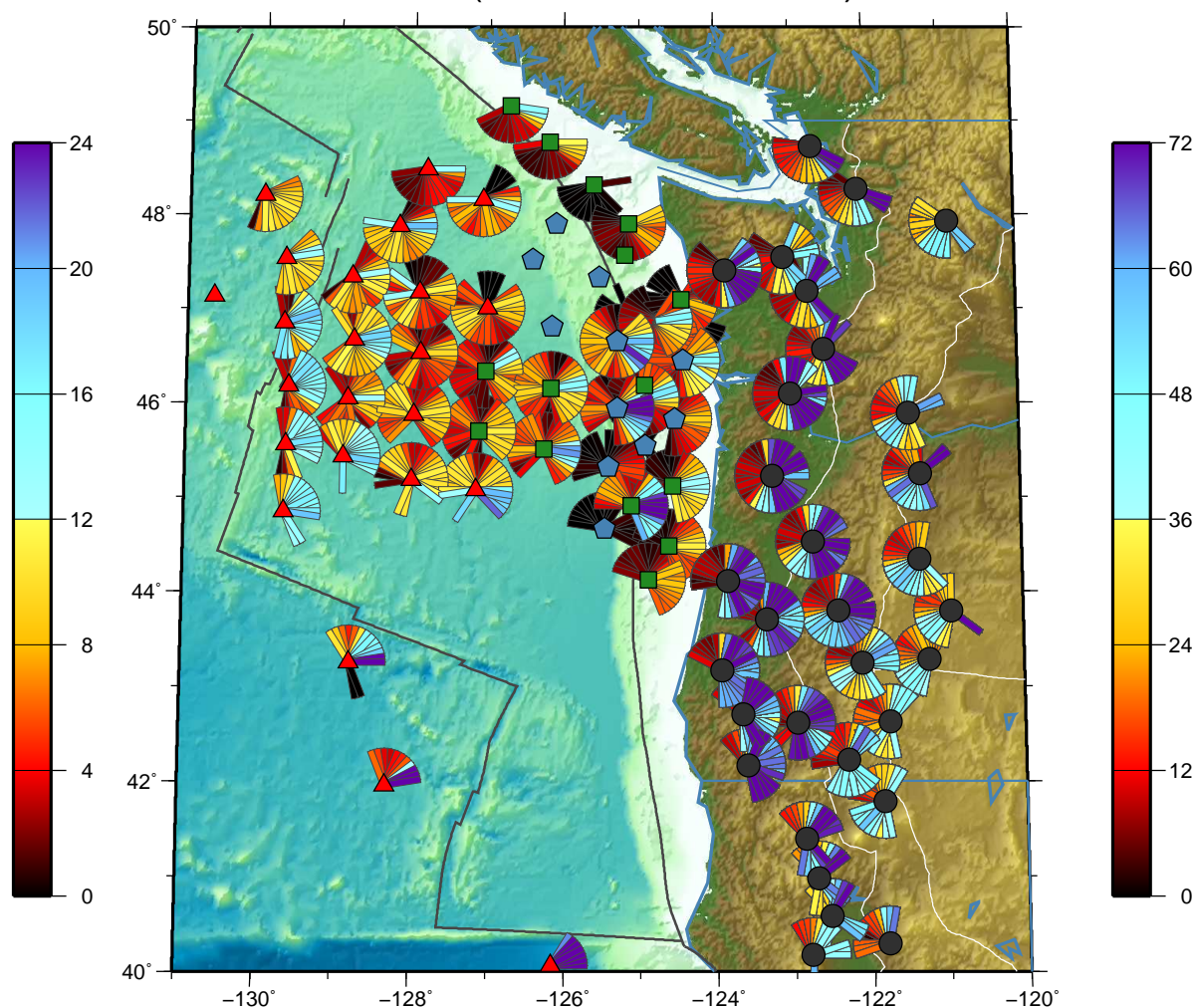


Figure 17. Fan diagrams at all stations between 20–30 sec period. Oceanic and continental stations are plotted on different color scales as indicated by the color bars on the left (ocean) and right (continent). Station symbols indicate the origin of the stations from different institutions as explained by Fig. 1.